

OFFICER NO. 00

		-----FEES CHARGED TO ACCOUNTS -----											
		(MONTH)				CALENDAR YTD				ACCOUNT'S TAX YTD			
ACCOUNT NO.	ACCOUNT NAME	INCOME FEES NO.	AMOUNT	PRINCIPAL FEES NO.	AMOUNT	INCOME FEES NO.	AMOUNT	PRINCIPAL FEES NO.	AMOUNT	INCOME FEES NO.	AMOUNT	PRINCIPAL FEES NO.	AMOUNT

1. 4 sections = Monthly/ytd fees taken, summary of fees taken by officer/ac type, summary of fees taken by ac type, summary of fees taken by fee schedule.

























LIST OF FEE SCHEDULES IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: AG AGENCY FEE NO. OF SUB-SCHEDULES: 05 USED BY 3 ACCOUNTS

SUB-SCHEDULE NO. 00 BASIC FEE CHARGES FEE TYPE: GROSS ALLOCATION METHOD: = NO COMBINED FEE  
 BASE FEE: 500.00 MINIMUM FEE: 1750.00 DISCOUNT AMT: DISCOUNT %:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

SUB-SCHEDULE NO. 01 ASSET HOLDING CHARGES DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 BASE FEE: MINIMUM FEE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: SPECIFIC SECURITY TYPES

TYPE(S) SUB-TYPE(S) LOC/REG EXCEPT CUSIP NUMBERS  
 15 0 - 9

\*\*\*\*\* NUMBER OF ASSETS \*\*\*\*\*

LEVEL	BREAK	\$ RATE
1		300.0000

SUB-SCHEDULE NO. 02 ASSET HOLDING CHARGES DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 BASE FEE: MINIMUM FEE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS  
 EXCEPT SPECIFIC SECURITIES

TYPE(S) SUB-TYPE(S) LOC/REG AND/OR CUSIP NUMBERS  
 15 0 - 9

\*\*\*\*\* MARKET VALUE \*\*\*\*\*

MARKET VALUE CODE: END OF PERIOD VALUE  
 CASH CODE: 2  
 INCOME AND PRINCIPAL CASH

LEVEL	BREAK	% RATE
1	200000	1.0000
2	500000	.7500
3	1000000	.6500
4		.5000

SUB-SCHEDULE NO. 03 TRANSACTION CHARGES DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 BASE FEE: MINIMUM FEE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS

TRANSACTIONS COVERED:  
 DISBURSEMENT TRANSACTIONS

LIST OF FEE SCHEDULES IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: AG AGENCY FEE

NO. OF SUB-SCHEDULES: 05

USED BY

3 ACCOUNTS

SUB-SCHEDULE NO. 03 - CONTINUED

EXCEPT TRANSACTION TYPES  
317

\*\*\* NUMBER OF TRANSACTIONS \*\*\*

LEVEL	BREAK	\$ RATE
1	12	.0000
2		1.0000

SUB-SCHEDULE NO. 04

ADMINISTRATIVE CHARGES

BASE FEE:

MINIMUM FEE:

DISCOUNT AMT:

DISCOUNT %:

MAXIMUM FEE:

FEE POSTING CODE INC:

FEE FREQ OVERRIDE:

SALES TAX INDICATOR:

SALES TAX CODE:

SALES TAX RATE:

FEE POSTING CODE PRN:

NO. OF CUSTOMER STATEMENTS

LEVEL	BREAK	\$ RATE PRINTED	\$ RATE INTERNET ONLY
1	4	.00	.00
2		5.00	5.00

LIST OF FEE SCHEDULES IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: FF FLAT FEE

NO. OF SUB-SCHEDULES: 01

USED BY 3 ACCOUNTS

SUB-SCHEDULE NO. 00	BASIC FEE CHARGES	FEE TYPE: GROSS	ALLOCATION METHOD:	= NO COMBINED FEE
BASE FEE:	MINIMUM FEE:	DISCOUNT AMT:	DISCOUNT %:	MAXIMUM FEE:
FEE POSTING CODE INC:	FEE FREQ OVERRIDE:	SALES TAX INDICATOR:	SALES TAX CODE:	SALES TAX RATE:
FEE POSTING CODE PRN:				

LIST OF FEE SCHEDULES IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 01 STANDARD FEE NO. OF SUB-SCHEDULES: 04 USED BY 5 ACCOUNTS

SUB-SCHEDULE NO. 00 BASIC FEE CHARGES FEE TYPE: GROSS ALLOCATION METHOD: = NO COMBINED FEE  
BASE FEE: MINIMUM FEE: 2500.00 DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
FEE POSTING CODE PRN:

SUB-SCHEDULE NO. 01 ASSET HOLDING CHARGES  
BASE FEE: MINIMUM FEE: 5000.00 DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS  
EXCEPT SPECIFIC SECURITIES  
TYPE(S) SUB-TYPE(S) LOC/REG AND/OR CUSIP NUMBERS  
15 0

\*\*\*\*\* MARKET VALUE \*\*\*\*\*  
MARKET VALUE CODE: END OF PERIOD VALUE  
CASH CODE: 2  
INCOME AND PRINCIPAL CASH  
LEVEL BREAK % RATE  
1 500000 1.2500  
2 2000000 1.0000  
3 5000000 .7500  
4 .2500

SUB-SCHEDULE NO. 02 TRANSACTION CHARGES  
BASE FEE: MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
FEE POSTING CODE PRN:

TRANSACTIONS COVERED: DISBURSEMENT TRANSACTIONS  
TRANSACTIONS COVERED: PUR & SALES TRANSACTIONS  
EXCEPT TRANSACTION TYPES  
43 44

\*\*\* NUMBER OF TRANSACTIONS \*\*\*  
LEVEL BREAK \$ RATE  
1 12 .0000  
2 3.0000

SUB-SCHEDULE NO. 03 ASSET HOLDING CHARGES  
BASE FEE: MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
FEE POSTING CODE PRN:

ASSETS COVERED: SPECIFIC SECURITY TYPES  
TYPE(S) SUB-TYPE(S) LOC/REG EXCEPT CUSIP NUMBERS



LIST OF FEE SCHEDULES IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 01 STANDARD FEE

NO. OF SUB-SCHEDULES: 04

USED BY

5 ACCOUNTS

SUB-SCHEDULE NO. 03 - CONTINUED

15 0

\*\*\*\*\* NUMBER OF ASSETS \*\*\*\*\*  
LEVEL      BREAK      \$ RATE  
1                      500.0000

LIST OF FEE SCHEDULES IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 02 MONEY MANAGER (IND SECURITIES) NO. OF SUB-SCHEDULES: 02 USED BY 2 ACCOUNTS

SUB-SCHEDULE NO. 00 BASIC FEE CHARGES FEE TYPE: GROSS ALLOCATION METHOD: 4 = PRORATE AMONG SUB-ACCTS  
 BASE FEE: MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

SUB-SCHEDULE NO. 01 ASSET HOLDING CHARGES  
 BASE FEE: MINIMUM FEE: 4000.00 DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS  
 EXCEPT SPECIFIC SECURITIES  
 TYPE(S) SUB-TYPE(S) LOC/REG AND/OR CUSIP NUMBERS  
 1 0 - 9 LP0070997  
 15 0  
 15 1 - 1

\*\*\*\*\* MARKET VALUE \*\*\*\*\*  
 MARKET VALUE CODE: END OF PERIOD VALUE

CASH CODE: 2  
 INCOME AND PRINCIPAL CASH  
 LEVEL BREAK % RATE  
 1 1000000 1.2000  
 2 2000000 .8000  
 3 5000000 .7000  
 4 .5000

LIST OF FEE SCHEDULES IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 07 TEST FEE

NO. OF SUB-SCHEDULES: 01

USED BY 1 ACCOUNTS

SUB-SCHEDULE NO. 00	BASIC FEE CHARGES	FEE TYPE: GROSS	ALLOCATION METHOD:	= NO COMBINED FEE
BASE FEE:	MINIMUM FEE:	DISCOUNT AMT:	DISCOUNT %:	MAXIMUM FEE:
FEE POSTING CODE INC:	FEE FREQ OVERRIDE:	SALES TAX INDICATOR:	SALES TAX CODE:	SALES TAX RATE:
FEE POSTING CODE PRN:				

LIST OF FEE SCHEDULES IN USE AS OF 09/30/2021

FEE SCHEDULE NO.:	16 TRUST FLAT FEE	NO. OF SUB-SCHEDULES:	01	USED BY	1 ACCOUNTS		
SUB-SCHEDULE NO.	00	BASIC FEE CHARGES	FEE TYPE:	GROSS	ALLOCATION METHOD:	=	NO COMBINED FEE
BASE FEE:	20000.00	MINIMUM FEE:	DISCOUNT AMT:		DISCOUNT %:		MAXIMUM FEE:
FEE POSTING CODE	INC:	FEE FREQ OVERRIDE:	SALES TAX INDICATOR:		SALES TAX CODE:		SALES TAX RATE:
FEE POSTING CODE	PRN:						

LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.:	CL CLOSING ACCOUNT	NO. OF SUB-SCHEDULES:	01	USED BY	0 ACCOUNTS		
SUB-SCHEDULE NO.	00	BASIC FEE CHARGES	FEE TYPE:	GROSS	ALLOCATION METHOD:	=	NO COMBINED FEE
BASE FEE:		MINIMUM FEE:	DISCOUNT AMT:		DISCOUNT %:		MAXIMUM FEE:
FEE POSTING CODE INC:		FEE FREQ OVERRIDE:	SALES TAX INDICATOR:		SALES TAX CODE:		SALES TAX RATE:
FEE POSTING CODE PRN:							

LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: IF FLAT FEE

NO. OF SUB-SCHEDULES: 01

USED BY 0 ACCOUNTS

SUB-SCHEDULE NO. 00	BASIC FEE CHARGES	FEE TYPE: GROSS	ALLOCATION METHOD:	= NO COMBINED FEE
BASE FEE:	MINIMUM FEE:	DISCOUNT AMT:	DISCOUNT %:	MAXIMUM FEE:
FEE POSTING CODE INC:	FEE FREQ OVERRIDE:	SALES TAX INDICATOR:	SALES TAX CODE:	SALES TAX RATE:
FEE POSTING CODE PRN:				

LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: IR IRRTR NO. OF SUB-SCHEDULES: 02 USED BY 0 ACCOUNTS

SUB-SCHEDULE NO. 00 BASIC FEE CHARGES FEE TYPE: GROSS ALLOCATION METHOD: = NO COMBINED FEE  
 BASE FEE: MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

SUB-SCHEDULE NO. 01 ASSET HOLDING CHARGES DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 BASE FEE: MINIMUM FEE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS

\*\*\*\*\* MARKET VALUE \*\*\*\*\*

MARKET VALUE CODE: END OF PERIOD VALUE

CASH CODE: 2

INCOME AND PRINCIPAL CASH

LEVEL	BREAK	% RATE
1	1000000	1.2500
2	2000000	1.1000
3	5000000	.9500
4	10000000	.7500
5		.6000





LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 04 ARUN PRACTICE 1 NO. OF SUB-SCHEDULES: 05 USED BY 0 ACCOUNTS

SUB-SCHEDULE NO. 00 BASIC FEE CHARGES FEE TYPE: GROSS ALLOCATION METHOD: = NO COMBINED FEE  
 BASE FEE: MINIMUM FEE: 2500.00 DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

SUB-SCHEDULE NO. 01 ADMINISTRATIVE CHARGES  
 BASE FEE: MINIMUM FEE: 2500.00 DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

SUB-SCHEDULE NO. 02 ASSET HOLDING CHARGES  
 BASE FEE: 2500.00 MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS

\*\*\*\*\* MARKET VALUE \*\*\*\*\*  
 MARKET VALUE CODE: END OF PERIOD VALUE  
 CASH CODE: 1  
 ALL CASH AND ACCRUALS

LEVEL	BREAK	% RATE
1	1000000	1.2500
2	2000000	1.0000
3	4000000	.7500
4		.5000

SUB-SCHEDULE NO. 03 TRANSACTION CHARGES  
 BASE FEE: MINIMUM FEE: 2500.00 DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS

TRANSACTIONS COVERED:  
 SPECIFIC TRANSACTION TYPES

TRANSACTION TYPES  
 98

\*\*\* NUMBER OF TRANSACTIONS \*\*\*  
 LEVEL BREAK \$ RATE  
 1 1000 5.0000

SUB-SCHEDULE NO. 04 ASSET HOLDING CHARGES  
 BASE FEE: MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 04 ARUN PRACTICE 1

NO. OF SUB-SCHEDULES: 05

USED BY 0 ACCOUNTS

SUB-SCHEDULE NO. 04 - CONTINUED

ASSETS COVERED: ALL ASSETS

\*\*\*\*\* MARKET VALUE \*\*\*\*\*

MARKET VALUE CODE: END OF PERIOD VALUE

CASH CODE: 1

ALL CASH AND ACCRUALS

LEVEL	BREAK	% RATE
1	1000000	1.2500
2	2000000	1.0000
3	4000000	.7500
4		.5000

LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 05 ARUN'S PRAC 2 NO. OF SUB-SCHEDULES: 04 USED BY 0 ACCOUNTS

SUB-SCHEDULE NO. 00 BASIC FEE CHARGES FEE TYPE: GROSS ALLOCATION METHOD: = NO COMBINED FEE  
 BASE FEE: MINIMUM FEE: 2500.00 DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

SUB-SCHEDULE NO. 01 ASSET HOLDING CHARGES DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 BASE FEE: MINIMUM FEE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS

\*\*\*\*\* MARKET VALUE \*\*\*\*\*

MARKET VALUE CODE: END OF PERIOD VALUE

CASH CODE: 1

ALL CASH AND ACCRUALS

LEVEL	BREAK	% RATE
1	1000000	1.2500
2	2000000	1.0000
3	4000000	.7500
4		.5000

SUB-SCHEDULE NO. 02 TRANSACTION CHARGES DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 BASE FEE: MINIMUM FEE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS

TRANSACTIONS COVERED:  
 SPECIFIC TRANSACTION TYPES

TRANSACTION TYPES  
 98

\*\*\* NUMBER OF TRANSACTIONS \*\*\*

LEVEL	BREAK	\$ RATE
1		5.0000

SUB-SCHEDULE NO. 03 TRANSACTION CHARGES DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 BASE FEE: MINIMUM FEE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS

TRANSACTIONS COVERED:  
 INCOME TRANSACTIONS

LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 05 ARUN'S PRAC 2

NO. OF SUB-SCHEDULES: 04

USED BY 0 ACCOUNTS

SUB-SCHEDULE NO. 03 - CONTINUED

EXCEPT TRANSACTION TYPES  
21

\*\*\* NUMBER OF TRANSACTIONS \*\*\*

LEVEL	BREAK	\$ RATE
1		.1000

LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 06 ARUN'S PRAC3 NO. OF SUB-SCHEDULES: 06 USED BY 0 ACCOUNTS

SUB-SCHEDULE NO. 00 BASIC FEE CHARGES FEE TYPE: GROSS ALLOCATION METHOD: = NO COMBINED FEE  
 BASE FEE: MINIMUM FEE: 2500.00 DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

SUB-SCHEDULE NO. 01 ASSET HOLDING CHARGES DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 BASE FEE: MINIMUM FEE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS

\*\*\*\*\* MARKET VALUE \*\*\*\*\*  
 MARKET VALUE CODE: END OF PERIOD VALUE  
 CASH CODE: 1

ALL CASH AND ACCRUALS

LEVEL	BREAK	% RATE
1	1000000	1.2500
2	2000000	1.0000
3	4000000	.7500
4		.5000

SUB-SCHEDULE NO. 02 TRANSACTION CHARGES DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 BASE FEE: MINIMUM FEE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS

TRANSACTIONS COVERED:  
 SPECIFIC TRANSACTION TYPES

TRANSACTION TYPES  
 98

\*\*\* NUMBER OF TRANSACTIONS \*\*\*  
 LEVEL BREAK \$ RATE  
 1 5.0000

SUB-SCHEDULE NO. 03 TRANSACTION CHARGES DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 BASE FEE: MINIMUM FEE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS

TRANSACTIONS COVERED:  
 INCOME TRANSACTIONS

LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 06 ARUN'S PRAC3

NO. OF SUB-SCHEDULES: 06

USED BY

0 ACCOUNTS

SUB-SCHEDULE NO. 03 - CONTINUED

EXCEPT TRANSACTION TYPES  
21

\*\*\* NUMBER OF TRANSACTIONS \*\*\*

LEVEL	BREAK	\$ RATE
1		.1000

SUB-SCHEDULE NO. 04 ASSET HOLDING CHARGES

BASE FEE:	50.00	MINIMUM FEE:	DISCOUNT AMT:	DISCOUNT %:	MAXIMUM FEE:
FEE POSTING CODE INC:		FEE FREQ OVERRIDE:	SALES TAX INDICATOR:	SALES TAX CODE:	SALES TAX RATE:
FEE POSTING CODE PRN:					

ASSETS COVERED: SPECIFIC SECURITY TYPES

TYPE(S)	SUB-TYPE(S)	LOC/REG	EXCEPT	CUSIP NUMBERS
15	0 - 9			

\*\*\*\*\* NUMBER OF SHARES \*\*\*\*\*

LEVEL	BREAK	\$ RATE

SUB-SCHEDULE NO. 05 ASSET HOLDING CHARGES

BASE FEE:		MINIMUM FEE:	DISCOUNT AMT:	DISCOUNT %:	MAXIMUM FEE:
FEE POSTING CODE INC:		FEE FREQ OVERRIDE:	SALES TAX INDICATOR:	SALES TAX CODE:	SALES TAX RATE:
FEE POSTING CODE PRN:					

ASSETS COVERED: SPECIFIC SECURITY TYPES

TYPE(S)	SUB-TYPE(S)	LOC/REG	EXCEPT	CUSIP NUMBERS
14	0 - 9			

\*\*\*\*\* NUMBER OF ASSETS \*\*\*\*\*

LEVEL	BREAK	\$ RATE
1	100	10.0000

LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 08

NO. OF SUB-SCHEDULES: 02

USED BY 0 ACCOUNTS

SUB-SCHEDULE NO. 00	BASIC FEE CHARGES	FEE TYPE: GROSS	ALLOCATION METHOD:	= NO COMBINED FEE
BASE FEE:	MINIMUM FEE:	DISCOUNT AMT:	DISCOUNT %:	MAXIMUM FEE:
FEE POSTING CODE INC:	FEE FREQ OVERRIDE:	SALES TAX INDICATOR:	SALES TAX CODE:	SALES TAX RATE:
FEE POSTING CODE PRN:				

SUB-SCHEDULE NO. 01	TRANSACTION CHARGES			
BASE FEE:	MINIMUM FEE:	DISCOUNT AMT:	DISCOUNT %:	MAXIMUM FEE:
FEE POSTING CODE INC:	FEE FREQ OVERRIDE:	SALES TAX INDICATOR:	SALES TAX CODE:	SALES TAX RATE:
FEE POSTING CODE PRN:				

TRANSACTIONS COVERED:  
DISBURSEMENT TRANSACTIONS

\*\*\* NUMBER OF TRANSACTIONS \*\*\*

LEVEL	BREAK	\$ RATE
1	144	.0000
2		10.0000

LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 09 TRADITIONAL FEE SCHEDULE NO. OF SUB-SCHEDULES: 04 USED BY 0 ACCOUNTS

SUB-SCHEDULE NO. 00 BASIC FEE CHARGES FEE TYPE: GROSS ALLOCATION METHOD: 4 = PRORATE AMONG SUB-ACCTS  
 BASE FEE: 500.00 MINIMUM FEE: 11000.00 DISCOUNT AMT: DISCOUNT %:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

SUB-SCHEDULE NO. 01 ASSET HOLDING CHARGES DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 BASE FEE: MINIMUM FEE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS

\*\*\*\*\* MARKET VALUE \*\*\*\*\*

MARKET VALUE CODE: END OF PERIOD VALUE  
 CASH CODE: 1

ALL CASH AND ACCRUALS

LEVEL	BREAK	% RATE
1	1000000	1.0000
2	2000000	.7500
3	3000000	.5000
4		.4000

SUB-SCHEDULE NO. 02 ASSET HOLDING CHARGES DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 BASE FEE: MINIMUM FEE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: A12  
 FEE POSTING CODE PRN:

ASSETS COVERED: SPECIFIC SECURITY TYPES

TYPE(S) SUB-TYPE(S) LOC/REG EXCEPT CUSIP NUMBERS  
 15 0 - 9

\*\*\*\*\* NUMBER OF ASSETS \*\*\*\*\*

LEVEL	BREAK	\$ RATE
1		500.0000

SUB-SCHEDULE NO. 03 TRANSACTION CHARGES DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 BASE FEE: MINIMUM FEE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS

TRANSACTIONS COVERED:  
 PUR & SALES TRANSACTIONS

EXCEPT TRANSACTION TYPES  
 67 68 93 94



LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 09 TRADITIONAL FEE SCHEDULE

NO. OF SUB-SCHEDULES: 04

USED BY

0 ACCOUNTS

SUB-SCHEDULE NO. 03 - CONTINUED

\*\*\* NUMBER OF TRANSACTIONS \*\*\*

LEVEL	BREAK	\$ RATE
1		20.0000

LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 10 TRAINING CLASS FEE NO. OF SUB-SCHEDULES: 08 USED BY 0 ACCOUNTS

SUB-SCHEDULE NO. 00 BASIC FEE CHARGES FEE TYPE: GROSS ALLOCATION METHOD: = NO COMBINED FEE  
 BASE FEE: MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE: 1750.00  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

SUB-SCHEDULE NO. 01 ASSET HOLDING CHARGES  
 BASE FEE: MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS  
 EXCEPT SPECIFIC SECURITIES  
 TYPE(S) SUB-TYPE(S) LOC/REG AND/OR CUSIP NUMBERS  
 15 0 - 9  
 22 0 - 9

\*\*\*\*\* MARKET VALUE \*\*\*\*\*  
 MARKET VALUE CODE: END OF PERIOD VALUE  
 CASH CODE: 2  
 INCOME AND PRINCIPAL CASH  
 LEVEL BREAK % RATE  
 1 500000 1.0000  
 2 2500000 .7500  
 3 5000000 .5000  
 4 .3000

SUB-SCHEDULE NO. 02 ASSET HOLDING CHARGES  
 BASE FEE: MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: SPECIFIC SECURITY TYPES  
 TYPE(S) SUB-TYPE(S) LOC/REG EXCEPT CUSIP NUMBERS  
 14 1 - 1  
 22 0

\*\*\*\*\* NUMBER OF ASSETS \*\*\*\*\*  
 LEVEL BREAK \$ RATE  
 1 200.0000

SUB-SCHEDULE NO. 03 ASSET HOLDING CHARGES  
 BASE FEE: MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: SPECIFIC SECURITY TYPES  
 TYPE(S) SUB-TYPE(S) LOC/REG EXCEPT CUSIP NUMBERS

LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 10 TRAINING CLASS FEE NO. OF SUB-SCHEDULES: 08 USED BY 0 ACCOUNTS

SUB-SCHEDULE NO. 03 - CONTINUED

15 0 - 9

\*\*\*\*\* NUMBER OF ASSETS \*\*\*\*\*

LEVEL	BREAK	\$ RATE
1		200.0000

SUB-SCHEDULE NO. 04 TRANSACTION CHARGES  
 BASE FEE: MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

TRANSACTIONS COVERED:  
 DISBURSEMENT TRANSACTIONS

\*\*\* NUMBER OF TRANSACTIONS \*\*\*

LEVEL	BREAK	\$ RATE
1	12	.0000
2		2.0000

SUB-SCHEDULE NO. 05 TRANSACTION CHARGES  
 BASE FEE: MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS

TRANSACTIONS COVERED:  
 INCOME TRANSACTIONS

\*\*\* AMOUNT OF TRANSACTIONS \*\*\*

LEVEL	BREAK	% RATE
1		1.0000

SUB-SCHEDULE NO. 06 ADMINISTRATIVE CHARGES  
 BASE FEE: MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

NO. OF CUSTOMER STATEMENTS

LEVEL	BREAK	\$ RATE	\$ RATE
			PRINTED INTERNET ONLY
1	1	.00	.00
2		5.00	.00

SUB-SCHEDULE NO. 07 ASSET HOLDING CHARGES  
 BASE FEE: 300.00 MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:

LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 10 TRAINING CLASS FEE

NO. OF SUB-SCHEDULES: 08

USED BY 0 ACCOUNTS

SUB-SCHEDULE NO. 07 - CONTINUED

FEE POSTING CODE INC: 300 FEE FREQ OVERRIDE:  
FEE POSTING CODE PRN:

A 5

SALES TAX INDICATOR:

SALES TAX CODE:

SALES TAX RATE:

ASSETS COVERED:

ALL ASSETS

\*\*\*\*\* NUMBER OF SHARES \*\*\*\*\*

LEVEL BREAK \$ RATE

LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 11

NO. OF SUB-SCHEDULES: 01

USED BY 0 ACCOUNTS

SUB-SCHEDULE NO. 00  
BASE FEE:  
FEE POSTING CODE INC:  
FEE POSTING CODE PRN:

BASIC FEE CHARGES  
MINIMUM FEE:  
FEE FREQ OVERRIDE:

FEE TYPE: GROSS  
DISCOUNT AMT:  
SALES TAX INDICATOR:

ALLOCATION METHOD:  
DISCOUNT %:  
SALES TAX CODE:

= NO COMBINED FEE  
MAXIMUM FEE:  
SALES TAX RATE:

LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 12 AGENCY

NO. OF SUB-SCHEDULES: 03

USED BY 0 ACCOUNTS

SUB-SCHEDULE NO. 00      BASIC FEE CHARGES      FEE TYPE: GROSS      ALLOCATION METHOD: = NO COMBINED FEE  
 BASE FEE:            150.00      MINIMUM FEE:      DISCOUNT AMT:      DISCOUNT %:      MAXIMUM FEE:  
 FEE POSTING CODE INC:      FEE FREQ OVERRIDE:      SALES TAX INDICATOR:      SALES TAX CODE:      SALES TAX RATE:  
 FEE POSTING CODE PRN:

SUB-SCHEDULE NO. 01      ADMINISTRATIVE CHARGES  
 BASE FEE:            MINIMUM FEE:      DISCOUNT AMT:      DISCOUNT %:      MAXIMUM FEE:  
 FEE POSTING CODE INC:      FEE FREQ OVERRIDE:      SALES TAX INDICATOR:      SALES TAX CODE:      SALES TAX RATE:  
 FEE POSTING CODE PRN:

NO. OF CUSTOMER STATEMENTS

LEVEL	BREAK	\$ RATE PRINTED	\$ RATE INTERNET ONLY
1	2	5.00	4.00
2	5	10.00	6.00

SUB-SCHEDULE NO. 02      ADMINISTRATIVE CHARGES  
 BASE FEE:            MINIMUM FEE:      DISCOUNT AMT:      DISCOUNT %:      MAXIMUM FEE:  
 FEE POSTING CODE INC:      FEE FREQ OVERRIDE:      SALES TAX INDICATOR:      SALES TAX CODE:      SALES TAX RATE:  
 FEE POSTING CODE PRN:

NO. OF CUSTOMER STATEMENTS

LEVEL	BREAK	\$ RATE PRINTED	\$ RATE INTERNET ONLY
1	2	5.00	.00
2	5	10.00	.00

LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 13 IRA TEST NO. OF SUB-SCHEDULES: 05 USED BY 0 ACCOUNTS

SUB-SCHEDULE NO. 00 BASIC FEE CHARGES FEE TYPE: GROSS ALLOCATION METHOD: = NO COMBINED FEE  
 BASE FEE: 250.00 MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

SUB-SCHEDULE NO. 01 ADMINISTRATIVE CHARGES  
 BASE FEE: MINIMUM FEE: 50.00 DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

NO. OF CUSTOMER STATEMENTS

LEVEL	BREAK	\$ RATE	\$ RATE
		PRINTED	INTERNET
		ONLY	
1	5	2.50	.00
2	10	5.00	.00

SUB-SCHEDULE NO. 02 ASSET HOLDING CHARGES  
 BASE FEE: 500.00 MINIMUM FEE: 500.00 DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE: 10000.00  
 FEE POSTING CODE INC: 37 FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN: 38

ASSETS COVERED: ALL ASSETS  
 EXCEPT SPECIFIC SECURITIES  
 TYPE(S) SUB-TYPE(S) LOC/REG AND/OR CUSIP NUMBERS  
 15 ALL

\*\*\*\*\* MARKET VALUE \*\*\*\*\*  
 MARKET VALUE CODE: MONTHLY AVERAGE  
 CASH CODE: 1  
 ALL CASH AND ACCRUALS

LEVEL	BREAK	% RATE
1	1000000	.4500
2	3000000	.3500
3	5000000	.2000
4		.1500

SUB-SCHEDULE NO. 03 ASSET HOLDING CHARGES  
 BASE FEE: MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: SPECIFIC SECURITY TYPES  
 TYPE(S) SUB-TYPE(S) LOC/REG EXCEPT CUSIP NUMBERS  
 15 ALL

\*\*\*\*\* NUMBER OF ASSETS \*\*\*\*\*

LEVEL	BREAK	\$ RATE
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LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 13 IRA TEST

NO. OF SUB-SCHEDULES: 05

USED BY

0 ACCOUNTS

SUB-SCHEDULE NO. 03 - CONTINUED

1	5	50.0000
2	10	100.0000

SUB-SCHEDULE NO. 04

TRANSACTION CHARGES

BASE FEE:

MINIMUM FEE:

DISCOUNT AMT:

DISCOUNT %:

MAXIMUM FEE:

FEE POSTING CODE INC:

FEE FREQ OVERRIDE:

SALES TAX INDICATOR:

SALES TAX CODE:

SALES TAX RATE:

FEE POSTING CODE PRN:

ASSETS COVERED:

ALL ASSETS

TRANSACTIONS COVERED:

INCOME TRANSACTIONS

TRANSACTIONS COVERED:

DISBURSEMENT TRANSACTIONS

EXCEPT TRANSACTION TYPES

24 721

EXCEPT TRANSACTION TYPES

31

\*\*\* NUMBER OF TRANSACTIONS \*\*\*

LEVEL	BREAK	\$ RATE
1	20	1.0000
2	30	3.0000



LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 14 EXCLUDE MM NO. OF SUB-SCHEDULES: 02 USED BY 0 ACCOUNTS

SUB-SCHEDULE NO. 00 BASIC FEE CHARGES FEE TYPE: GROSS ALLOCATION METHOD: = NO COMBINED FEE  
 BASE FEE: MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

SUB-SCHEDULE NO. 01 ASSET HOLDING CHARGES  
 BASE FEE: MINIMUM FEE: DISCOUNT AMT: DISCOUNT %: MAXIMUM FEE:  
 FEE POSTING CODE INC: FEE FREQ OVERRIDE: SALES TAX INDICATOR: SALES TAX CODE: SALES TAX RATE:  
 FEE POSTING CODE PRN:

ASSETS COVERED: ALL ASSETS  
 EXCEPT SPECIFIC SECURITIES  
 TYPE(S) SUB-TYPE(S) LOC/REG AND/OR CUSIP NUMBERS  
 17 ALL  
 33 0

\*\*\*\*\* MARKET VALUE \*\*\*\*\*  
 MARKET VALUE CODE: END OF PERIOD VALUE  
 CASH CODE: 1  
 ALL CASH AND ACCRUALS  
 LEVEL BREAK % RATE  
 1 1.0000

LIST OF FEE SCHEDULES NOT IN USE AS OF 09/30/2021

FEE SCHEDULE NO.: 15 EXPIRATION

NO. OF SUB-SCHEDULES: 01

USED BY 0 ACCOUNTS

SUB-SCHEDULE NO. 00	BASIC FEE CHARGES	FEE TYPE: GROSS	ALLOCATION METHOD:	= NO COMBINED FEE
BASE FEE:	MINIMUM FEE:	DISCOUNT AMT:	DISCOUNT %:	MAXIMUM FEE:
FEE POSTING CODE INC:	FEE FREQ OVERRIDE:	SALES TAX INDICATOR:	SALES TAX CODE:	SALES TAX RATE:
FEE POSTING CODE PRN:				

TRANSACTIONS USED FOR FEE CALCULATIONS

ACCOUNT NUMBER: 50000917300  
ACCOUNT NAME: YK BAYNARD AGY

FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02

TOTAL TRANSACTIONS USED FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02 NO. OF TRANS. 0

This report lists all transactions used for fee calculations based on either the number or the amount of transactions. It is produced in conjunction with the computation of commissions.

TRANSACTIONS USED FOR FEE CALCULATIONS

ACCOUNT NUMBER: 50000880001  
ACCOUNT NAME: NEWBRIDGE, LEX

FEE SCHEDULE NO. AG SUBSCHEDULE NO. 03

TOTAL TRANSACTIONS USED FEE SCHEDULE NO. AG	SUBSCHEDULE NO. 03	NO. OF TRANS.	0
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TRANSACTIONS USED FOR FEE CALCULATIONS

ACCOUNT NUMBER: 50001238001  
ACCOUNT NAME: DEBBIE SIMPSON AGY

FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02

R&D ITEM#	DATE	TRAN TYPE	SEC TYPE	DESCRIPTION	TRANS AMOUNT	
110	01042021	94		REDEEM	55611.72	
			17	60934N70I FEDERATED PRIME OBLIGATION INCOME		
TOTAL TRANSACTIONS USED FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02					NO. OF TRANS.	1

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TRANSACTIONS USED FOR FEE CALCULATIONS

ACCOUNT NUMBER: 69000902101  
ACCOUNT NAME: LC BAYNARD IRA

FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02

TOTAL TRANSACTIONS USED FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02 NO. OF TRANS. 0

TRANSACTIONS USED FOR FEE CALCULATIONS

ACCOUNT NUMBER: 80001111001  
ACCOUNT NAME: MISC SUSPENSE

FEE SCHEDULE NO. AG SUBSCHEDULE NO. 03

TOTAL TRANSACTIONS USED FEE SCHEDULE NO. AG SUBSCHEDULE NO. 03 NO. OF TRANS. 0

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TRANSACTIONS USED FOR FEE CALCULATIONS

ACCOUNT NUMBER: 02000412002  
ACCOUNT NAME: DALLAS AREA FON CTF

FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02

TOTAL TRANSACTIONS USED FEE SCHEDULE NO. 01	SUBSCHEDULE NO. 02	NO. OF TRANS.	0
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TRANSACTIONS USED FOR FEE CALCULATIONS

ACCOUNT NUMBER: 54000001002  
ACCOUNT NAME: MATIAS R TR AGY

FEE SCHEDULE NO. AG SUBSCHEDULE NO. 03

TOTAL TRANSACTIONS USED FEE SCHEDULE NO. AG SUBSCHEDULE NO. 03 NO. OF TRANS. 0

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TRANSACTIONS USED FOR FEE CALCULATIONS

ACCOUNT NUMBER: 14001235004  
ACCOUNT NAME: DEBBIE SIMPSON IRA

FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02

TOTAL TRANSACTIONS USED FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02 NO. OF TRANS. 0

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: YK BAYNARD AGY  
ACCOUNT NUMBER: 50000917300

FEE SCHEDULE NO: 01  
FEE SCHEDULE NAME: STANDARD FEE

FEE Y/E: 12/31/2021  
FEE FREQ: QUARTERLY

ASSET HOLDING CHARGES (SUB SCHEDULE 01)  
ON ALL ASSETS EXCEPT TYPES 15 SUB-TYPE 00

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
13,271,062.50 +	4,783,072.67 +	0.00 =	18,054,135.17

END OF PERIOD MARKET VALUE CHARGES

1.2500% OF	500,000.00	=	6,250.00
1.0000% OF	1,500,000.00	=	15,000.00
0.7500% OF	3,000,000.00	=	22,500.00
0.2500% OF	13,054,135.17	=	32,635.34
		TOTAL =	76,385.34
QUARTERLY (	76,385.34 / 4)	ASSET HOLDING CHARGES =	19,096.34
		TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	19,096.34
QUARTERLY (	5,000.00 / 4)	MINIMUM FEE =	1,250.00
		TOTAL =	19,096.34

ASSET HOLDING CHARGES (SUB SCHEDULE 03)  
ON SECURITY TYPES

15 SUB-TYPE 00

NUMBER OF SECURITY HOLDINGS CHARGES  
500.0000 PER SECURITY ON

1 SECURITIES	=	500.00	
QUARTERLY (	500.00 / 4)	ASSET HOLDING CHARGES =	125.00
		TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	125.00
		TOTAL =	125.00

TOTAL ASSET HOLDING CHARGES = 19,221.34

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: YK BAYNARD AGY  
ACCOUNT NUMBER: 50000917300

FEE SCHEDULE NO: 01  
FEE SCHEDULE NAME: STANDARD FEE

FEE Y/E: 12/31/2021  
FEE FREQ: QUARTERLY

BASIC FEE CHARGES (SUB SCHEDULE 00)

	TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	19,221.34
QUARTERLY (	2,500.00 / 4)	MINIMUM FEE = 625.00
	TOTAL CALCULATED CHARGES FOR PERIOD =	19,221.34
	TOTAL CHARGES FOR PERIOD =	19,221.34

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: ABC COMPANY  
ACCOUNT NUMBER: 50001234100

FEE SCHEDULE NO: FF  
FEE SCHEDULE NAME: FLAT FEE

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

BASIC FEE CHARGES (SUB SCHEDULE 00)

MONTHLY (	100.00 / 12)	** BASE FEE =	8.33
		TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	8.33
MONTHLY (	0.00 / 12)	** MINIMUM FEE =	0.00
MONTHLY (	0.00 / 12)	** DISCOUNT =	0.00
		TOTAL CALCULATED CHARGES FOR PERIOD =	8.33
		TOTAL CHARGES FOR PERIOD =	8.33

\*\*NOTES A BASE, MINIMUM OR DISCOUNT FEE AT THE ACCOUNT LEVEL

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: JOHN ACCOUNTHOLDER  
ACCOUNT NUMBER: 50009654100

FEE SCHEDULE NO: 02  
FEE SCHEDULE NAME: MONEY MANAGER (IND SECURITIES)

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 01)  
ON ALL ASSETS EXCEPT TYPES 1 SUB-TYPE 00 - 09  
15 SUB-TYPE 00  
15 SUB-TYPE 01 - 01  
EXCEPT CUSIPS LP0070997

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
2,805,353.49 +	0.00 +	0.00 =	2,805,353.49

END OF PERIOD MARKET VALUE CHARGES

1.2000% OF	1,000,000.00	=	12,000.00
0.8000% OF	1,000,000.00	=	8,000.00
0.7000% OF	805,353.49	=	5,637.47

TOTAL = 25,637.47

MONTHLY ( 25,637.47 / 12) ASSET HOLDING CHARGES = 2,136.46

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 2,136.46

MONTHLY ( 4,000.00 / 12) MINIMUM FEE = 333.33

TOTAL = 2,136.46

TOTAL ASSET HOLDING CHARGES = 2,136.46

BASIC FEE CHARGES (SUB SCHEDULE 00)

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 2,136.46

TOTAL CALCULATED CHARGES FOR PERIOD = 2,136.46

TOTAL CHARGES FOR PERIOD = 2,136.46

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: NEWBRIDGE, LEX  
ACCOUNT NUMBER: 50000880001

FEE SCHEDULE NO: AG  
FEE SCHEDULE NAME: AGENCY FEE

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 02)  
ON ALL ASSETS EXCEPT TYPES 15 SUB-TYPE 00 - 09

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
8,751,505.46 +	0.00 +	0.00 =	8,751,505.46

END OF PERIOD MARKET VALUE CHARGES

1.0000% OF	200,000.00	=	2,000.00
0.7500% OF	300,000.00	=	2,250.00
0.6500% OF	500,000.00	=	3,250.00
0.5000% OF	7,751,505.46	=	38,757.53
		TOTAL =	46,257.53

MONTHLY (	46,257.53 / 12)	ASSET HOLDING CHARGES =	3,854.79
		TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	3,854.79
		TOTAL =	3,854.79

TOTAL ASSET HOLDING CHARGES = 3,854.79

BASIC FEE CHARGES (SUB SCHEDULE 00)

MONTHLY (	500.00 / 12)	BASE FEE =	41.67
		TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	3,896.46
MONTHLY (	1,750.00 / 12)	MINIMUM FEE =	145.83
		TOTAL CALCULATED CHARGES FOR PERIOD =	3,896.46

TOTAL CHARGES FOR PERIOD = 3,896.46

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: DEBBIE SIMPSON AGY  
ACCOUNT NUMBER: 50001238001

FEE SCHEDULE NO: 01  
FEE SCHEDULE NAME: STANDARD FEE

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 01)  
ON ALL ASSETS EXCEPT TYPES 15 SUB-TYPE 00

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
4,039,050.08 +	0.00 +	0.00 =	4,039,050.08

END OF PERIOD MARKET VALUE CHARGES

1.2500% OF	500,000.00	=	6,250.00
1.0000% OF	1,500,000.00	=	15,000.00
0.7500% OF	2,039,050.08	=	15,292.88
		TOTAL =	36,542.88

MONTHLY ( 36,542.88 / 12) ASSET HOLDING CHARGES = 3,045.24

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 3,045.24

MONTHLY ( 5,000.00 / 12) MINIMUM FEE = 416.67

TOTAL = 3,045.24

TOTAL ASSET HOLDING CHARGES = 3,045.24

TRANSACTION CHARGES (SUB SCHEDULE 02)

ON DISBURSEMENTS  
ON PURCHASES & SALES EXCEPT 43, 44

NUMBER OF TRANSACTIONS CHARGES

0.0000 PER TRANS ON 1 TRANS = 0.00

TOTAL CHARGES ON TRANSACTIONS - YEAR TO DATE = 0.00

LESS TRANSACTION CHARGES - PRIOR PERIODS = 0.00

NET TRANSACTIONS CHARGES - THIS PERIOD = 0.00

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 0.00

TOTAL = 0.00



COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: DEBBIE SIMPSON AGY  
ACCOUNT NUMBER: 50001238001

FEE SCHEDULE NO: 01  
FEE SCHEDULE NAME: STANDARD FEE

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

BASIC FEE CHARGES (SUB SCHEDULE 00)

	TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	3,045.24
MONTHLY (	2,500.00 / 12)	MINIMUM FEE = 208.33
	TOTAL CALCULATED CHARGES FOR PERIOD =	3,045.24
	TOTAL CHARGES FOR PERIOD =	3,045.24

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COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: AWAVES  
ACCOUNT NUMBER: 50102785101

FEE SCHEDULE NO: 07  
FEE SCHEDULE NAME: TEST FEE

FEE Y/E: 12/31/2021  
FEE FREQ: QUARTERLY

BASIC FEE CHARGES (SUB SCHEDULE 00)

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	0.00
TOTAL CALCULATED CHARGES FOR PERIOD =	0.00
TOTAL CHARGES FOR PERIOD =	0.00

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: LC BAYNARD IRA  
ACCOUNT NUMBER: 69000902101

FEE SCHEDULE NO: 01  
FEE SCHEDULE NAME: STANDARD FEE

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 01)  
ON ALL ASSETS EXCEPT TYPES 15 SUB-TYPE 00

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
21.40 +	25,228.30 +	0.00 =	25,249.70

END OF PERIOD MARKET VALUE CHARGES  
1.2500% OF 25,249.70

	=	315.62
MONTHLY ( 315.62 / 12)	ASSET HOLDING CHARGES =	26.30
	TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	26.30
MONTHLY ( 5,000.00 / 12)	MINIMUM FEE =	416.67
	TOTAL =	416.67

TOTAL ASSET HOLDING CHARGES = 416.67

BASIC FEE CHARGES (SUB SCHEDULE 00)

	TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	416.67
MONTHLY ( 2,500.00 / 12)	MINIMUM FEE =	208.33
	TOTAL CALCULATED CHARGES FOR PERIOD =	416.67

TOTAL CHARGES FOR PERIOD = 416.67

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: MISC SUSPENSE  
ACCOUNT NUMBER: 80001111001

FEE SCHEDULE NO: AG  
FEE SCHEDULE NAME: AGENCY FEE

FEE Y/E: 01/31/2022  
FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 02)  
ON ALL ASSETS EXCEPT TYPES 15 SUB-TYPE 00 - 09

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
0.00 +	-6,474.22 +	0.00 =	-6,474.22

END OF PERIOD MARKET VALUE CHARGES			
1.0000% OF	-6,474.22	=	-64.74
MONTHLY (	-64.74 / 12)	ASSET HOLDING CHARGES =	-5.40
TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =			-5.40
TOTAL =			0.00

BASIC FEE CHARGES (SUB SCHEDULE 00)	MONTHLY (	0.00 / 12)	** BASE FEE =	0.00
	TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =			0.00
	MONTHLY (	1,000.00 / 12)	** MINIMUM FEE =	83.33
	MONTHLY (	0.00 / 12)	** DISCOUNT =	0.00

ADMINISTRATIVE CHARGES(SUB SCHEDULE 04)

ON NUMBER OF CUSTOMER STATEMENTS			
0.00 PER STATEMENT ON	1 STATEMENT(S)	=	0.00
	ADMINISTRATIVE CHARGES =		0.00
TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =			0.00
TOTAL =			0.00

TOTAL CALCULATED CHARGES FOR PERIOD = 83.33

TOTAL CHARGES FOR PERIOD = 83.33

\*\*NOTES A BASE, MINIMUM OR DISCOUNT FEE AT THE ACCOUNT LEVEL

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: DALLAS AREA FON CTF  
 ACCOUNT NUMBER: 02000412002

FEE SCHEDULE NO: 01  
 FEE SCHEDULE NAME: STANDARD FEE

FEE Y/E: 01/31/2022  
 FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 01)  
 ON ALL ASSETS EXCEPT TYPES 15 SUB-TYPE 00

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
669,770.00 +	8,510,376.30 +	0.00 =	9,180,146.30

END OF PERIOD MARKET VALUE CHARGES

1.2500% OF	500,000.00	=	6,250.00
1.0000% OF	1,500,000.00	=	15,000.00
0.7500% OF	3,000,000.00	=	22,500.00
0.2500% OF	4,180,146.30	=	10,450.37
		TOTAL =	54,200.37
MONTHLY (	54,200.37 / 12)	ASSET HOLDING CHARGES =	4,516.70
		TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	4,516.70
MONTHLY (	5,000.00 / 12)	MINIMUM FEE =	416.67
		TOTAL =	4,516.70

TOTAL ASSET HOLDING CHARGES = 4,516.70

BASIC FEE CHARGES (SUB SCHEDULE 00)

	TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	4,516.70
MONTHLY (	2,500.00 / 12)	MINIMUM FEE = 208.33
	TOTAL CALCULATED CHARGES FOR PERIOD =	4,516.70

TOTAL CHARGES FOR PERIOD = 4,516.70

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: MATIAS R TR AGY  
ACCOUNT NUMBER: 54000001002

FEE SCHEDULE NO: AG  
FEE SCHEDULE NAME: AGENCY FEE

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 02)  
ON ALL ASSETS EXCEPT TYPES 15 SUB-TYPE 00 - 09

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
990,153.66 +	-3,011.30 +	0.00 =	987,142.36

END OF PERIOD MARKET VALUE CHARGES

1.0000% OF	200,000.00	=	2,000.00
0.7500% OF	300,000.00	=	2,250.00
0.6500% OF	487,142.36	=	3,166.43
		TOTAL =	7,416.43

MONTHLY ( 7,416.43 / 12) ASSET HOLDING CHARGES = 618.04

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 618.04  
TOTAL = 618.04

TOTAL ASSET HOLDING CHARGES = 618.04

BASIC FEE CHARGES (SUB SCHEDULE 00) MONTHLY ( 500.00 / 12) BASE FEE = 41.67

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 659.71  
MONTHLY ( 1,750.00 / 12) MINIMUM FEE = 145.83

ADMINISTRATIVE CHARGES(SUB SCHEDULE 04)

ON NUMBER OF CUSTOMER STATEMENTS  
0.00 PER STATEMENT ON 1 STATEMENT(S) = 0.00

ADMINISTRATIVE CHARGES = 0.00

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 0.00  
TOTAL = 0.00

TOTAL CALCULATED CHARGES FOR PERIOD = 659.71

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: MATIAS R TR AGY  
ACCOUNT NUMBER: 54000001002

FEE SCHEDULE NO: AG  
FEE SCHEDULE NAME: AGENCY FEE

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

ADDITIONAL CHARGES

09/16/2021	FED-EX OF LEGAL DOCUMENTS	24.00
09/16/2021	4 HOURS TAX PREPARATION	600.00

TOTAL ADDITIONAL CHARGES = 624.00

TOTAL CHARGES FOR PERIOD = 1,283.71

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: EMMA SKYLLINGSTAD  
ACCOUNT NUMBER: 14000224204

FEE SCHEDULE NO: FF  
FEE SCHEDULE NAME: FLAT FEE

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

BASIC FEE CHARGES (SUB SCHEDULE 00)

MONTHLY (	100.00 / 12)	** BASE FEE =	8.33
		TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	8.33
MONTHLY (	0.00 / 12)	** MINIMUM FEE =	0.00
MONTHLY (	0.00 / 12)	** DISCOUNT =	0.00
		TOTAL CALCULATED CHARGES FOR PERIOD =	8.33
		TOTAL CHARGES FOR PERIOD =	8.33

\*\*NOTES A BASE, MINIMUM OR DISCOUNT FEE AT THE ACCOUNT LEVEL



COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: DEBBIE SIMPSON IRA  
 ACCOUNT NUMBER: 14001235004

FEE SCHEDULE NO: 01  
 FEE SCHEDULE NAME: STANDARD FEE

FEE Y/E: 12/31/2021  
 FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 01)  
 ON ALL ASSETS EXCEPT TYPES 15 SUB-TYPE 00

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
55,399,080.07 +	-927,074.11 +	0.00 =	54,472,005.96

END OF PERIOD MARKET VALUE CHARGES

1.2500% OF	500,000.00	=	6,250.00
1.0000% OF	1,500,000.00	=	15,000.00
0.7500% OF	3,000,000.00	=	22,500.00
0.2500% OF	49,472,005.96	=	123,680.01
		TOTAL =	167,430.01

MONTHLY (	167,430.01 / 12)	ASSET HOLDING CHARGES =	13,952.50
		TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	13,952.50
MONTHLY (	5,000.00 / 12)	MINIMUM FEE =	416.67
		TOTAL =	13,952.50

ASSET HOLDING CHARGES (SUB SCHEDULE 03)  
 ON SECURITY TYPES

15 SUB-TYPE 00

NUMBER OF SECURITY HOLDINGS CHARGES  
 500.0000 PER SECURITY ON

	1 SECURITIES	=	500.00
MONTHLY (	500.00 / 12)	ASSET HOLDING CHARGES =	41.67
		TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	41.67
		TOTAL =	41.67

TOTAL ASSET HOLDING CHARGES = 13,994.17

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: DEBBIE SIMPSON IRA  
ACCOUNT NUMBER: 14001235004

FEE SCHEDULE NO: 01  
FEE SCHEDULE NAME: STANDARD FEE

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

BASIC FEE CHARGES (SUB SCHEDULE 00)

	TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	13,994.17	
MONTHLY (	2,500.00 / 12)	MINIMUM FEE =	208.33
	TOTAL CALCULATED CHARGES FOR PERIOD =	13,994.17	
		TOTAL CHARGES FOR PERIOD =	13,994.17

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: MERRY OWNER  
 ACCOUNT NUMBER: 20009678105

FEE SCHEDULE NO: 02  
 FEE SCHEDULE NAME: MONEY MANAGER (IND SECURITIES)

FEE Y/E: 12/31/2021  
 FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 01)  
 ON ALL ASSETS EXCEPT TYPES 1 SUB-TYPE 00 - 09  
 15 SUB-TYPE 00  
 15 SUB-TYPE 01 - 01  
 EXCEPT CUSIPS LP0070997

MARKET VALUE USED FOR COMPUTATION FOR CONSOLIDATED ACCOUNTS

ACCOUNT NUMBER	ACCOUNT NAME	ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
20 00 9678 1 05	MERRY OWNER	564,699.67 +	-14,091.08 +	0.00 =	550,608.59
	TOTAL	564,699.67 +	-14,091.08 +	0.00 =	550,608.59

END OF PERIOD MARKET VALUE CHARGES  
 1.2000% OF 550,608.59

= 6,607.30  
 MONTHLY ( 6,607.30 / 12) ASSET HOLDING CHARGES = 550.61  
 TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 550.61  
 MONTHLY ( 4,000.00 / 12) MINIMUM FEE = 333.33

ALLOCATION OF CONSOLIDATED FEE USING ALLOCATION METHOD 4 (PRORATE COMBINED FEE, POST TO ASSOCIATED ACCOUNTS)

ACCOUNT NUMBER	ACCOUNT NAME	ACCOUNT MARKET VALUE	TOTAL MARKET VALUE	TOTAL FEE	FEE AMOUNT
20 00 9678 1 05	MERRY OWNER	550,608.59 /	550,608.59 *	550.61 =	550.61
	TOTAL			=	550.61
	TOTAL ASSET HOLDING CHARGES			=	550.61

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: MERRY OWNER  
ACCOUNT NUMBER: 20009678105

FEE SCHEDULE NO: 02  
FEE SCHEDULE NAME: MONEY MANAGER (IND SECURITIES)

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

BASIC FEE CHARGES (SUB SCHEDULE 00)

CHARGES BY ACCOUNT

ACCOUNT NUMBER	ACCOUNT NAME	CALC FEE	BASE FEE	MINIMUM FEE	DISCOUNT AMT	DISCOUNT %	TOTAL FEE
20 00 9678 1 05	MERRY OWNER	550.61	0.00	0.00	0.00	0.000	550.61

TOTAL CALCULATED CHARGES FOR PERIOD = 550.61

TOTAL CHARGES FOR PERIOD = 550.61

## FEE SYSTEM EXCEPTIONS REPORT

FOR ACCOUNT #51009632100, FEE SCHEDULE # DOES NOT EXIST

FOR ACCOUNT #69009689100, FEE SCHEDULE # DOES NOT EXIST

FOR ACCOUNT #20001104101, FEE SCHEDULE # DOES NOT EXIST

ACCOUNT #80001111001 IS SET FOR FEE STATEMENTS BUT NO CROSS-REFERENCE RECORD IS ON FILE

FOR ACCOUNT #50004520302, FEE SCHEDULE # DOES NOT EXIST

FOR ACCOUNT #69000528103, FEE SCHEDULE # DOES NOT EXIST

FOR ACCOUNT #69004521004, FEE SCHEDULE # DOES NOT EXIST

ACCOUNTS WITH ACCOUNT LEVEL BASE, DISCOUNTS OR MINIMUMS

ACCOUNT #	ACCOUNT NAME	BASE AMT	DISCOUNT AMT	DISCOUNT %	MINIMUM AMT	FEE SCH
50001234100	ABC COMPANY	100.00	0.00	0.000	0.00	FF
80001111001	MISC SUSPENSE	0.00	0.00	0.000	1,000.00	AG
14000224204	EMMA SKYLLINGSTAD	100.00	0.00	0.000	0.00	FF
51000215005	DR TEST AGENCY	100.00	0.00	0.000	0.00	FF

AS OF SEP 30, 2021

**SUMMARY OF FEES BY OFFICER**  
TRUST MANAGEMENT NETWORK DEMO

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PAGE 1

OFFICER NUMBER	ACCOUNT TYPE	FEE		
00	50	21366.13		
	SUB TOTAL		21366.13	
TOTAL FEES FOR OFFICER NUMBER	00			21366.13

This report shows fees "that will be charged to the accounts" if the pending fee report is released.

AS OF SEP 30, 2021

SUMMARY OF FEES BY OFFICER  
TRUST MANAGEMENT NETWORK DEMO

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OFFICER NUMBER 01

ACCOUNT TYPE	FEE		
50	6941.70		
SUB TOTAL		6941.70	
69	416.67		
SUB TOTAL		416.67	
80	83.33		
SUB TOTAL		83.33	
TOTAL FEES FOR OFFICER NUMBER 01			7441.70



AS OF SEP 30, 2021

SUMMARY OF FEES BY OFFICER  
TRUST MANAGEMENT NETWORK DEMO

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PAGE 3

OFFICER NUMBER 02

ACCOUNT TYPE

FEE

2

4516.70

SUB TOTAL

4516.70

54

1283.71

SUB TOTAL

1283.71

TOTAL FEES FOR OFFICER NUMBER 02

5800.41

AS OF SEP 30, 2021

SUMMARY OF FEES BY OFFICER  
TRUST MANAGEMENT NETWORK DEMO

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OFFICER NUMBER 04

ACCOUNT TYPE

FEE

14

14002.50

SUB TOTAL

14002.50

TOTAL FEES FOR OFFICER NUMBER 04

14002.50

AS OF SEP 30, 2021

SUMMARY OF FEES BY OFFICER  
TRUST MANAGEMENT NETWORK DEMO

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OFFICER NUMBER 05

ACCOUNT TYPE

FEE

20

550.61

SUB TOTAL

550.61

TOTAL FEES FOR OFFICER NUMBER 05

550.61

SUMMARY OF FEES BY ACCOUNT TYPE  
TRUST MANAGEMENT NETWORK DEMO

ACCOUNT TYPE	FEE	
2	4516.70	
SUB TOTAL OF TYPES 0 - 9		4516.70
14	14002.50	
SUB TOTAL OF TYPES 10 - 19		14002.50
20	550.61	
SUB TOTAL OF TYPES 20 - 29		550.61
50	28307.83	
54	1283.71	
SUB TOTAL OF TYPES 50 - 59		29591.54
69	416.67	
SUB TOTAL OF TYPES 60 - 69		416.67
80	83.33	
SUB TOTAL OF TYPES 80 - 89		83.33
TOTAL FEES BY ACCOUNT TYPE		49161.35

**PENDING FEE TRANSACTIONS**  
TRUST MANAGEMENT NETWORK DEMO  
FOR MONTH ENDED 09/30/2021

ACCOUNT NUMBER	ACCOUNT NAME	HOW CHARGED	FEE SCH	Y/E FREQ	CALC REL DATE	TRAN CODE	FEE AMOUNT	SALES TAX IND	SALES TAX AMOUNT	TRANSACTION AMOUNT
50 00 0917 3 00	YK BAYNARD AGY	DEDUCT FROM ACCOUNT	01	DEC	09/30/2021	37	9,610.67			9,610.67
50 00 0917 3 00	YK BAYNARD AGY	DEDUCT FROM ACCOUNT	01	DEC	09/30/2021	38	9,610.67			9,610.67
50 00 1234 1 00	ABC COMPANY	DEDUCT FROM ACCOUNT	FF	DEC	09/30/2021	37	8.33			8.33
50 00 9654 1 00	JOHN ACCOUNTHOLDER	DEDUCT FROM ACCOUNT	02	DEC	09/30/2021	37	2,136.46			2,136.46
50 00 0880 0 01	NEWBRIDGE, LEX	DEDUCT FROM ACCOUNT	AG	DEC	09/30/2021	37	3,896.46			3,896.46
50 00 1238 0 01	DEBBIE SIMPSON AGY	DEDUCT FROM ACCOUNT	01	DEC	09/30/2021	37	3,045.24			3,045.24
69 00 0902 1 01	LC BAYNARD IRA	DEDUCT FROM ACCOUNT	01	DEC	09/30/2021	37	416.67			416.67
80 00 1111 0 01	MISC SUSPENSE	FEE STATEMENT/NON-REIMBURSABLE	AG	JAN	09/30/2021	37	41.67			41.67
80 00 1111 0 01	MISC SUSPENSE	FEE STATEMENT/NON-REIMBURSABLE	AG	JAN	09/30/2021	38	41.66			41.66
02 00 0412 0 02	DALLAS AREA FON CTF	DEDUCT FROM ACCOUNT	01	JAN	09/30/2021	37	4,516.70			4,516.70
54 00 0001 0 02	MATIAS R TR AGY	DEDUCT FROM ACCOUNT	AG	DEC	09/30/2021	37	329.86			329.86
54 00 0001 0 02	MATIAS R TR AGY	DEDUCT FROM ACCOUNT	AG	DEC	09/30/2021	38	929.85			929.85
54 00 0001 0 02	MATIAS R TR AGY	DEDUCT FROM ACCOUNT	AG	DEC	09/30/2021	300	24.00			24.00
14 00 0224 2 04	EMMA SKYLLINGSTAD	NOT SPECIFIED	FF	DEC	09/30/2021	37	8.33			8.33
14 00 1235 0 04	DEBBIE SIMPSON IRA FEE STATEMENT RECIPIENT = 01235 DEBBIE SIMPSON	FEE STATEMENT/NON-REIMBURSABLE	01	DEC	09/30/2021	37	13,994.17			13,994.17
20 00 9678 1 05	MERRY OWNER	DEDUCT FROM ACCOUNT	02	DEC	09/30/2021	37	550.61			550.61
20 00 9678 1 05	MERRY OWNER	DEDUCT FROM ACCOUNT	02	DEC	09/30/2021	37	550.61			550.61
TOTAL PENDING FEE		TOTAL TAX	TOTAL TRANSACTION AMOUNT							
49,161.35			49,161.35							

## LARGE FEES CHANGE REPORT

ACCOUNT NUMBER	ACCOUNT NAME	PREV FEE	NEW FEE	PERCENT CHANGE	PREV FEE CALC DATE
50 00 0917 3 00	YK BAYNARD AGY	11,255.65	19,221.34	70.77	06/30/2021

This report lists accounts that had a large change in the fee calculated based on current & prior fee amount.

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: YK BAYNARD AGY  
ACCOUNT NUMBER: 50000917300

FEE SCHEDULE NO: 01  
FEE SCHEDULE NAME: STANDARD FEE

FEE Y/E: 12/31/2021  
FEE FREQ: QUARTERLY

ASSET HOLDING CHARGES (SUB SCHEDULE 01)  
ON ALL ASSETS EXCEPT TYPES 15 SUB-TYPE 00

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
13,271,062.50 +	4,783,072.67 +	0.00 =	18,054,135.17

END OF PERIOD MARKET VALUE CHARGES

1.2500% OF	500,000.00	=	6,250.00
1.0000% OF	1,500,000.00	=	15,000.00
0.7500% OF	3,000,000.00	=	22,500.00
0.2500% OF	13,054,135.17	=	32,635.34
		TOTAL =	76,385.34
QUARTERLY (	76,385.34 / 4)	ASSET HOLDING CHARGES =	19,096.34
		TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	19,096.34
QUARTERLY (	5,000.00 / 4)	MINIMUM FEE =	1,250.00
		TOTAL =	19,096.34

ASSET HOLDING CHARGES (SUB SCHEDULE 03)  
ON SECURITY TYPES

15 SUB-TYPE 00

NUMBER OF SECURITY HOLDINGS CHARGES  
500.0000 PER SECURITY ON

1	SECURITIES	=	500.00
QUARTERLY (	500.00 / 4)	ASSET HOLDING CHARGES =	125.00
		TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	125.00
		TOTAL =	125.00

TOTAL ASSET HOLDING CHARGES = 19,221.34

SEP 30, 2021  
50 00 0917 3 00 YK BAYNARD AGY

ACCOUNT SYNOPTIC AND FEE REPORTS

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: YK BAYNARD AGY  
ACCOUNT NUMBER: 50000917300

FEE SCHEDULE NO: 01  
FEE SCHEDULE NAME: STANDARD FEE

FEE Y/E: 12/31/2021  
FEE FREQ: QUARTERLY

BASIC FEE CHARGES (SUB SCHEDULE 00)

	TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	19,221.34	
QUARTERLY (	2,500.00 / 4)	MINIMUM FEE =	625.00
	TOTAL CALCULATED CHARGES FOR PERIOD =	19,221.34	
		TOTAL CHARGES FOR PERIOD =	19,221.34



SEP 30, 2021  
50 00 0917 3 00 YK BAYNARD AGY

ACCOUNT SYNOPTIC AND FEE REPORTS  
TRANSACTIONS USED FOR FEE CALCULATIONS

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ACCOUNT NUMBER: 50000917300  
ACCOUNT NAME: YK BAYNARD AGY

FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02

TOTAL TRANSACTIONS USED FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02 NO. OF TRANS. 0

FOR ACCOUNT OF: YK BAYNARD AGENCY  
DTD 07/24/2009

ACCOUNT NUMBER: 50 00 0917 3 00

\*\*\*\*\* GENERAL INFORMATION \*\*\*\*\*

ACCT SHORT NAME	YK BAYNARD AGY	ADMINISTRATIVE OFFICER	J. O'ADMIN
ACCT SORT KEY	BAYNARDYK	INVESTMENT OFFICER	ABC INVEST
DATE ACCOUNT OPENED	12/18/2015	BRANCH	00 NORTH CAROLINA
DATE ACCOUNT BLOCKED		ACCT TYPE	NOT USED
DATE ACCOUNT SAVED		ACCT STATUS	ACTIVE
CRITICAL DATE		CHARITABLE TRUST TYPE	
CRITICAL PURPOSE		NUMBER OF BENEFICIARIES	99
PROXY OWNER N&A		OVERDRAFTS	NO SPECIAL HANDLING
CO-TRUSTEE		INVEST INCOME	
BUSINESS DEV OFFICER		PRINCIPAL INVASION	
CAPACITY		DAILY ACCRUED INC RPT	NO
SCHEDULE RCT OVERRIDE	( )	QUALIFIED PLAN REPORTS	NO
POST CAP GAIN DIV AS	PRINCIPAL		

\*\*\*\*\* INVESTMENT INFORMATION \*\*\*\*\*

INVESTMENT DISCRETION	NONE	INVESTMENT POWERS		RETENTION POWERS	GENERAL
INVESTMENT OBJECTIVE		OWN INST TIME DEPOSITS	NO	OWN INST TIME DEPOSITS	NO
VOTING AUTHORITY	SOLE	COMMON TRUST FUNDS	NO	COMMON TRUST FUNDS	NO
TRADE RESTRICTION CODE	NONE	NON INC PROD PROPERTY	NO	NON INC PROD PROPERTY	NO
# OF RESTRICTED HOLDINGS	0	REAL ESTATE	NO	REAL ESTATE	NO
INVESTMENT MIX OBJECTIVE	30% CASH	OWN INST STOCK	NO	OWN INST STOCK	NO
	40% FIXED INCO	BUSINESS INTERESTS	NO	BUSINESS INTERESTS	NO
	30% EQUITIES				
	%				
	%				
MM INVESTOR TYPE	02-INSTITUTIONAL INVESTOR				
SOCIAL CODE					

\*\*\*\*\* FEE INFORMATION \*\*\*\*\*

FEE MONTH	DECEMBER	FEE START DATE	
FREQUENCY	QUARTERLY	LAST FEE COMPUTATION	06/30/2021
HOW CHARGED	DEDUCT FROM ACCOUNT	LAST FEE PAYMENT DATE	12/31/2020
SCHEDULE NUMBER/NAME	01/STANDARD FEE	PREV FEE COMPUTATION	03/31/2021
INCOME PERCENT	50	LAST FEE COMPUTATION AMT	11255.65
PRINCIPAL PERCENT	50	PREV FEE COMPUTATION AMT	11255.65
FEE STMT REMITTER		FEE STATEMENT CONSOLIDATION DETAIL	NO
FEE STMT RECIPIENT			

ACCOUNT LEVEL OVERRIDES:

BASE AMOUNT		DISCOUNT AMOUNT	
MINIMUM AMOUNT		DISCOUNT %	
DISPLAY "PERIOD ENDED" ON FEE STMT	NO	ACCT LEVEL FEE CODE	0-OVERRIDES APPLY TO THE ENTIRE FEE
CO-TRUSTEE FEE %		CO-TRUSTEE FEE TRAN CODE	
CO-TRUSTEE FEE AMOUNT		CO-TRUSTEE FEE NET IND	0-CO-TRUSTEE FEE IS IN ADDITION TO REG FEE

ACCOUNT TO CHARGE FEE

FEE SUSPENSE ACCOUNT (INCOME)  
 FEE SUSPENSE ACCOUNT (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 1 (INCOME)  
 FEE POSTING DESCRIPTION LINE 2 (INCOME)  
 FEE POSTING DESCRIPTION LINE 1 (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 2 (PRINCIPAL)

FEEES EXCLUDED CUSIPS:

\*\*\*\*\* REVIEW INFORMATION \*\*\*\*\*  
REVIEW MONTH REVIEW FREQUENCY LAST REVIEW DATE

\*\*\*\*\* CUSTOMER STATEMENT INFORMATION \*\*\*\*\*  
YEAR END DECEMBER 31 MONTHLY SUMMARY STMT NO COMBINED CASH NO  
FREQUENCY MONTHLY CUSTOMER PIR NO PERFORMANCE CALC NOT USED  
LAST STATEMENT DATE 08/31/2021

\*\*\*\*\* TAX INFORMATION \*\*\*\*\*  
CREATE CHARLOTTE LEDGER YES TAX PREP SERVICE NO  
CREATE WSC LEDGER NO TAX SERVICE ACCT TYPE  
WSC STATEMENT TYPE 1-SIMPLE TAX YEAR END DECEMBER 31  
TAX LOT RETENTION SEPARATE LOTS-BONDS,EQUITIES,CTFS & FUNDS SITUS OF TRUST  
INFO RETURN REPORTING APPLICABLE 1098 & 1099 ONLY. NO 5498 FED TAX ID#  
TAX LOT SALE METHOD FIFO MKT DISC BND ELECTION NONE  
STATE TAX ID# INHERITED IRA CODE 0-NOT APPLICABLE  
INHERITED IRA ORIG OWNER INHERITED IRA RMD FCTR 000.00  
AMORTIZE BOND PREMUIIM-CURR YR YES AMORTIZE BOND PREMUIIM-NEXT YR YES  
MKT DISC COMP METHOD-CURR YR STRAIGHT LINE MKT DISC COMP METHOD-NEXT YR STRAIGHT LINE  
RECOGNITION OF MKT DISC-CURR YR AT SALE/REDMPTN/MATURTY RECOGNITION OF MKT DISC-NEXT YR AT SALE/REDMPTN/MATURTY  
ALL INTEREST AS OID-CURR YR NO ALL INTEREST AS OID-NEXT YR NO  
INCOME CONV TO US DOLLARS-CURR YR PERIOD AVERAGE INCOME CONV TO US DOLLARS-NEXT YR PERIOD AVERAGE  
AMORTIZATION/ACCRETION CASH TRANSFER YES AUTO POST FXD INCME COST BASIS ADJSTMNTS YES  
OID POSTING TRANSACTION CODE 751 MARKET DISCOUNT POSTING TRANSACTION CODE 030

\*\*\*\*\* ACCOUNT TOTALS \*\*\*\*\*  
SHORT TERM G/L YTD 0.00 PRIOR YEAR SHORT TERM G/L 0.00  
LONG TERM G/L YTD 0.00 PRIOR YEAR LONG TERM G/L 0.00  
FEES PAID YTD 0.00 PRIOR YEAR FEES PAID 64,560.06  
FEES CALCULATED YTD 22,511.30 PRIOR YEAR FEES CALCULATED 43,857.46  
CONTRIBUTIONS TO DATE 0.00 PRIOR YEAR END MKT VALUE 5,559,037.67  
CURRENT YEAR RMD AMT 0.00 CURRENT YEAR RMD TAKEN 0.00

\*\*\*\*\* AUTOMATIC CASH MANAGEMENT SYSTEM - INSTITUTION LEVEL OPTIONS \*\*\*\*\*  
FUND DESIGNATION MA# CUSIP NAME CASH TYPE TARGET VARIANCE MIN PUR MAXIMUM LEVEL  
N/A

\*\*\*\*\* COMMENTS \*\*\*\*\*

CLIENT ALSO HAS AN ACCOUNT WITH HIS LOCAL EDWARD JONES BROKER  
THERE IS A FAMILY FARM THAT WILL BE INHERITED AT SOME POINT  
OTHER COMMENTS AS NEEDED.

\*\*\*\*\* INFORMATIONAL ONLY \*\*\*\*\*

N&A REC# PAYEE NAME ADDRESSEE TYPE  
56444 JOHNSON & JOHNSON CPA ACCOUNTANT

\*\*\*\*\* TRADE ADVICE RECIPIENTS \*\*\*\*\*

N&A REC# RECIPIENT NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* CHECK RECIPIENTS \*\*\*\*\*

N&A REC#	PAY DISB ACT NUM	PAYEE NAME	FREQ TYPE	DISB TYPE	NEXT DATE	FINAL DATE	DOLLAR AMT OR LIMIT	INCOME PERCENT	TRAN CODE	PRIN PERCENT	TRN CDE	W/HOLD
09170	1 01	YAVONDA K. BAYNARD	M	A	05/15/2018		500.00				031	
		ADDRESSEE TYPE OTHER TYPE										

\*\*\*\*\* IRS INFORMATION REPORTING CROSS-REFERENCE RECORDS \*\*\*\*\*

N&A REC #	NAME	TAXPAYER ID	N&A # R&D	ON TRAN	TYPE	OTHER INFORMATION	ADDRESSEE TYPE
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NONE

\*\*\*\*\* REPORT PACKAGE RECIPIENTS \*\*\*\*\*

N&A REC	REPORT RECIPIENT(S)	LAST STMT DATE	INTERIM RPT FREQ	REPTS PKG NUM	ANNUAL REPTS YEAR END	NUM OF COP	DELIVERY METHOD	DELIVERY METHOD
09020	YAVONDA K. BAYNARD	08/31/2021	M	915	N	12 915 N	001 LAND INTERNET	INTERNET
	ADDRESSEE TYPE INCOME BENEFICIARY							

\*\*\*\*\* ACCOUNT USER FIELDS \*\*\*\*\*

USER FIELD

CODE	TEXT LABEL
NT	NOTE
02	THIS IS A NOTE TO THE YK BAYNARD ACCOUNT TO DEMO THE SPACE AVAILABLE ON A USER FIELD !!!!!!!!!!!!!!!
	DRIVERS LICENSE #
	OH8675309
03	BOARD MEMBERS
	INVESTMENT COMMITTEE
06	RELATED ACCOUNTS
	TMN FAMILY

SEP 30, 2021  
50 00 1234 1 00 ABC COMPANY

ACCOUNT SYNOPTIC AND FEE REPORTS

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: ABC COMPANY  
ACCOUNT NUMBER: 50001234100

FEE SCHEDULE NO: FF  
FEE SCHEDULE NAME: FLAT FEE

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

BASIC FEE CHARGES (SUB SCHEDULE 00)

MONTHLY (	100.00 / 12)	** BASE FEE =	8.33
		TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	8.33
MONTHLY (	0.00 / 12)	** MINIMUM FEE =	0.00
MONTHLY (	0.00 / 12)	** DISCOUNT =	0.00
		TOTAL CALCULATED CHARGES FOR PERIOD =	8.33
		TOTAL CHARGES FOR PERIOD =	8.33

\*\*NOTES A BASE, MINIMUM OR DISCOUNT FEE AT THE ACCOUNT LEVEL

FOR ACCOUNT OF: ABC COMPANY

ACCOUNT NUMBER: 50 00 1234 1 00

\*\*\*\*\* GENERAL INFORMATION \*\*\*\*\*

ACCT SHORT NAME	ABC COMPANY	ADMINISTRATIVE OFFICER	J. O'ADMIN
ACCT SORT KEY		INVESTMENT OFFICER	ABC INVEST
DATE ACCOUNT OPENED	06/30/2005	BRANCH	01 AUSTIN
DATE ACCOUNT BLOCKED		ACCT TYPE	NOT USED
DATE ACCOUNT SAVED		ACCT STATUS	ACTIVE
CRITICAL DATE		CHARITABLE TRUST TYPE	
CRITICAL PURPOSE		NUMBER OF BENEFICIARIES	1
PROXY OWNER N&A		OVERDRAFTS	AUTOMATIC OFFSET
CO-TRUSTEE		INVEST INCOME	YES
BUSINESS DEV OFFICER		PRINCIPAL INVASION	YES
CAPACITY		DAILY ACCRUED INC RPT	NO
SCHEDULE RCT OVERRIDE	( )	QUALIFIED PLAN REPORTS	NO
POST CAP GAIN DIV AS	PRINCIPAL		

\*\*\*\*\* INVESTMENT INFORMATION \*\*\*\*\*

INVESTMENT DISCRETION	CSLT	INVESTMENT POWERS FULL POWERS		RETENTION POWERS	GENERAL
INVESTMENT OBJECTIVE	GROWTH EQ	OWN INST TIME DEPOSITS	YES	OWN INST TIME DEPOSITS	NO
VOTING AUTHORITY	SOLE	COMMON TRUST FUNDS	YES	COMMON TRUST FUNDS	NO
TRADE RESTRICTION CODE	NONE	NON INC PROD PROPERTY	YES	NON INC PROD PROPERTY	YES
# OF RESTRICTED HOLDINGS	0	REAL ESTATE	YES	REAL ESTATE	NO
INVESTMENT MIX OBJECTIVE	PRS MODEL NO. 08	OWN INST STOCK	NO	OWN INST STOCK	YES
		BUSINESS INTERESTS	NO	BUSINESS INTERESTS	YES

MM INVESTOR TYPE 01-RETAIL INVESTOR  
SOCIAL CODE

\*\*\*\*\* FEE INFORMATION \*\*\*\*\*

FEE MONTH	DECEMBER	FEE START DATE	
FREQUENCY	MONTHLY	LAST FEE COMPUTATION	08/31/2021
HOW CHARGED	DEDUCT FROM ACCOUNT	LAST FEE PAYMENT DATE	12/31/2020
SCHEDULE NUMBER/NAME	FF/FLAT FEE	PREV FEE COMPUTATION	07/31/2021
INCOME PERCENT	100	LAST FEE COMPUTATION AMT	8.33
PRINCIPAL PERCENT	0	PREV FEE COMPUTATION AMT	8.33
FEE STMT REMITTER		FEE STATEMENT CONSOLIDATION DETAIL	NO
FEE STMT RECIPIENT			

ACCOUNT LEVEL OVERRIDES:

BASE AMOUNT	100.00	DISCOUNT AMOUNT	0.00
MINIMUM AMOUNT	0.00	DISCOUNT %	
DISPLAY "PERIOD ENDED" ON FEE STMT	YES	ACCT LEVEL FEE CODE	0-OVERRIDES APPLY TO THE ENTIRE FEE
CO-TRUSTEE FEE %		CO-TRUSTEE FEE TRAN CODE	
CO-TRUSTEE FEE AMOUNT		CO-TRUSTEE FEE NET IND	0-CO-TRUSTEE FEE IS IN ADDITION TO REG FEE

ACCOUNT TO CHARGE FEE

FEE SUSPENSE ACCOUNT (INCOME)  
 FEE SUSPENSE ACCOUNT (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 1 (INCOME)  
 FEE POSTING DESCRIPTION LINE 2 (INCOME)  
 FEE POSTING DESCRIPTION LINE 1 (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 2 (PRINCIPAL)

FEEES EXCLUDED CUSIPS:

\*\*\*\*\* REVIEW INFORMATION \*\*\*\*\*  
REVIEW MONTH APRIL 30 REVIEW FREQUENCY ANNUAL LAST REVIEW DATE

\*\*\*\*\* CUSTOMER STATEMENT INFORMATION \*\*\*\*\*  
YEAR END DECEMBER 31 MONTHLY SUMMARY STMT NO COMBINED CASH NO  
FREQUENCY ANNUAL CUSTOMER PIR NO PERFORMANCE CALC NOT USED  
LAST STATEMENT DATE 12/31/2020

\*\*\*\*\* TAX INFORMATION \*\*\*\*\*  
CREATE CHARLOTTE LEDGER YES TAX PREP SERVICE NO  
CREATE WSC LEDGER YES TAX SERVICE ACCT TYPE  
WSC STATEMENT TYPE 1-SIMPLE TAX YEAR END DECEMBER 31  
TAX LOT RETENTION SEPARATE LOTS-BONDS,EQUITIES,CTFS & FUNDS SITUS OF TRUST CA  
INFO RETURN REPORTING APPLICABLE 1098 & 1099 ONLY. NO 5498 FED TAX ID#  
TAX LOT SALE METHOD FIFO MKT DISC BND ELECTION NONE  
STATE TAX ID# INHERITED IRA CODE 0-NOT APPLICABLE  
INHERITED IRA ORIG OWNER INHERITED IRA RMD FCTR 000.00  
AMORTIZE BOND PREMUIIM-CURR YR YES AMORTIZE BOND PREMUIIM-NEXT YR YES  
MKT DISC COMP METHOD-CURR YR STRAIGHT LINE MKT DISC COMP METHOD-NEXT YR STRAIGHT LINE  
RECOGNITION OF MKT DISC-CURR YR AT SALE/REDMPTN/MATURTY RECOGNITION OF MKT DISC-NEXT YR AT SALE/REDMPTN/MATURTY  
ALL INTEREST AS OID-CURR YR NO ALL INTEREST AS OID-NEXT YR NO  
INCOME CONV TO US DOLLARS-CURR YR PERIOD AVERAGE INCOME CONV TO US DOLLARS-NEXT YR PERIOD AVERAGE  
AMORTIZATION/ACCRETION CASH TRANSFER YES AUTO POST FXD INCME COST BASIS ADJSTMNTS YES  
OID POSTING TRANSACTION CODE 751 MARKET DISCOUNT POSTING TRANSACTION CODE 756

\*\*\*\*\* ACCOUNT TOTALS \*\*\*\*\*  
SHORT TERM G/L YTD 0.00 PRIOR YEAR SHORT TERM G/L 0.00  
LONG TERM G/L YTD 0.00 PRIOR YEAR LONG TERM G/L 49,999.00  
FEES PAID YTD 0.00 PRIOR YEAR FEES PAID 158.27  
FEES CALCULATED YTD 66.64 PRIOR YEAR FEES CALCULATED 99.96  
CONTRIBUTIONS TO DATE 0.00 PRIOR YEAR END MKT VALUE 5,588,480.50  
CURRENT YEAR RMD AMT 0.00 CURRENT YEAR RMD TAKEN 0.00

\*\*\*\*\* AUTOMATIC CASH MANAGEMENT SYSTEM - NOT USED \*\*\*\*\*

\*\*\*\*\* COMMENTS \*\*\*\*\*

NONE

\*\*\*\*\* INFORMATIONAL ONLY \*\*\*\*\*

N&A REC# PAYEE NAME ADDRESSEE TYPE  
00156 AMBER WAVES OWNER/OWNER CONTROLLED

\*\*\*\*\* TRADE ADVICE RECIPIENTS \*\*\*\*\*

N&A REC# RECIPIENT NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* IRS INFORMATION REPORTING CROSS-REFERENCE RECORDS \*\*\*\*\*

N&A REC # NAME	TAXPAYER ID	N&A # ON R&D TRAN	TYPE	OTHER INFORMATION	ADDRESSEE TYPE
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NONE

\*\*\*\*\* REPORT PACKAGE RECIPIENTS \*\*\*\*\*

N&A REC	REPORT RECIPIENT(S)	LAST STMT DATE	INTERIM REPTS RPT FREQ	PKG BNK NUM COP	ANNUAL REPTS YEAR END	PKG BNK NUM COP	OF COP	DELIVERY METHOD STYLE	DELIVERY METHOD INTERIM	DELIVERY METHOD ANNUAL	
00001	TRUST MANAGEMENT NETWORK ' ADDRESSEE TYPE OTHER TYPE	12/31/2020	A	000	N	12	001	N	001	LAND INTERNET	INTERNET

\*\*\*\*\* ACCOUNT USER FIELDS \*\*\*\*\*

USER FIELD

CODE	TEXT LABEL
BO	BENEFICIAL OWNERS AMBER WAVES 50% OWNER
	JOHN SMITH 50% OWNER



SEP 30, 2021

50 00 9654 1 00 JOHN ACCOUNTHOLDER

ACCOUNT SYNOPTIC AND FEE REPORTS

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: JOHN ACCOUNTHOLDER

ACCOUNT NUMBER: 50009654100

FEE SCHEDULE NO: 02

FEE SCHEDULE NAME: MONEY MANAGER (IND SECURITIES)

FEE Y/E: 12/31/2021

FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 01)

ON ALL ASSETS	EXCEPT TYPES	1 SUB-TYPE	00 - 09
		15 SUB-TYPE	00
		15 SUB-TYPE	01 - 01
	EXCEPT CUSIPS	LP0070997	

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
2,805,353.49 +	0.00 +	0.00 =	2,805,353.49

END OF PERIOD MARKET VALUE CHARGES

1.2000% OF	1,000,000.00	=	12,000.00
0.8000% OF	1,000,000.00	=	8,000.00
0.7000% OF	805,353.49	=	5,637.47

TOTAL = 25,637.47

MONTHLY ( 25,637.47 / 12) ASSET HOLDING CHARGES = 2,136.46

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 2,136.46

MONTHLY ( 4,000.00 / 12) MINIMUM FEE = 333.33

TOTAL = 2,136.46

TOTAL ASSET HOLDING CHARGES = 2,136.46

BASIC FEE CHARGES (SUB SCHEDULE 00)

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 2,136.46

TOTAL CALCULATED CHARGES FOR PERIOD = 2,136.46

TOTAL CHARGES FOR PERIOD = 2,136.46

ACCOUNT SYNOPTIC - AS OF 10/01/21

FOR ACCOUNT OF: JOHN G. ACCOUNTHOLDER AGENCY

ACCOUNT NUMBER: 50 00 9654 1 00

\*\*\*\*\* GENERAL INFORMATION \*\*\*\*\*

ACCT SHORT NAME	JOHN ACCOUNTHOLDER	ADMINISTRATIVE OFFICER	J. O'ADMIN
ACCT SORT KEY	ACCOUNTHOL	INVESTMENT OFFICER	ABC INVEST
DATE ACCOUNT OPENED	04/01/2005	BRANCH	00 NORTH CAROLINA
DATE ACCOUNT BLOCKED		ACCT TYPE	NOT USED
DATE ACCOUNT SAVED		ACCT STATUS	ACTIVE
CRITICAL DATE		CHARITABLE TRUST TYPE	
CRITICAL PURPOSE		NUMBER OF BENEFICIARIES	1
PROXY OWNER N&A		OVERDRAFTS	AUTOMATIC OFFSET
CO-TRUSTEE		INVEST INCOME	YES
BUSINESS DEV OFFICER		PRINCIPAL INVASION	YES
CAPACITY		DAILY ACCRUED INC RPT	NO
SCHEDULE RCT OVERRIDE	( )	QUALIFIED PLAN REPORTS	NO
POST CAP GAIN DIV AS	PRINCIPAL		

\*\*\*\*\* INVESTMENT INFORMATION \*\*\*\*\*

INVESTMENT DISCRETION	CSLT	INVESTMENT POWERS FULL POWERS	RETENTION POWERS	GENERAL
INVESTMENT OBJECTIVE	GROWTH EQ	OWN INST TIME DEPOSITS	YES	NO
VOTING AUTHORITY	SOLE	COMMON TRUST FUNDS	YES	NO
TRADE RESTRICTION CODE	NONE	NON INC PROD PROPERTY	YES	YES
# OF RESTRICTED HOLDINGS	0	REAL ESTATE	YES	NO
INVESTMENT MIX OBJECTIVE	PRS MODEL NO. 06	OWN INST STOCK	NO	YES
		BUSINESS INTERESTS	NO	YES

MM INVESTOR TYPE 01-RETAIL INVESTOR  
SOCIAL CODE

\*\*\*\*\* FEE INFORMATION \*\*\*\*\*

FEE MONTH	DECEMBER	FEE START DATE	
FREQUENCY	MONTHLY	LAST FEE COMPUTATION	08/31/2021
HOW CHARGED	DEDUCT FROM ACCOUNT	LAST FEE PAYMENT DATE	12/31/2020
SCHEDULE NUMBER/NAME	02/MONEY MANAGER (IND SECURITIES)	PREV FEE COMPUTATION	07/31/2021
INCOME PERCENT	100	LAST FEE COMPUTATION AMT	2136.46
PRINCIPAL PERCENT	0	PREV FEE COMPUTATION AMT	2136.46
FEE STMT REMITTER		FEE STATEMENT CONSOLIDATION DETAIL	NO
FEE STMT RECIPIENT			

ACCOUNT LEVEL OVERRIDES:

BASE AMOUNT		DISCOUNT AMOUNT	
MINIMUM AMOUNT		DISCOUNT %	
DISPLAY "PERIOD ENDED" ON FEE STMT	YES	ACCT LEVEL FEE CODE	0-OVERRIDES APPLY TO THE ENTIRE FEE
CO-TRUSTEE FEE %		CO-TRUSTEE FEE TRAN CODE	
CO-TRUSTEE FEE AMOUNT		CO-TRUSTEE FEE NET IND	0-CO-TRUSTEE FEE IS IN ADDITION TO REG FEE

ACCOUNT TO CHARGE FEE

FEE SUSPENSE ACCOUNT (INCOME)  
 FEE SUSPENSE ACCOUNT (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 1 (INCOME)  
 FEE POSTING DESCRIPTION LINE 2 (INCOME)  
 FEE POSTING DESCRIPTION LINE 1 (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 2 (PRINCIPAL)

FEEES EXCLUDED CUSIPS:

\*\*\*\*\* REVIEW INFORMATION \*\*\*\*\*

REVIEW MONTH	APRIL 30	REVIEW FREQUENCY	ANNUAL	LAST REVIEW DATE	04/30/2006
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\*\*\*\*\* CUSTOMER STATEMENT INFORMATION \*\*\*\*\*

YEAR END	DECEMBER 31	MONTHLY SUMMARY STMT	NO	COMBINED CASH	NO
FREQUENCY	ANNUAL	CUSTOMER PIR	NO	PERFORMANCE CALC	NOT USED
LAST STATEMENT DATE	12/31/2020				

\*\*\*\*\* TAX INFORMATION \*\*\*\*\*

CREATE CHARLOTTE LEDGER	YES	TAX PREP SERVICE	NO
CREATE WSC LEDGER	YES	TAX SERVICE ACCT TYPE	
WSC STATEMENT TYPE	1-SIMPLE	TAX YEAR END	DECEMBER 31
TAX LOT RETENTION	SEPARATE LOTS-BONDS,EQUITIES,CTFS & FUNDS	SITUS OF TRUST	CA
INFO RETURN REPORTING	APPLICABLE 1098 & 1099 ONLY. NO 5498	FED TAX ID#	XX-XXX5665
TAX LOT SALE METHOD	FIFO	MKT DISC BND ELECTION	NONE
STATE TAX ID#		INHERITED IRA CODE	0-NOT APPLICABLE
INHERITED IRA ORIG OWNER		INHERITED IRA RMD FCTR	000.00
AMORTIZE BOND PREMUIIM-CURR YR	YES	AMORTIZE BOND PREMUIIM-NEXT YR	YES
MKT DISC COMP METHOD-CURR YR	STRAIGHT LINE	MKT DISC COMP METHOD-NEXT YR	STRAIGHT LINE
RECOGNITION OF MKT DISC-CURR YR	AT SALE/REDMPTN/MATURTY	RECOGNITION OF MKT DISC-NEXT YR	AT SALE/REDMPTN/MATURTY
ALL INTEREST AS OID-CURR YR	NO	ALL INTEREST AS OID-NEXT YR	NO
INCOME CONV TO US DOLLARS-CURR YR	PERIOD AVERAGE	INCOME CONV TO US DOLLARS-NEXT YR	PERIOD AVERAGE
AMORTIZATION/ACCRETION CASH TRANSFER	YES	AUTO POST FXD INCME COST BASIS ADJSTMNTS	YES
OID POSTING TRANSACTION CODE	751	MARKET DISCOUNT POSTING TRANSACTION CODE	756

\*\*\*\*\* ACCOUNT TOTALS \*\*\*\*\*

SHORT TERM G/L YTD	0.00	PRIOR YEAR SHORT TERM G/L	0.00
LONG TERM G/L YTD	0.00	PRIOR YEAR LONG TERM G/L	0.00
FEEES PAID YTD	0.00	PRIOR YEAR FEEES PAID	40,869.57
FEEES CALCULATED YTD	17,091.68	PRIOR YEAR FEEES CALCULATED	25,797.79
CONTRIBUTIONS TO DATE	0.00	PRIOR YEAR END MKT VALUE	2,805,434.49
CURRENT YEAR RMD AMT	0.00	CURRENT YEAR RMD TAKEN	0.00

\*\*\*\*\* AUTOMATIC CASH MANAGEMENT SYSTEM - ACCOUNT LEVEL OPTIONS \*\*\*\*\*

FUND DESIGNATION	MA#	CUSIP	NAME	CASH TYPE	TARGET	VARIANCE	MIN PUR	MAXIMUM LEVEL
FUND 1	1	60934N708	FED PO PRIN	C	0	0	0	N/A

\*\*\*\*\* COMMENTS \*\*\*\*\*

MR. ACCOUNTHOLDER WANTS HIS STATEMENTS MAILED IN CONFIDENTIAL ENVELOPE

\*\*\*\*\* INFORMATIONAL ONLY \*\*\*\*\*

N&A REC# PAYEE NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* TRADE ADVICE RECIPIENTS \*\*\*\*\*

N&A REC# RECIPIENT NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* IRS INFORMATION REPORTING CROSS-REFERENCE RECORDS \*\*\*\*\*

N&A REC # NAME	TAXPAYER ID	N&A # ON R&D TRAN	TYPE	OTHER INFORMATION	ADDRESSEE TYPE
00001 TRUST MANAGEMENT NETWORK '	- -	NO	INC/PAYER	REG CODE=0	OTHER TYPE
00013 KIMBERLY MCARTY	- -	NO	INC/PAYEE	INC %=100.0000	OTHER TYPE

\*\*\*\*\* TAX SERVICE INTERFACE CROSS-REFERENCE RECORDS \*\*\*\*\*

N&A REC # NAME	TAXPAYER ID	TYPE	REQ DIST % OR AMT	DISC DIST % OR AMT	ST MUN INT AMOUNT	N&A RECORD STATE CODE
00013 KIMBERLY MCARTY	- -	OT				TX
ADDRESSEE TYPE OTHER TYPE						

\*\*\*\*\* PENDING ITEM FILE RECORDS \*\*\*\*\*

REC NUM	DUE DATE	FRQ/ NBDI	REL CDE	AUT PAY CDE	TRN CDE	TRANSACTION DESCRIPTION (1ST 40 CHAR)	AMOUNT	PERCENT	CUSIP	N&A #1	REF #2	CNT TYP	LOT #
0001	11/25/18	M /P	M	N	023	MONTHLY ANNUITY PAYMENT FROM GE	1000.00						

\*\*\*\*\* REPORT PACKAGE RECIPIENTS \*\*\*\*\*

N&A REC	REPORT RECIPIENT(S)	LAST STMT DATE	INTERIM RPT FREQ	REPTS PKG COP	ANNUAL YEAR END	REPTS PKG COP	NUM OF COP	DELIVERY METHOD	DELIVERY METHOD
00001	TRUST MANAGEMENT NETWORK ' ADDRESSSEE TYPE OTHER TYPE	12/31/2020	A	000 N	12	001 N	001	PORT INTERNET	INTERNET
00002	TRUST MANAGEMENT NETWORK ADDRESSSEE TYPE OTHER TYPE	12/31/2020	A	000 N	12	001 N	001	PORT INTERNET	INTERNET

\*\*\*\*\* ACCOUNT USER FIELDS \*\*\*\*\*

USER FIELD CODE	TEXT LABEL
01	REMINDER JOHN'S BIRTHDAY IS ON MARCH 25TH.

SEP 30, 2021

50 00 9654 1 00

JOHN ACCOUNTHOLDER

ACCOUNT SYNOPTIC AND FEE REPORTS

PAGE

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\*\*\*\*\* INTERFACES \*\*\*\*\*

INTERFACE DESCRIPTION	CODE	INTERFACE DATA
ADP INTERFACE	ADP	ADP Proxy Service 123456789
SCHWAB INTERFACE	SWB	456123789

ACCOUNT NAME: NEWBRIDGE, LEX  
ACCOUNT NUMBER: 50000880001

FEE SCHEDULE NO: AG  
FEE SCHEDULE NAME: AGENCY FEE

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 02)  
ON ALL ASSETS EXCEPT TYPES 15 SUB-TYPE 00 - 09

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
8,751,505.46 +	0.00 +	0.00 =	8,751,505.46

END OF PERIOD MARKET VALUE CHARGES

1.0000% OF	200,000.00	=	2,000.00
0.7500% OF	300,000.00	=	2,250.00
0.6500% OF	500,000.00	=	3,250.00
0.5000% OF	7,751,505.46	=	38,757.53
		TOTAL =	46,257.53

MONTHLY ( 46,257.53 / 12) ASSET HOLDING CHARGES = 3,854.79

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 3,854.79  
TOTAL = 3,854.79

TOTAL ASSET HOLDING CHARGES = 3,854.79

BASIC FEE CHARGES (SUB SCHEDULE 00)

MONTHLY ( 500.00 / 12) BASE FEE = 41.67

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 3,896.46

MONTHLY ( 1,750.00 / 12) MINIMUM FEE = 145.83

TOTAL CALCULATED CHARGES FOR PERIOD = 3,896.46

TOTAL CHARGES FOR PERIOD = 3,896.46

SEP 30, 2021

50 00 0880 0 01 NEWBRIDGE, LEX

ACCOUNT SYNOPTIC AND FEE REPORTS

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TRANSACTIONS USED FOR FEE CALCULATIONS

ACCOUNT NUMBER: 50000880001

ACCOUNT NAME: NEWBRIDGE, LEX

FEE SCHEDULE NO. AG SUBSCHEDULE NO. 03

TOTAL TRANSACTIONS USED FEE SCHEDULE NO. AG SUBSCHEDULE NO. 03 NO. OF TRANS. 0

ACCOUNT SYNOPTIC - AS OF 10/01/21

FOR ACCOUNT OF: LEX T. NEWBRIDGE AGENCY

ACCOUNT NUMBER: 50 00 0880 0 01

\*\*\*\*\* GENERAL INFORMATION \*\*\*\*\*

ACCT SHORT NAME	NEWBRIDGE, LEX	ADMINISTRATIVE OFFICER	BATES
ACCT SORT KEY	NEWBRIDGEL	INVESTMENT OFFICER	ROMACK
DATE ACCOUNT OPENED	01/10/2011	BRANCH	00 NORTH CAROLINA
DATE ACCOUNT BLOCKED		ACCT TYPE	NOT USED
DATE ACCOUNT SAVED		ACCT STATUS	ACTIVE
CRITICAL DATE		CHARITABLE TRUST TYPE	
CRITICAL PURPOSE		NUMBER OF BENEFICIARIES	1
PROXY OWNER N&A	(00001) TRUST MANAGEMENT NETWORK ' OBO	OVERDRAFTS	AUTOMATIC OFFSET
CO-TRUSTEE		INVEST INCOME	YES
BUSINESS DEV OFFICER		PRINCIPAL INVASION	YES
CAPACITY		DAILY ACCRUED INC RPT	NO
SCHEDULE RCT OVERRIDE	( )	QUALIFIED PLAN REPORTS	NO
POST CAP GAIN DIV AS	PRINCIPAL		

\*\*\*\*\* INVESTMENT INFORMATION \*\*\*\*\*

INVESTMENT DISCRETION	DIR	INVESTMENT POWERS	RETENTION POWERS	GENERAL	
INVESTMENT OBJECTIVE		OWN INST TIME DEPOSITS	NO	OWN INST TIME DEPOSITS	NO
VOTING AUTHORITY	SOLE	COMMON TRUST FUNDS	NO	COMMON TRUST FUNDS	NO
TRADE RESTRICTION CODE	NONE	NON INC PROD PROPERTY	NO	NON INC PROD PROPERTY	NO
# OF RESTRICTED HOLDINGS	0	REAL ESTATE	NO	REAL ESTATE	NO
INVESTMENT MIX OBJECTIVE	%	OWN INST STOCK	NO	OWN INST STOCK	NO
	%	BUSINESS INTERESTS	NO	BUSINESS INTERESTS	NO
	%				
	%				
MM INVESTOR TYPE	01-RETAIL INVESTOR				
SOCIAL CODE					

\*\*\*\*\* FEE INFORMATION \*\*\*\*\*

FEE MONTH	DECEMBER	FEE START DATE	
FREQUENCY	MONTHLY	LAST FEE COMPUTATION	08/31/2021
HOW CHARGED	DEDUCT FROM ACCOUNT	LAST FEE PAYMENT DATE	12/31/2020
SCHEDULE NUMBER/NAME	AG/AGENCY FEE	PREV FEE COMPUTATION	07/31/2021
INCOME PERCENT	100	LAST FEE COMPUTATION AMT	3896.46
PRINCIPAL PERCENT	0	PREV FEE COMPUTATION AMT	3896.46
FEE STMT REMITTER		FEE STATEMENT CONSOLIDATION DETAIL	NO
FEE STMT RECIPIENT			

ACCOUNT LEVEL OVERRIDES:

BASE AMOUNT		DISCOUNT AMOUNT	
MINIMUM AMOUNT		DISCOUNT %	
DISPLAY "PERIOD ENDED" ON FEE STMT	YES	ACCT LEVEL FEE CODE	0-OVERRIDES APPLY TO THE ENTIRE FEE
CO-TRUSTEE FEE %		CO-TRUSTEE FEE TRAN CODE	
CO-TRUSTEE FEE AMOUNT		CO-TRUSTEE FEE NET IND	0-CO-TRUSTEE FEE IS IN ADDITION TO REG FEE

ACCOUNT TO CHARGE FEE

FEE SUSPENSE ACCOUNT (INCOME)  
 FEE SUSPENSE ACCOUNT (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 1 (INCOME)  
 FEE POSTING DESCRIPTION LINE 2 (INCOME)  
 FEE POSTING DESCRIPTION LINE 1 (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 2 (PRINCIPAL)



FEEES EXCLUDED CUSIPS:

\*\*\*\*\* REVIEW INFORMATION \*\*\*\*\*  
REVIEW MONTH JANUARY 31 REVIEW FREQUENCY ANNUAL LAST REVIEW DATE

\*\*\*\*\* CUSTOMER STATEMENT INFORMATION \*\*\*\*\*  
YEAR END DECEMBER 31 MONTHLY SUMMARY STMT NO COMBINED CASH NO  
FREQUENCY ANNUAL CUSTOMER PIR NO PERFORMANCE CALC NOT USED  
LAST STATEMENT DATE 12/31/2020

\*\*\*\*\* TAX INFORMATION \*\*\*\*\*  
CREATE CHARLOTTE LEDGER YES TAX PREP SERVICE NO  
CREATE WSC LEDGER YES TAX SERVICE ACCT TYPE  
WSC STATEMENT TYPE 1-SIMPLE TAX YEAR END DECEMBER 31  
TAX LOT RETENTION SEPARATE LOTS-BONDS,EQUITIES,CTFS & FUNDS SITUS OF TRUST  
INFO RETURN REPORTING APPLICABLE 1098 & 1099 ONLY. NO 5498 FED TAX ID#  
TAX LOT SALE METHOD FIFO MKT DISC BND ELECTION MATURITY  
STATE TAX ID# INHERITED IRA CODE 0-NOT APPLICABLE  
INHERITED IRA ORIG OWNER INHERITED IRA RMD FCTR 000.00  
AMORTIZE BOND PREMUIUM-CURR YR YES AMORTIZE BOND PREMUIUM-NEXT YR YES  
MKT DISC COMP METHOD-CURR YR STRAIGHT LINE MKT DISC COMP METHOD-NEXT YR STRAIGHT LINE  
RECOGNITION OF MKT DISC-CURR YR AT SALE/REDMPTN/MATURTY RECOGNITION OF MKT DISC-NEXT YR AT SALE/REDMPTN/MATURTY  
ALL INTEREST AS OID-CURR YR NO ALL INTEREST AS OID-NEXT YR NO  
INCOME CONV TO US DOLLARS-CURR YR PERIOD AVERAGE INCOME CONV TO US DOLLARS-NEXT YR PERIOD AVERAGE  
AMORTIZATION/ACCRETION CASH TRANSFER YES AUTO POST FXD INCME COST BASIS ADJSTMNTS YES  
OID POSTING TRANSACTION CODE 751 MARKET DISCOUNT POSTING TRANSACTION CODE 756

\*\*\*\*\* ACCOUNT TOTALS \*\*\*\*\*  
SHORT TERM G/L YTD 0.00 PRIOR YEAR SHORT TERM G/L 0.00  
LONG TERM G/L YTD 0.00 PRIOR YEAR LONG TERM G/L 0.00  
FEES PAID YTD 0.00 PRIOR YEAR FEES PAID 74,210.39  
FEES CALCULATED YTD 31,171.68 PRIOR YEAR FEES CALCULATED 46,860.37  
CONTRIBUTIONS TO DATE 0.00 PRIOR YEAR END MKT VALUE 8,751,505.46  
CURRENT YEAR RMD AMT 0.00 CURRENT YEAR RMD TAKEN 0.00

\*\*\*\*\* AUTOMATIC CASH MANAGEMENT SYSTEM - ACCOUNT LEVEL OPTIONS \*\*\*\*\*  
FUND DESIGNATION MA# CUSIP NAME CASH TYPE TARGET VARIANCE MIN PUR MAXIMUM LEVEL  
FUND 1 1 60934N708 FED PO PRIN C 0 0 0 N/A

\*\*\*\*\* COMMENTS \*\*\*\*\*

NONE

\*\*\*\*\* INFORMATIONAL ONLY \*\*\*\*\*

N&A REC# PAYEE NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* TRADE ADVICE RECIPIENTS \*\*\*\*\*

N&A REC# RECIPIENT NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* IRS INFORMATION REPORTING CROSS-REFERENCE RECORDS \*\*\*\*\*

N&A REC # NAME	TAXPAYER ID	N&A # ON R&D TRAN	TYPE	OTHER INFORMATION	ADDRESSEE TYPE
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NONE

\*\*\*\*\* REPORT PACKAGE RECIPIENTS \*\*\*\*\*

N&A REC	REPORT RECIPIENT(S)	LAST STMT DATE	INTERIM REPTS RPT PKG BNK FREQ NUM COP	ANNUAL REPTS YEAR PKG BNK END NUM COP	NUM OF COP	DELIVERY METHOD STYLE INTERIM	DELIVERY METHOD ANNUAL
00001	TRUST MANAGEMENT NETWORK ' ADDRESSEE TYPE OTHER TYPE	12/31/2020	A 000 N	12 001 N	001	LAND INTERNET	INTERNET

SEP 30, 2021

50 00 1238 0 01 DEBBIE SIMPSON AGY

ACCOUNT SYNOPTIC AND FEE REPORTS

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: DEBBIE SIMPSON AGY

ACCOUNT NUMBER: 50001238001

FEE SCHEDULE NO: 01

FEE SCHEDULE NAME: STANDARD FEE

FEE Y/E: 12/31/2021

FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 01)  
ON ALL ASSETS EXCEPT TYPES 15 SUB-TYPE 00

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
4,039,050.08 +	0.00 +	0.00 =	4,039,050.08

END OF PERIOD MARKET VALUE CHARGES

1.2500% OF	500,000.00	=	6,250.00
1.0000% OF	1,500,000.00	=	15,000.00
0.7500% OF	2,039,050.08	=	15,292.88
		TOTAL =	36,542.88

MONTHLY ( 36,542.88 / 12) ASSET HOLDING CHARGES = 3,045.24

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 3,045.24

MONTHLY ( 5,000.00 / 12) MINIMUM FEE = 416.67

TOTAL = 3,045.24

TOTAL ASSET HOLDING CHARGES = 3,045.24

TRANSACTION CHARGES (SUB SCHEDULE 02)

ON DISBURSEMENTS

ON PURCHASES & SALES EXCEPT 43, 44

NUMBER OF TRANSACTIONS CHARGES

0.0000 PER TRANS ON 1 TRANS = 0.00

TOTAL CHARGES ON TRANSACTIONS - YEAR TO DATE = 0.00

LESS TRANSACTION CHARGES - PRIOR PERIODS = 0.00

NET TRANSACTIONS CHARGES - THIS PERIOD = 0.00

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 0.00

TOTAL = 0.00

SEP 30, 2021  
50 00 1238 0 01 DEBBIE SIMPSON AGY

ACCOUNT SYNOPTIC AND FEE REPORTS

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: DEBBIE SIMPSON AGY  
ACCOUNT NUMBER: 50001238001

FEE SCHEDULE NO: 01  
FEE SCHEDULE NAME: STANDARD FEE

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

BASIC FEE CHARGES (SUB SCHEDULE 00)

	TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	3,045.24	
MONTHLY (	2,500.00 / 12)	MINIMUM FEE =	208.33
	TOTAL CALCULATED CHARGES FOR PERIOD =	3,045.24	
		TOTAL CHARGES FOR PERIOD =	3,045.24

SEP 30, 2021

50 00 1238 0 01 DEBBIE SIMPSON AGY

ACCOUNT SYNOPTIC AND FEE REPORTS

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TRANSACTIONS USED FOR FEE CALCULATIONS

ACCOUNT NUMBER: 50001238001  
ACCOUNT NAME: DEBBIE SIMPSON AGY

FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02

R&D		TRAN	SEC			
ITEM#	DATE	TYPE	TYPE	DESCRIPTION		TRANS AMOUNT
110	01042021	94		REDEEM		55611.72
			17	60934N70I FEDERATED PRIME OBLIGATION INCOME		
TOTAL TRANSACTIONS USED FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02						NO. OF TRANS. 1

ACCOUNT SYNOPTIC - AS OF 10/01/21

FOR ACCOUNT OF: DEBBIE SIMPSON AGENCY ACCOUNT

ACCOUNT NUMBER: 50 00 1238 0 01

\*\*\*\*\* GENERAL INFORMATION \*\*\*\*\*

ACCT SHORT NAME	DEBBIE SIMPSON AGY	ADMINISTRATIVE OFFICER	BATES
ACCT SORT KEY		INVESTMENT OFFICER	ABC INVEST
DATE ACCOUNT OPENED	12/17/2015	BRANCH	00 NORTH CAROLINA
DATE ACCOUNT BLOCKED		ACCT TYPE	NOT USED
DATE ACCOUNT SAVED		ACCT STATUS	ACTIVE
CRITICAL DATE		CHARITABLE TRUST TYPE	
CRITICAL PURPOSE		NUMBER OF BENEFICIARIES	99
PROXY OWNER N&A		OVERDRAFTS	NO SPECIAL HANDLING
CO-TRUSTEE		INVEST INCOME	YES
BUSINESS DEV OFFICER		PRINCIPAL INVASION	YES
CAPACITY		DAILY ACCRUED INC RPT	NO
SCHEDULE RCT OVERRIDE	( )	QUALIFIED PLAN REPORTS	NO
POST CAP GAIN DIV AS	PRINCIPAL		

\*\*\*\*\* INVESTMENT INFORMATION \*\*\*\*\*

INVESTMENT DISCRETION	DIR	INVESTMENT POWERS	RETENTION POWERS	GENERAL	
INVESTMENT OBJECTIVE	GROWTH EQ	OWN INST TIME DEPOSITS	NO	OWN INST TIME DEPOSITS	NO
VOTING AUTHORITY	SOLE	COMMON TRUST FUNDS	NO	COMMON TRUST FUNDS	NO
TRADE RESTRICTION CODE	NONE	NON INC PROD PROPERTY	NO	NON INC PROD PROPERTY	NO
# OF RESTRICTED HOLDINGS	0	REAL ESTATE	NO	REAL ESTATE	NO
INVESTMENT MIX OBJECTIVE	%	OWN INST STOCK	NO	OWN INST STOCK	NO
	%	BUSINESS INTERESTS	NO	BUSINESS INTERESTS	NO
	%				
	%				
MM INVESTOR TYPE	01-RETAIL INVESTOR				
SOCIAL CODE					

\*\*\*\*\* FEE INFORMATION \*\*\*\*\*

FEE MONTH	DECEMBER	FEE START DATE	
FREQUENCY	MONTHLY	LAST FEE COMPUTATION	08/31/2021
HOW CHARGED	DEDUCT FROM ACCOUNT	LAST FEE PAYMENT DATE	12/31/2020
SCHEDULE NUMBER/NAME	01/STANDARD FEE	PREV FEE COMPUTATION	07/31/2021
INCOME PERCENT	100	LAST FEE COMPUTATION AMT	3045.24
PRINCIPAL PERCENT	0	PREV FEE COMPUTATION AMT	3045.24
FEE STMT REMITTER		FEE STATEMENT CONSOLIDATION DETAIL	NO
FEE STMT RECIPIENT			

ACCOUNT LEVEL OVERRIDES:

BASE AMOUNT		DISCOUNT AMOUNT	
MINIMUM AMOUNT		DISCOUNT %	
DISPLAY "PERIOD ENDED" ON FEE STMT	NO	ACCT LEVEL FEE CODE	0-OVERRIDES APPLY TO THE ENTIRE FEE
CO-TRUSTEE FEE %		CO-TRUSTEE FEE TRAN CODE	
CO-TRUSTEE FEE AMOUNT		CO-TRUSTEE FEE NET IND	0-CO-TRUSTEE FEE IS IN ADDITION TO REG FEE

ACCOUNT TO CHARGE FEE

FEE SUSPENSE ACCOUNT (INCOME)  
 FEE SUSPENSE ACCOUNT (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 1 (INCOME)  
 FEE POSTING DESCRIPTION LINE 2 (INCOME)  
 FEE POSTING DESCRIPTION LINE 1 (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 2 (PRINCIPAL)

FEEES EXCLUDED CUSIPS:

\*\*\*\*\* REVIEW INFORMATION \*\*\*\*\*

REVIEW MONTH	FEBRUARY 28	REVIEW FREQUENCY	ANNUAL	LAST REVIEW DATE	
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\*\*\*\*\* CUSTOMER STATEMENT INFORMATION \*\*\*\*\*

YEAR END	DECEMBER 31	MONTHLY SUMMARY STMT	NO	COMBINED CASH	NO
FREQUENCY	MONTHLY	CUSTOMER PIR	NO	PERFORMANCE CALC	NOT USED
LAST STATEMENT DATE	08/31/2021				

\*\*\*\*\* TAX INFORMATION \*\*\*\*\*

CREATE CHARLOTTE LEDGER	YES	TAX PREP SERVICE	NO
CREATE WSC LEDGER	NO	TAX SERVICE ACCT TYPE	
WSC STATEMENT TYPE	1-SIMPLE	TAX YEAR END	DECEMBER 31
TAX LOT RETENTION	SEPARATE LOTS-BONDS,EQUITIES,CTFS & FUNDS	SITUS OF TRUST	
INFO RETURN REPORTING	APPLICABLE 1098 & 1099 ONLY. NO 5498	FED TAX ID#	
TAX LOT SALE METHOD	FIFO	MKT DISC BND ELECTION	NONE
STATE TAX ID#		INHERITED IRA CODE	0-NOT APPLICABLE
INHERITED IRA ORIG OWNER		INHERITED IRA RMD FCTR	000.00
AMORTIZE BOND PREMUIIM-CURR YR	YES	AMORTIZE BOND PREMUIIM-NEXT YR	YES
MKT DISC COMP METHOD-CURR YR	STRAIGHT LINE	MKT DISC COMP METHOD-NEXT YR	STRAIGHT LINE
RECOGNITION OF MKT DISC-CURR YR	AT SALE/REDMPTN/MATURTY	RECOGNITION OF MKT DISC-NEXT YR	AT SALE/REDMPTN/MATURTY
ALL INTEREST AS OID-CURR YR	NO	ALL INTEREST AS OID-NEXT YR	NO
INCOME CONV TO US DOLLARS-CURR YR	PERIOD AVERAGE	INCOME CONV TO US DOLLARS-NEXT YR	PERIOD AVERAGE
AMORTIZATION/ACCRETION CASH TRANSFER	YES	AUTO POST FXD INCME COST BASIS ADJSTMNTS	YES
OID POSTING TRANSACTION CODE	751	MARKET DISCOUNT POSTING TRANSACTION CODE	756

\*\*\*\*\* ACCOUNT TOTALS \*\*\*\*\*

SHORT TERM G/L YTD	0.00	PRIOR YEAR SHORT TERM G/L	0.00
LONG TERM G/L YTD	0.00	PRIOR YEAR LONG TERM G/L	-459,999.00
FEEES PAID YTD	0.00	PRIOR YEAR FEEES PAID	55,801.96
FEEES CALCULATED YTD	24,361.92	PRIOR YEAR FEEES CALCULATED	40,210.28
CONTRIBUTIONS TO DATE	0.00	PRIOR YEAR END MKT VALUE	4,039,050.08
CURRENT YEAR RMD AMT	0.00	CURRENT YEAR RMD TAKEN	0.00

\*\*\*\*\* AUTOMATIC CASH MANAGEMENT SYSTEM - ACCOUNT LEVEL OPTIONS \*\*\*\*\*

FUND DESIGNATION	MA#	CUSIP	NAME	CASH TYPE	TARGET	VARIANCE	MIN PUR	MAXIMUM LEVEL
FUND 1	1	60934N708	FED PO PRIN	P	0	0	0	N/A
FUND 2	2	60934N70I	FED PO INC	I	0	0	0	N/A

\*\*\*\*\* COMMENTS \*\*\*\*\*

NONE

\*\*\*\*\* INFORMATIONAL ONLY \*\*\*\*\*

N&A REC# PAYEE NAME ADDRESSEE TYPE

NONE





SEP 30, 2021  
50 10 2785 1 01 AWAVES

ACCOUNT SYNOPTIC AND FEE REPORTS

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: AWAVES  
ACCOUNT NUMBER: 50102785101

FEE SCHEDULE NO: 07  
FEE SCHEDULE NAME: TEST FEE

FEE Y/E: 12/31/2021  
FEE FREQ: QUARTERLY

BASIC FEE CHARGES (SUB SCHEDULE 00)

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	0.00
TOTAL CALCULATED CHARGES FOR PERIOD =	0.00
TOTAL CHARGES FOR PERIOD =	0.00

FOR ACCOUNT OF: AMBER WAVES PERSONAL TRUST

ACCOUNT NUMBER: 50 10 2785 1 01

\*\*\*\*\* GENERAL INFORMATION \*\*\*\*\*

ACCT SHORT NAME	AWAVES	ADMINISTRATIVE OFFICER	BATES
ACCT SORT KEY	WAVESAMBER	INVESTMENT OFFICER	KENNEDY
DATE ACCOUNT OPENED	01/25/2011	BRANCH	00 NORTH CAROLINA
DATE ACCOUNT BLOCKED		ACCT TYPE	NOT USED
DATE ACCOUNT SAVED		ACCT STATUS	ACTIVE
CRITICAL DATE	09/17/2011	CHARITABLE TRUST TYPE	
CRITICAL PURPOSE	CLIENT BIRTHDAY	NUMBER OF BENEFICIARIES	99
PROXY OWNER N&A	(00001) TRUST MANAGEMENT NETWORK ' OBO	OVERDRAFTS	NO SPECIAL HANDLING
CO-TRUSTEE		INVEST INCOME	YES
BUSINESS DEV OFFICER		PRINCIPAL INVASION	YES
CAPACITY		DAILY ACCRUED INC RPT	NO
SCHEDULE RCT OVERRIDE	( )	QUALIFIED PLAN REPORTS	NO
POST CAP GAIN DIV AS	PRINCIPAL		

\*\*\*\*\* INVESTMENT INFORMATION \*\*\*\*\*

INVESTMENT DISCRETION	CSLT	INVESTMENT POWERS	RETENTION POWERS	GENERAL
INVESTMENT OBJECTIVE		OWN INST TIME DEPOSITS	NO	NO
VOTING AUTHORITY	SHARED	COMMON TRUST FUNDS	NO	NO
TRADE RESTRICTION CODE	NONE	NON INC PROD PROPERTY	NO	NO
# OF RESTRICTED HOLDINGS	0	REAL ESTATE	NO	NO
INVESTMENT MIX OBJECTIVE	PRS MODEL NO. 01	OWN INST STOCK	NO	NO
		BUSINESS INTERESTS	NO	NO

MM INVESTOR TYPE 01-RETAIL INVESTOR  
SOCIAL CODE

\*\*\*\*\* FEE INFORMATION \*\*\*\*\*

FEE MONTH	DECEMBER	FEE START DATE	
FREQUENCY	QUARTERLY	LAST FEE COMPUTATION	06/30/2021
HOW CHARGED	NOT SPECIFIED	LAST FEE PAYMENT DATE	
SCHEDULE NUMBER/NAME	07/TEST FEE	PREV FEE COMPUTATION	03/31/2021
INCOME PERCENT	100	LAST FEE COMPUTATION AMT	0.00
PRINCIPAL PERCENT	0	PREV FEE COMPUTATION AMT	0.00
FEE STMT REMITTER	(00001) TRUST MANAGEMENT NETWORK '	FEE STATEMENT CONSOLIDATION DETAIL	NO
FEE STMT RECIPIENT	(09170) YAVONDA K. BAYNARD		

ACCOUNT LEVEL OVERRIDES:

BASE AMOUNT		DISCOUNT AMOUNT	
MINIMUM AMOUNT		DISCOUNT %	
DISPLAY "PERIOD ENDED" ON FEE STMT	YES	ACCT LEVEL FEE CODE	0-OVERRIDES APPLY TO THE ENTIRE FEE
CO-TRUSTEE FEE %		CO-TRUSTEE FEE TRAN CODE	
CO-TRUSTEE FEE AMOUNT		CO-TRUSTEE FEE NET IND	0-CO-TRUSTEE FEE IS IN ADDITION TO REG FEE

ACCOUNT TO CHARGE FEE

FEE SUSPENSE ACCOUNT (INCOME)  
 FEE SUSPENSE ACCOUNT (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 1 (INCOME)  
 FEE POSTING DESCRIPTION LINE 2 (INCOME)  
 FEE POSTING DESCRIPTION LINE 1 (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 2 (PRINCIPAL)

FEEES EXCLUDED CUSIPS:

\*\*\*\*\* REVIEW INFORMATION \*\*\*\*\*  
REVIEW MONTH REVIEW FREQUENCY LAST REVIEW DATE

\*\*\*\*\* CUSTOMER STATEMENT INFORMATION \*\*\*\*\*  
YEAR END DECEMBER 31 MONTHLY SUMMARY STMT YES COMBINED CASH NO  
FREQUENCY QUARTERLY CUSTOMER PIR NO PERFORMANCE CALC NOT USED  
LAST STATEMENT DATE 06/30/2021

\*\*\*\*\* TAX INFORMATION \*\*\*\*\*

CREATE CHARLOTTE LEDGER YES TAX PREP SERVICE NO  
CREATE WSC LEDGER YES TAX SERVICE ACCT TYPE  
WSC STATEMENT TYPE 1-SIMPLE TAX YEAR END DECEMBER 31  
TAX LOT RETENTION SEPARATE LOTS-BONDS,EQUITIES,CTFS & FUNDS SITUS OF TRUST NC  
INFO RETURN REPORTING APPLICABLE 1098 & 1099 ONLY. NO 5498 FED TAX ID# XX-XXX0650  
TAX LOT SALE METHOD FIFO MKT DISC BND ELECTION NONE  
STATE TAX ID# XX-XXX3453 INHERITED IRA CODE 0-NOT APPLICABLE  
INHERITED IRA ORIG OWNER INHERITED IRA RMD FCTR 000.00  
AMORTIZE BOND PREMUIIM-CURR YR YES AMORTIZE BOND PREMUIIM-NEXT YR YES  
MKT DISC COMP METHOD-CURR YR STRAIGHT LINE MKT DISC COMP METHOD-NEXT YR STRAIGHT LINE  
RECOGNITION OF MKT DISC-CURR YR AT SALE/REDMPTN/MATURTY RECOGNITION OF MKT DISC-NEXT YR AT SALE/REDMPTN/MATURTY  
ALL INTEREST AS OID-CURR YR NO ALL INTEREST AS OID-NEXT YR NO  
INCOME CONV TO US DOLLARS-CURR YR PERIOD AVERAGE INCOME CONV TO US DOLLARS-NEXT YR PERIOD AVERAGE  
AMORTIZATION/ACCRETION CASH TRANSFER YES AUTO POST FXD INCME COST BASIS ADJSTMNTS YES  
OID POSTING TRANSACTION CODE 751 MARKET DISCOUNT POSTING TRANSACTION CODE 756

\*\*\*\*\* ACCOUNT TOTALS \*\*\*\*\*

SHORT TERM G/L YTD 0.00 PRIOR YEAR SHORT TERM G/L 0.00  
LONG TERM G/L YTD 0.00 PRIOR YEAR LONG TERM G/L 0.00  
FEES PAID YTD 0.00 PRIOR YEAR FEES PAID 0.00  
FEES CALCULATED YTD 0.00 PRIOR YEAR FEES CALCULATED 0.00  
CONTRIBUTIONS TO DATE 0.00 PRIOR YEAR END MKT VALUE 507,601.94  
CURRENT YEAR RMD AMT 0.00 CURRENT YEAR RMD TAKEN 0.00

\*\*\*\*\* AUTOMATIC CASH MANAGEMENT SYSTEM - ACCOUNT LEVEL OPTIONS \*\*\*\*\*

FUND DESIGNATION	MA#	CUSIP	NAME	CASH TYPE	TARGET	VARIANCE	MIN PUR	MAXIMUM LEVEL
FUND 1	1	60934N708	FED PO PRIN	C	0	0	0	N/A

\*\*\*\*\* COMMENTS \*\*\*\*\*

NONE

\*\*\*\*\* INFORMATIONAL ONLY \*\*\*\*\*

N&A REC# PAYEE NAME ADDRESSEE TYPE  
56142 JOHN SMITH INVESTMENT ADVISOR

\*\*\*\*\* TRADE ADVICE RECIPIENTS \*\*\*\*\*

N&A REC# RECIPIENT NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* IRS INFORMATION REPORTING CROSS-REFERENCE RECORDS \*\*\*\*\*

N&A REC # NAME	TAXPAYER ID	N&A # ON R&D TRAN	TYPE	OTHER INFORMATION	ADDRESSEE TYPE
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NONE

\*\*\*\*\* REPORT PACKAGE RECIPIENTS \*\*\*\*\*

N&A REC	REPORT RECIPIENT(S)	LAST STMT DATE	INTERIM REPTS RPT PKG BNK FREQ NUM COP	ANNUAL REPTS YEAR PKG BNK END NUM COP	NUM OF COP	DELIVERY METHOD STYLE INTERIM	DELIVERY METHOD ANNUAL
00001	TRUST MANAGEMENT NETWORK ' ADDRESSEE TYPE OTHER TYPE	12/31/2020	A 000 N	12 001 N	001	LAND INTERNET	INTERNET

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: LC BAYNARD IRA  
ACCOUNT NUMBER: 69000902101

FEE SCHEDULE NO: 01  
FEE SCHEDULE NAME: STANDARD FEE

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 01)  
ON ALL ASSETS EXCEPT TYPES 15 SUB-TYPE 00

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
21.40 +	25,228.30 +	0.00 =	25,249.70

END OF PERIOD MARKET VALUE CHARGES  
1.2500% OF 25,249.70

	=	315.62
MONTHLY ( 315.62 / 12)	ASSET HOLDING CHARGES =	26.30
	TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	26.30
MONTHLY ( 5,000.00 / 12)	MINIMUM FEE =	416.67
	TOTAL =	416.67

TOTAL ASSET HOLDING CHARGES = 416.67

BASIC FEE CHARGES (SUB SCHEDULE 00)

	TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	416.67
MONTHLY ( 2,500.00 / 12)	MINIMUM FEE =	208.33
	TOTAL CALCULATED CHARGES FOR PERIOD =	416.67

TOTAL CHARGES FOR PERIOD = 416.67

SEP 30, 2021

69 00 0902 1 01 LC BAYNARD IRA

ACCOUNT SYNOPTIC AND FEE REPORTS

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TRANSACTIONS USED FOR FEE CALCULATIONS

ACCOUNT NUMBER: 69000902101

ACCOUNT NAME: LC BAYNARD IRA

FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02

TOTAL TRANSACTIONS USED FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02 NO. OF TRANS. 0

FOR ACCOUNT OF: LC BAYNARD IRA  
DTD 7/24/09

ACCOUNT NUMBER: 69 00 0902 1 01

\*\*\*\*\* GENERAL INFORMATION \*\*\*\*\*

ACCT SHORT NAME	LC BAYNARD IRA	ADMINISTRATIVE OFFICER	BATES
ACCT SORT KEY	BAYNARDLC	INVESTMENT OFFICER	ABC INVEST
DATE ACCOUNT OPENED	12/18/2015	BRANCH	00 NORTH CAROLINA
DATE ACCOUNT BLOCKED		ACCT TYPE	IRA CUST
DATE ACCOUNT SAVED		ACCT STATUS	ACTIVE
CRITICAL DATE		CHARITABLE TRUST TYPE	
CRITICAL PURPOSE		NUMBER OF BENEFICIARIES	99
PROXY OWNER N&A		OVERDRAFTS	NO SPECIAL HANDLING
CO-TRUSTEE		INVEST INCOME	
BUSINESS DEV OFFICER		PRINCIPAL INVASION	
CAPACITY		DAILY ACCRUED INC RPT	NO
SCHEDULE RCT OVERRIDE	( )	QUALIFIED PLAN REPORTS	NO
POST CAP GAIN DIV AS	PRINCIPAL		

\*\*\*\*\* INVESTMENT INFORMATION \*\*\*\*\*

INVESTMENT DISCRETION	CSLT	INVESTMENT POWERS	RETENTION POWERS	GENERAL
INVESTMENT OBJECTIVE		OWN INST TIME DEPOSITS	OWN INST TIME DEPOSITS	NO
VOTING AUTHORITY	SOLE	COMMON TRUST FUNDS	COMMON TRUST FUNDS	NO
TRADE RESTRICTION CODE	NONE	NON INC PROD PROPERTY	NON INC PROD PROPERTY	NO
# OF RESTRICTED HOLDINGS	0	REAL ESTATE	REAL ESTATE	NO
INVESTMENT MIX OBJECTIVE	%	OWN INST STOCK	OWN INST STOCK	NO
	%	BUSINESS INTERESTS	BUSINESS INTERESTS	NO
	%			
	%			
MM INVESTOR TYPE	01-RETAIL INVESTOR			
SOCIAL CODE				

\*\*\*\*\* FEE INFORMATION \*\*\*\*\*

FEE MONTH	DECEMBER	FEE START DATE	
FREQUENCY	MONTHLY	LAST FEE COMPUTATION	08/31/2021
HOW CHARGED	DEDUCT FROM ACCOUNT	LAST FEE PAYMENT DATE	12/31/2020
SCHEDULE NUMBER/NAME	01/STANDARD FEE	PREV FEE COMPUTATION	07/31/2021
INCOME PERCENT	100	LAST FEE COMPUTATION AMT	416.67
PRINCIPAL PERCENT	0	PREV FEE COMPUTATION AMT	416.67
FEE STMT REMITTER		FEE STATEMENT CONSOLIDATION DETAIL	NO
FEE STMT RECIPIENT			

ACCOUNT LEVEL OVERRIDES:

BASE AMOUNT		DISCOUNT AMOUNT	
MINIMUM AMOUNT		DISCOUNT %	
DISPLAY "PERIOD ENDED" ON FEE STMT	NO	ACCT LEVEL FEE CODE	0-OVERRIDES APPLY TO THE ENTIRE FEE
CO-TRUSTEE FEE %		CO-TRUSTEE FEE TRAN CODE	
CO-TRUSTEE FEE AMOUNT		CO-TRUSTEE FEE NET IND	0-CO-TRUSTEE FEE IS IN ADDITION TO REG FEE

ACCOUNT TO CHARGE FEE

FEE SUSPENSE ACCOUNT (INCOME)  
 FEE SUSPENSE ACCOUNT (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 1 (INCOME)  
 FEE POSTING DESCRIPTION LINE 2 (INCOME)  
 FEE POSTING DESCRIPTION LINE 1 (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 2 (PRINCIPAL)

FEEES EXCLUDED CUSIPS:

\*\*\*\*\* REVIEW INFORMATION \*\*\*\*\*  
REVIEW MONTH REVIEW FREQUENCY LAST REVIEW DATE

\*\*\*\*\* CUSTOMER STATEMENT INFORMATION \*\*\*\*\*  
YEAR END DECEMBER 31 MONTHLY SUMMARY STMT NO COMBINED CASH NO  
FREQUENCY MONTHLY CUSTOMER PIR NO PERFORMANCE CALC NOT USED  
LAST STATEMENT DATE 08/31/2021

\*\*\*\*\* TAX INFORMATION \*\*\*\*\*

CREATE CHARLOTTE LEDGER YES TAX PREP SERVICE NO  
CREATE WSC LEDGER NO TAX SERVICE ACCT TYPE IRA  
WSC STATEMENT TYPE 1-SIMPLE TAX YEAR END DECEMBER 31  
TAX LOT RETENTION SEPARATE LOTS-BONDS,EQUITIES,CTFS & FUNDS SITUS OF TRUST  
INFO RETURN REPORTING APPLICABLE 1098, 1099MISC(NONEMP COMP) & 5498 FED TAX ID#  
TAX LOT SALE METHOD FIFO MKT DISC BND ELECTION NONE  
STATE TAX ID# INHERITED IRA CODE 0-NOT APPLICABLE  
INHERITED IRA ORIG OWNER INHERITED IRA RMD FCTR 000.00  
AMORTIZE BOND PREMUIIM-CURR YR YES AMORTIZE BOND PREMUIIM-NEXT YR YES  
MKT DISC COMP METHOD-CURR YR STRAIGHT LINE MKT DISC COMP METHOD-NEXT YR STRAIGHT LINE  
RECOGNITION OF MKT DISC-CURR YR AT SALE/REDMPTN/MATURTY RECOGNITION OF MKT DISC-NEXT YR AT SALE/REDMPTN/MATURTY  
ALL INTEREST AS OID-CURR YR NO ALL INTEREST AS OID-NEXT YR NO  
INCOME CONV TO US DOLLARS-CURR YR PERIOD AVERAGE INCOME CONV TO US DOLLARS-NEXT YR PERIOD AVERAGE  
AMORTIZATION/ACCRETION CASH TRANSFER YES AUTO POST FXD INCME COST BASIS ADJSTMNTS YES  
OID POSTING TRANSACTION CODE 751 MARKET DISCOUNT POSTING TRANSACTION CODE 030

\*\*\*\*\* ACCOUNT TOTALS \*\*\*\*\*

SHORT TERM G/L YTD 0.00 PRIOR YEAR SHORT TERM G/L 0.00  
LONG TERM G/L YTD 0.00 PRIOR YEAR LONG TERM G/L 0.00  
FEES PAID YTD 0.00 PRIOR YEAR FEES PAID 7,916.73  
FEES CALCULATED YTD 3,333.36 PRIOR YEAR FEES CALCULATED 5,000.04  
CONTRIBUTIONS TO DATE 0.00 PRIOR YEAR END MKT VALUE 25,249.70  
CURRENT YEAR RMD AMT 0.00 CURRENT YEAR RMD TAKEN 0.00

\*\*\*\*\* AUTOMATIC CASH MANAGEMENT SYSTEM - INSTITUTION LEVEL OPTIONS \*\*\*\*\*

FUND DESIGNATION MA# CUSIP NAME CASH TYPE TARGET VARIANCE MIN PUR MAXIMUM LEVEL  
N/A

\*\*\*\*\* COMMENTS \*\*\*\*\*

NONE

\*\*\*\*\* INFORMATIONAL ONLY \*\*\*\*\*

N&A REC# PAYEE NAME ADDRESSEE TYPE

NONE



\*\*\*\*\* TRADE ADVICE RECIPIENTS \*\*\*\*\*

N&A REC# RECIPIENT NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* IRS INFORMATION REPORTING CROSS-REFERENCE RECORDS \*\*\*\*\*

N&A REC # NAME	TAXPAYER ID	N&A # ON R&D TRAN	TYPE	OTHER INFORMATION	ADDRESSEE TYPE
00001 TRUST MANAGEMENT NETWORK '	- -	NO	5498/PAYER		OTHER TYPE
09170 YAVONDA K. BAYNARD	XXX-XX-3344	NO	5498/PAYEE		OTHER TYPE

\*\*\*\*\* REPORT PACKAGE RECIPIENTS \*\*\*\*\*

N&A REC	REPORT RECIPIENT(S)	LAST STMT DATE	INTERIM REPTS RPT FREQ	PKG BNK NUM COP	ANNUAL REPTS YEAR END	PKG BNK NUM COP	OF COP	DELIVERY METHOD STYLE	DELIVERY METHOD INTERIM		
00000	(UNASSIGNED RPT PACKAGES)	08/31/2021	M	001	Y	12	001	Y	001	LAND PRINTED	PRINTED

ACCOUNT NAME: MISC SUSPENSE  
ACCOUNT NUMBER: 80001111001

FEE SCHEDULE NO: AG  
FEE SCHEDULE NAME: AGENCY FEE

FEE Y/E: 01/31/2022  
FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 02)  
ON ALL ASSETS EXCEPT TYPES 15 SUB-TYPE 00 - 09

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
0.00 +	-6,474.22 +	0.00 =	-6,474.22

END OF PERIOD MARKET VALUE CHARGES  
1.0000% OF -6,474.22 = -64.74  
MONTHLY ( -64.74 / 12) ASSET HOLDING CHARGES = -5.40  
TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = -5.40  
TOTAL = 0.00

BASIC FEE CHARGES (SUB SCHEDULE 00) MONTHLY ( 0.00 / 12) \*\* BASE FEE = 0.00  
TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 0.00  
MONTHLY ( 1,000.00 / 12) \*\* MINIMUM FEE = 83.33  
MONTHLY ( 0.00 / 12) \*\* DISCOUNT = 0.00

ADMINISTRATIVE CHARGES(SUB SCHEDULE 04)  
ON NUMBER OF CUSTOMER STATEMENTS  
0.00 PER STATEMENT ON 1 STATEMENT(S) = 0.00  
ADMINISTRATIVE CHARGES = 0.00  
TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 0.00  
TOTAL = 0.00

TOTAL CALCULATED CHARGES FOR PERIOD = 83.33  
TOTAL CHARGES FOR PERIOD = 83.33

\*\*NOTES A BASE, MINIMUM OR DISCOUNT FEE AT THE ACCOUNT LEVEL

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80 00 1111 0 01 MISC SUSPENSE

ACCOUNT SYNOPTIC AND FEE REPORTS

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TRANSACTIONS USED FOR FEE CALCULATIONS

ACCOUNT NUMBER: 80001111001

ACCOUNT NAME: MISC SUSPENSE

FEE SCHEDULE NO. AG SUBSCHEDULE NO. 03

TOTAL TRANSACTIONS USED FEE SCHEDULE NO. AG SUBSCHEDULE NO. 03 NO. OF TRANS. 0

FOR ACCOUNT OF:

ACCOUNT NUMBER: 80 00 1111 0 01

\*\*\*\*\* GENERAL INFORMATION \*\*\*\*\*

ACCT SHORT NAME	MISC SUSPENSE	ADMINISTRATIVE OFFICER	BATES
ACCT SORT KEY		INVESTMENT OFFICER	ABC INVEST
DATE ACCOUNT OPENED	01/01/1998	BRANCH	00 NORTH CAROLINA
DATE ACCOUNT BLOCKED		ACCT TYPE	MISC SUSP
DATE ACCOUNT SAVED		ACCT STATUS	ACTIVE
CRITICAL DATE		CHARITABLE TRUST TYPE	
CRITICAL PURPOSE		NUMBER OF BENEFICIARIES	0
PROXY OWNER N&A		OVERDRAFTS	NO OFFSET
CO-TRUSTEE		INVEST INCOME	YES
BUSINESS DEV OFFICER		PRINCIPAL INVASION	YES
CAPACITY		DAILY ACCRUED INC RPT	NO
SCHEDULE RCT OVERRIDE	( )	QUALIFIED PLAN REPORTS	NO
POST CAP GAIN DIV AS	PRINCIPAL		

\*\*\*\*\* INVESTMENT INFORMATION \*\*\*\*\*

INVESTMENT DISCRETION	DIR	INVESTMENT POWERS STATUTORY TRUSTEE	RETENTION POWERS	GENERAL	
INVESTMENT OBJECTIVE		OWN INST TIME DEPOSITS	YES	OWN INST TIME DEPOSITS	NO
VOTING AUTHORITY	SOLE	COMMON TRUST FUNDS	YES	COMMON TRUST FUNDS	NO
TRADE RESTRICTION CODE	NONE	NON INC PROD PROPERTY	YES	NON INC PROD PROPERTY	YES
# OF RESTRICTED HOLDINGS	0	REAL ESTATE	YES	REAL ESTATE	YES
INVESTMENT MIX OBJECTIVE	%	OWN INST STOCK	NO	OWN INST STOCK	YES
	%	BUSINESS INTERESTS	NO	BUSINESS INTERESTS	YES
	%				
	%				
	%				

MM INVESTOR TYPE 02-INSTITUTIONAL INVESTOR  
SOCIAL CODE

\*\*\*\*\* FEE INFORMATION \*\*\*\*\*

FEE MONTH	JANUARY	FEE START DATE	
FREQUENCY	MONTHLY	LAST FEE COMPUTATION	08/31/2021
HOW CHARGED	FEE STATEMENT/NON-REIMBURSABLE	LAST FEE PAYMENT DATE	12/31/2020
SCHEDULE NUMBER/NAME	AG/AGENCY FEE	PREV FEE COMPUTATION	07/31/2021
INCOME PERCENT	50	LAST FEE COMPUTATION AMT	83.33
PRINCIPAL PERCENT	50	PREV FEE COMPUTATION AMT	83.33
FEE STMT REMITTER		FEE STATEMENT CONSOLIDATION DETAIL	NO
FEE STMT RECIPIENT			

ACCOUNT LEVEL OVERRIDES:

BASE AMOUNT	0.00	DISCOUNT AMOUNT	0.00
MINIMUM AMOUNT	1000.00	DISCOUNT %	
DISPLAY "PERIOD ENDED" ON FEE STMT	YES	ACCT LEVEL FEE CODE	0-OVERRIDES APPLY TO THE ENTIRE FEE
CO-TRUSTEE FEE %		CO-TRUSTEE FEE TRAN CODE	
CO-TRUSTEE FEE AMOUNT		CO-TRUSTEE FEE NET IND	0-CO-TRUSTEE FEE IS IN ADDITION TO REG FEE

ACCOUNT TO CHARGE FEE

FEE SUSPENSE ACCOUNT (INCOME)  
FEE SUSPENSE ACCOUNT (PRINCIPAL)  
FEE POSTING DESCRIPTION LINE 1 (INCOME)  
FEE POSTING DESCRIPTION LINE 2 (INCOME)  
FEE POSTING DESCRIPTION LINE 1 (PRINCIPAL)  
FEE POSTING DESCRIPTION LINE 2 (PRINCIPAL)

CONSOLIDATED FEE INFORMATION:

MAIN ACCOUNT SCHEDULE NUMBER/NAME:  
AG/AGENCY FEE  
MAIN ACCOUNT:  
00 1111 MISC SUSPENSE  
SUB ACCOUNTS/RELATED ACCOUNTS:  
00 9632 G. BANK FOUNDATION

FEEES EXCLUDED CUSIPS:

\*\*\*\*\* REVIEW INFORMATION \*\*\*\*\*  
REVIEW MONTH                    DECEMBER 31                    REVIEW FREQUENCY                    ANNUAL                    LAST REVIEW DATE

\*\*\*\*\* CUSTOMER STATEMENT INFORMATION \*\*\*\*\*  
YEAR END                    DECEMBER 31                    MONTHLY SUMMARY STMT                    NO                    COMBINED CASH                    NO  
FREQUENCY                    ANNUAL                    CUSTOMER PIR                    NO                    PERFORMANCE CALC                    NOT USED  
LAST STATEMENT DATE                    06/30/2021

\*\*\*\*\* TAX INFORMATION \*\*\*\*\*

CREATE CHARLOTTE LEDGER                    YES                    TAX PREP SERVICE                    NO  
CREATE WSC LEDGER                    YES                    TAX SERVICE ACCT TYPE  
WSC STATEMENT TYPE                    1-SIMPLE                    TAX YEAR END                    DECEMBER 31  
TAX LOT RETENTION                    SEPARATE LOTS-BONDS,EQUITIES,CTFS & FUNDS                    SITUS OF TRUST  
INFO RETURN REPORTING                    APPLICABLE 1098 & 1099MISC(NONEMP COMP) ONLY                    FED TAX ID#  
TAX LOT SALE METHOD                    FIFO                    MKT DISC BND ELECTION                    NONE  
STATE TAX ID#                    INHERITED IRA CODE                    0-NOT APPLICABLE  
INHERITED IRA ORIG OWNER                    INHERITED IRA RMD FCTR                    000.00  
AMORTIZE BOND PREMUIUM-CURR YR                    YES                    AMORTIZE BOND PREMUIUM-NEXT YR                    YES  
MKT DISC COMP METHOD-CURR YR                    STRAIGHT LINE                    MKT DISC COMP METHOD-NEXT YR                    STRAIGHT LINE  
RECOGNITION OF MKT DISC-CURR YR                    AT SALE/REDMPTN/MATURTY                    RECOGNITION OF MKT DISC-NEXT YR                    AT SALE/REDMPTN/MATURTY  
ALL INTEREST AS OID-CURR YR                    NO                    ALL INTEREST AS OID-NEXT YR                    NO  
INCOME CONV TO US DOLLARS-CURR YR                    PERIOD AVERAGE                    INCOME CONV TO US DOLLARS-NEXT YR                    PERIOD AVERAGE  
AMORTIZATION/ACCRETION CASH TRANSFER YES                    AUTO POST FXD INCME COST BASIS ADJSTMNTS NO  
OID POSTING TRANSACTION CODE                    751                    MARKET DISCOUNT POSTING TRANSACTION CODE 756

\*\*\*\*\* ACCOUNT TOTALS \*\*\*\*\*

SHORT TERM G/L YTD                    0.00                    PRIOR YEAR SHORT TERM G/L                    0.00  
LONG TERM G/L YTD                    0.00                    PRIOR YEAR LONG TERM G/L                    0.00  
FEES PAID YTD                    0.00                    PRIOR YEAR FEES PAID                    1,683.27  
FEES CALCULATED YTD                    666.64                    PRIOR YEAR FEES CALCULATED                    999.96  
CONTRIBUTIONS TO DATE                    0.00                    PRIOR YEAR END MKT VALUE                    -6,474.22  
CURRENT YEAR RMD AMT                    0.00                    CURRENT YEAR RMD TAKEN                    0.00

\*\*\*\*\* AUTOMATIC CASH MANAGEMENT SYSTEM - NOT USED \*\*\*\*\*

\*\*\*\*\* COMMENTS \*\*\*\*\*

NONE

\*\*\*\*\* INFORMATIONAL ONLY \*\*\*\*\*

N&A REC#    PAYEE NAME                    ADDRESSEE TYPE

NONE

\*\*\*\*\* TRADE ADVICE RECIPIENTS \*\*\*\*\*

N&A REC# RECIPIENT NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* IRS INFORMATION REPORTING CROSS-REFERENCE RECORDS \*\*\*\*\*

N&A REC # NAME	TAXPAYER ID	N&A # ON R&D TRAN	TYPE	OTHER INFORMATION	ADDRESSEE TYPE
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NONE

\*\*\*\*\* REPORT PACKAGE RECIPIENTS \*\*\*\*\*

N&A REC	REPORT RECIPIENT(S)	LAST STMT DATE	INTERIM REPTS RPT FREQ	PKG BNK NUM COP	ANNUAL REPTS YEAR END	PKG BNK NUM COP	OF COP	DELIVERY METHOD STYLE	DELIVERY METHOD INTERIM
00001	TRUST MANAGEMENT NETWORK ' ADDRESSEE TYPE OTHER TYPE	06/30/2021	Q	001 N	12	001 N	001	LAND	INTERNET

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02 00 0412 0 02 DALLAS AREA FON CTF

ACCOUNT SYNOPTIC AND FEE REPORTS

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COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: DALLAS AREA FON CTF  
ACCOUNT NUMBER: 02000412002

FEE SCHEDULE NO: 01  
FEE SCHEDULE NAME: STANDARD FEE

FEE Y/E: 01/31/2022  
FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 01)  
ON ALL ASSETS EXCEPT TYPES 15 SUB-TYPE 00

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
669,770.00 +	8,510,376.30 +	0.00 =	9,180,146.30

END OF PERIOD MARKET VALUE CHARGES

1.2500% OF	500,000.00	=	6,250.00
1.0000% OF	1,500,000.00	=	15,000.00
0.7500% OF	3,000,000.00	=	22,500.00
0.2500% OF	4,180,146.30	=	10,450.37
		TOTAL =	54,200.37

MONTHLY ( 54,200.37 / 12) ASSET HOLDING CHARGES = 4,516.70

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 4,516.70

MONTHLY ( 5,000.00 / 12) MINIMUM FEE = 416.67

TOTAL = 4,516.70

TOTAL ASSET HOLDING CHARGES = 4,516.70

BASIC FEE CHARGES (SUB SCHEDULE 00)

	TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	4,516.70
MONTHLY ( 2,500.00 / 12)	MINIMUM FEE =	208.33

TOTAL CALCULATED CHARGES FOR PERIOD = 4,516.70

TOTAL CHARGES FOR PERIOD = 4,516.70

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02 00 0412 0 02 DALLAS AREA FON CTF

ACCOUNT SYNOPTIC AND FEE REPORTS

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TRANSACTIONS USED FOR FEE CALCULATIONS

ACCOUNT NUMBER: 02000412002

ACCOUNT NAME: DALLAS AREA FON CTF

FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02

TOTAL TRANSACTIONS USED FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02 NO. OF TRANS. 0



ACCOUNT SYNOPTIC - AS OF 10/01/21

FOR ACCOUNT OF: DALLAS AREA FOUNDATION - COMMON TRUST FUND

ACCOUNT NUMBER: 02 00 0412 0 02

\*\*\*\*\* GENERAL INFORMATION \*\*\*\*\*

ACCT SHORT NAME	DALLAS AREA FON CTF	ADMINISTRATIVE OFFICER	SMITH
ACCT SORT KEY	DAF	INVESTMENT OFFICER	ABC INVEST
DATE ACCOUNT OPENED	03/22/2016	BRANCH	01 AUSTIN
DATE ACCOUNT BLOCKED		ACCT TYPE	PER TR CTF
DATE ACCOUNT SAVED		ACCT STATUS	ACTIVE
CRITICAL DATE		CHARITABLE TRUST TYPE	
CRITICAL PURPOSE		NUMBER OF BENEFICIARIES	99
PROXY OWNER N&A		OVERDRAFTS	NO SPECIAL HANDLING
CO-TRUSTEE		INVEST INCOME	
BUSINESS DEV OFFICER		PRINCIPAL INVASION	
CAPACITY		DAILY ACCRUED INC RPT	NO
SCHEDULE RCT OVERRIDE	( )	QUALIFIED PLAN REPORTS	NO
POST CAP GAIN DIV AS	PRINCIPAL		

\*\*\*\*\* INVESTMENT INFORMATION \*\*\*\*\*

INVESTMENT DISCRETION	DIR	INVESTMENT POWERS	RETENTION POWERS	GENERAL	
INVESTMENT OBJECTIVE		OWN INST TIME DEPOSITS	NO	OWN INST TIME DEPOSITS	NO
VOTING AUTHORITY	SOLE	COMMON TRUST FUNDS	NO	COMMON TRUST FUNDS	NO
TRADE RESTRICTION CODE	NONE	NON INC PROD PROPERTY	NO	NON INC PROD PROPERTY	NO
# OF RESTRICTED HOLDINGS	0	REAL ESTATE	NO	REAL ESTATE	NO
INVESTMENT MIX OBJECTIVE	%	OWN INST STOCK	NO	OWN INST STOCK	NO
	%	BUSINESS INTERESTS	NO	BUSINESS INTERESTS	NO
	%				
	%				
MM INVESTOR TYPE	02-INSTITUTIONAL INVESTOR				
SOCIAL CODE					

\*\*\*\*\* FEE INFORMATION \*\*\*\*\*

FEE MONTH	JANUARY	FEE START DATE	
FREQUENCY	MONTHLY	LAST FEE COMPUTATION	08/31/2021
HOW CHARGED	DEDUCT FROM ACCOUNT	LAST FEE PAYMENT DATE	12/31/2020
SCHEDULE NUMBER/NAME	01/STANDARD FEE	PREV FEE COMPUTATION	07/31/2021
INCOME PERCENT	100	LAST FEE COMPUTATION AMT	4516.70
PRINCIPAL PERCENT	0	PREV FEE COMPUTATION AMT	4516.70
FEE STMT REMITTER		FEE STATEMENT CONSOLIDATION DETAIL	NO
FEE STMT RECIPIENT			

ACCOUNT LEVEL OVERRIDES:

BASE AMOUNT		DISCOUNT AMOUNT	
MINIMUM AMOUNT		DISCOUNT %	
DISPLAY "PERIOD ENDED" ON FEE STMT	NO	ACCT LEVEL FEE CODE	0-OVERRIDES APPLY TO THE ENTIRE FEE
CO-TRUSTEE FEE %		CO-TRUSTEE FEE TRAN CODE	
CO-TRUSTEE FEE AMOUNT		CO-TRUSTEE FEE NET IND	0-CO-TRUSTEE FEE IS IN ADDITION TO REG FEE

ACCOUNT TO CHARGE FEE

FEE SUSPENSE ACCOUNT (INCOME)  
 FEE SUSPENSE ACCOUNT (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 1 (INCOME)  
 FEE POSTING DESCRIPTION LINE 2 (INCOME)  
 FEE POSTING DESCRIPTION LINE 1 (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 2 (PRINCIPAL)

FEES EXCLUDED CUSIPS:

\*\*\*\*\* REVIEW INFORMATION \*\*\*\*\*  
REVIEW MONTH REVIEW FREQUENCY LAST REVIEW DATE

\*\*\*\*\* CUSTOMER STATEMENT INFORMATION \*\*\*\*\*  
YEAR END JANUARY 31 MONTHLY SUMMARY STMT NO COMBINED CASH NO  
FREQUENCY MONTHLY CUSTOMER PIR NO PERFORMANCE CALC NOT USED  
LAST STATEMENT DATE 08/31/2021

\*\*\*\*\* TAX INFORMATION \*\*\*\*\*

CREATE CHARLOTTE LEDGER YES TAX PREP SERVICE NO  
CREATE WSC LEDGER NO TAX SERVICE ACCT TYPE  
WSC STATEMENT TYPE 1-SIMPLE TAX YEAR END DECEMBER 31  
TAX LOT RETENTION SEPARATE LOTS-BONDS,EQUITIES,CTFS & FUNDS SITUS OF TRUST  
INFO RETURN REPORTING APPLICABLE 1098 & 1099MISC(NONEMP COMP) ONLY FED TAX ID#  
TAX LOT SALE METHOD FIFO MKT DISC BND ELECTION NONE  
STATE TAX ID# INHERITED IRA CODE 0-NOT APPLICABLE  
INHERITED IRA ORIG OWNER INHERITED IRA RMD FCTR 000.00  
AMORTIZE BOND PREMUIUM-CURR YR YES AMORTIZE BOND PREMUIUM-NEXT YR YES  
MKT DISC COMP METHOD-CURR YR CONSTANT YIELD MKT DISC COMP METHOD-NEXT YR CONSTANT YIELD  
RECOGNITION OF MKT DISC-CURR YR AT SALE/REDMPTN/MATURTY RECOGNITION OF MKT DISC-NEXT YR AT SALE/REDMPTN/MATURTY  
ALL INTEREST AS OID-CURR YR NO ALL INTEREST AS OID-NEXT YR NO  
INCOME CONV TO US DOLLARS-CURR YR PERIOD AVERAGE INCOME CONV TO US DOLLARS-NEXT YR PERIOD AVERAGE  
AMORTIZATION/ACCRETION CASH TRANSFER YES AUTO POST FXD INCME COST BASIS ADJSTMNTS NO  
OID POSTING TRANSACTION CODE 751 MARKET DISCOUNT POSTING TRANSACTION CODE 030

\*\*\*\*\* ACCOUNT TOTALS \*\*\*\*\*

SHORT TERM G/L YTD 0.00 PRIOR YEAR SHORT TERM G/L 0.00  
LONG TERM G/L YTD 0.00 PRIOR YEAR LONG TERM G/L 290,456.73  
FEES PAID YTD 0.00 PRIOR YEAR FEES PAID 86,237.28  
FEES CALCULATED YTD 36,133.60 PRIOR YEAR FEES CALCULATED 54,426.44  
CONTRIBUTIONS TO DATE 0.00 PRIOR YEAR END MKT VALUE 9,180,146.30  
CURRENT YEAR RMD AMT 0.00 CURRENT YEAR RMD TAKEN 0.00

\*\*\*\*\* AUTOMATIC CASH MANAGEMENT SYSTEM - NOT USED \*\*\*\*\*

\*\*\*\*\* COMMENTS \*\*\*\*\*

NONE

\*\*\*\*\* INFORMATIONAL ONLY \*\*\*\*\*

N&A REC# PAYEE NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* TRADE ADVICE RECIPIENTS \*\*\*\*\*

N&A REC# RECIPIENT NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* IRS INFORMATION REPORTING CROSS-REFERENCE RECORDS \*\*\*\*\*

N&A REC # NAME	TAXPAYER ID	N&A # ON R&D TRAN	TYPE	OTHER INFORMATION	ADDRESSEE TYPE
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NONE

\*\*\*\*\* REPORT PACKAGE RECIPIENTS \*\*\*\*\*

N&A REC	REPORT RECIPIENT(S)	LAST STMT DATE	INTERIM REPTS RPT FREQ	PKG BNK NUM COP	ANNUAL REPTS YEAR END	PKG BNK NUM COP	OF COP	DELIVERY METHOD STYLE	DELIVERY METHOD INTERIM			
00001	TRUST MANAGEMENT NETWORK ' ADDRESSEE TYPE OTHER TYPE	08/31/2021	M	915	N	01	916	N	001	LAND	INTERNET	INTERNET

ACCOUNT NAME: MATIAS R TR AGY  
ACCOUNT NUMBER: 54000001002

FEE SCHEDULE NO: AG  
FEE SCHEDULE NAME: AGENCY FEE

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 02)  
ON ALL ASSETS EXCEPT TYPES 15 SUB-TYPE 00 - 09

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
990,153.66 +	-3,011.30 +	0.00 =	987,142.36

END OF PERIOD MARKET VALUE CHARGES

1.0000% OF	200,000.00	=	2,000.00
0.7500% OF	300,000.00	=	2,250.00
0.6500% OF	487,142.36	=	3,166.43
		TOTAL =	7,416.43

MONTHLY ( 7,416.43 / 12) ASSET HOLDING CHARGES = 618.04

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 618.04  
TOTAL = 618.04

TOTAL ASSET HOLDING CHARGES = 618.04

BASIC FEE CHARGES (SUB SCHEDULE 00)

MONTHLY ( 500.00 / 12) BASE FEE = 41.67

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 659.71

MONTHLY ( 1,750.00 / 12) MINIMUM FEE = 145.83

ADMINISTRATIVE CHARGES(SUB SCHEDULE 04)

ON NUMBER OF CUSTOMER STATEMENTS  
0.00 PER STATEMENT ON

1 STATEMENT(S) = 0.00

ADMINISTRATIVE CHARGES = 0.00

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 0.00

TOTAL = 0.00

TOTAL CALCULATED CHARGES FOR PERIOD = 659.71

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54 00 0001 0 02 MATIAS R TR AGY

ACCOUNT SYNOPTIC AND FEE REPORTS

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COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: MATIAS R TR AGY  
ACCOUNT NUMBER: 54000001002

FEE SCHEDULE NO: AG  
FEE SCHEDULE NAME: AGENCY FEE

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

ADDITIONAL CHARGES

09/16/2021	FED-EX OF LEGAL DOCUMENTS	24.00
09/16/2021	4 HOURS TAX PREPARATION	600.00

TOTAL ADDITIONAL CHARGES = 624.00

TOTAL CHARGES FOR PERIOD = 1,283.71

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54 00 0001 0 02 MATIAS R TR AGY

ACCOUNT SYNOPTIC AND FEE REPORTS

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TRANSACTIONS USED FOR FEE CALCULATIONS

ACCOUNT NUMBER: 54000001002

ACCOUNT NAME: MATIAS R TR AGY

FEE SCHEDULE NO. AG SUBSCHEDULE NO. 03

TOTAL TRANSACTIONS USED FEE SCHEDULE NO. AG SUBSCHEDULE NO. 03 NO. OF TRANS. 0

ACCOUNT SYNOPTIC - AS OF 10/01/21

FOR ACCOUNT OF: ROWENA MATIAS REVOCABLE TRUST AGENCY LONE STAR
NATIONAL BANK AS AGENT FOR JACKIE VILLANUEVA AND
NANCY GARZA DTD 5/1/18

ACCOUNT NUMBER: 54 00 0001 0 02

\*\*\*\*\* GENERAL INFORMATION \*\*\*\*\*

ACCT SHORT NAME MATIAS R TR AGY ADMINISTRATIVE OFFICER SMITH
ACCT SORT KEY MATIAS INVESTMENT OFFICER ROMACK
DATE ACCOUNT OPENED 05/14/2018 BRANCH 00 NORTH CAROLINA
DATE ACCOUNT BLOCKED ACCT TYPE TRUST AGEN
DATE ACCOUNT SAVED ACCT STATUS ACTIVE
CRITICAL DATE CHARITABLE TRUST TYPE
CRITICAL PURPOSE NUMBER OF BENEFICIARIES 2
PROXY OWNER N&A (00001) TRUST MANAGEMENT NETWORK ' OBO OVERDRAFTS MAY OFFSET
CO-TRUSTEE INVEST INCOME YES
BUSINESS DEV OFFICER BUSINESS DEV OFFICER PRINCIPAL INVASION YES
CAPACITY AGENT FOR TRUSTEE DAILY ACCRUED INC RPT NO
SCHEDULE RCT OVERRIDE ( ) QUALIFIED PLAN REPORTS NO
POST CAP GAIN DIV AS PRINCIPAL

\*\*\*\*\* INVESTMENT INFORMATION \*\*\*\*\*

INVESTMENT DISCRETION DIR INVESTMENT POWERS FULL POWERS RETENTION POWERS GENERAL
INVESTMENT OBJECTIVE INCOME DIV OWN INST TIME DEPOSITS NO OWN INST TIME DEPOSITS YES
VOTING AUTHORITY SOLE COMMON TRUST FUNDS NO COMMON TRUST FUNDS YES
TRADE RESTRICTION CODE NONE NON INC PROD PROPERTY NO NON INC PROD PROPERTY YES
# OF RESTRICTED HOLDINGS 0 REAL ESTATE NO REAL ESTATE YES
INVESTMENT MIX OBJECTIVE % OWN INST STOCK NO OWN INST STOCK YES
% BUSINESS INTERESTS NO BUSINESS INTERESTS YES
%
%

MM INVESTOR TYPE
SOCIAL CODE

\*\*\*\*\* FEE INFORMATION \*\*\*\*\*

FEE MONTH DECEMBER FEE START DATE
FREQUENCY MONTHLY LAST FEE COMPUTATION 08/31/2021
HOW CHARGED DEDUCT FROM ACCOUNT LAST FEE PAYMENT DATE 12/31/2020
SCHEDULE NUMBER/NAME AG/AGENCY FEE PREV FEE COMPUTATION 07/31/2021
INCOME PERCENT 50 LAST FEE COMPUTATION AMT 659.71
PRINCIPAL PERCENT 50 PREV FEE COMPUTATION AMT 659.71
FEE STMT REMITTER FEE STATEMENT CONSOLIDATION DETAIL NO
FEE STMT RECIPIENT

ACCOUNT LEVEL OVERRIDES:

BASE AMOUNT DISCOUNT AMOUNT
MINIMUM AMOUNT DISCOUNT %
DISPLAY "PERIOD ENDED" ON FEE STMT NO ACCT LEVEL FEE CODE 0-OVERRIDES APPLY TO THE ENTIRE FEE
CO-TRUSTEE FEE % CO-TRUSTEE FEE TRAN CODE
CO-TRUSTEE FEE AMOUNT CO-TRUSTEE FEE NET IND 0-CO-TRUSTEE FEE IS IN ADDITION TO REG FEE

ACCOUNT TO CHARGE FEE

FEE SUSPENSE ACCOUNT (INCOME)
FEE SUSPENSE ACCOUNT (PRINCIPAL)
FEE POSTING DESCRIPTION LINE 1 (INCOME)
FEE POSTING DESCRIPTION LINE 2 (INCOME)
FEE POSTING DESCRIPTION LINE 1 (PRINCIPAL)
FEE POSTING DESCRIPTION LINE 2 (PRINCIPAL)

CONSOLIDATED FEE INFORMATION:

MAIN ACCOUNT SCHEDULE NUMBER/NAME:  
AG/AGENCY FEE  
MAIN ACCOUNT:  
00 0001 MATIAS R TR AGY  
SUB ACCOUNTS/RELATED ACCOUNTS:

FEEES EXCLUDED CUSIPS:

\*\*\*\*\* REVIEW INFORMATION \*\*\*\*\*  
REVIEW MONTH JULY 31 REVIEW FREQUENCY ANNUAL LAST REVIEW DATE

\*\*\*\*\* CUSTOMER STATEMENT INFORMATION \*\*\*\*\*  
YEAR END DECEMBER 31 MONTHLY SUMMARY STMT YES COMBINED CASH NO  
FREQUENCY QUARTERLY CUSTOMER PIR YES PERFORMANCE CALC NOT USED  
LAST STATEMENT DATE 06/30/2021

\*\*\*\*\* TAX INFORMATION \*\*\*\*\*  
CREATE CHARLOTTE LEDGER YES TAX PREP SERVICE YES  
CREATE WSC LEDGER NO TAX SERVICE ACCT TYPE  
WSC STATEMENT TYPE 1-SIMPLE TAX YEAR END DECEMBER 31  
TAX LOT RETENTION SEPARATE LOTS-BONDS,EQUITIES,CTFS & FUNDS SITUS OF TRUST TX  
INFO RETURN REPORTING APPLICABLE 1098 & 1099 ONLY. NO 5498 FED TAX ID#  
TAX LOT SALE METHOD HIGHEST COST MKT DISC BND ELECTION ANNUAL  
STATE TAX ID# INHERITED IRA CODE 0-NOT APPLICABLE  
INHERITED IRA ORIG OWNER INHERITED IRA RMD FCTR 000.00  
AMORTIZE BOND PREMUIM-CURR YR YES AMORTIZE BOND PREMUIM-NEXT YR YES  
MKT DISC COMP METHOD-CURR YR CONSTANT YIELD MKT DISC COMP METHOD-NEXT YR CONSTANT YIELD  
RECOGNITION OF MKT DISC-CURR YR AT SALE/REDMPTN/MATURTY RECOGNITION OF MKT DISC-NEXT YR AT SALE/REDMPTN/MATURTY  
ALL INTEREST AS OID-CURR YR YES ALL INTEREST AS OID-NEXT YR YES  
INCOME CONV TO US DOLLARS-CURR YR PERIOD AVERAGE INCOME CONV TO US DOLLARS-NEXT YR PERIOD AVERAGE  
AMORTIZATION/ACCRETION CASH TRANSFER YES AUTO POST FXD INCME COST BASIS ADJSTMNTS YES  
OID POSTING TRANSACTION CODE 751 MARKET DISCOUNT POSTING TRANSACTION CODE 756

\*\*\*\*\* ACCOUNT TOTALS \*\*\*\*\*  
SHORT TERM G/L YTD 0.00 PRIOR YEAR SHORT TERM G/L 0.00  
LONG TERM G/L YTD 0.00 PRIOR YEAR LONG TERM G/L 0.00  
FEES PAID YTD 0.00 PRIOR YEAR FEES PAID 12,638.23  
FEES CALCULATED YTD 5,277.68 PRIOR YEAR FEES CALCULATED 7,976.58  
CONTRIBUTIONS TO DATE 0.00 PRIOR YEAR END MKT VALUE 987,142.36  
CURRENT YEAR RMD AMT 0.00 CURRENT YEAR RMD TAKEN 0.00

\*\*\*\*\* AUTOMATIC CASH MANAGEMENT SYSTEM - ACCOUNT LEVEL OPTIONS \*\*\*\*\*

FUND DESIGNATION	MA#	CUSIP	NAME	CASH TYPE	TARGET	VARIANCE	MIN PUR	MAXIMUM LEVEL
FUND 1	1	60934N708	FED PO PRIN	P	0	0	0	N/A
FUND 2	2	60934N70I	FED PO INC	I	0	0	0	N/A



\*\*\*\*\* COMMENTS \*\*\*\*\*

NONE

\*\*\*\*\* INFORMATIONAL ONLY \*\*\*\*\*

N&A REC# PAYEE NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* TRADE ADVICE RECIPIENTS \*\*\*\*\*

N&A REC# RECIPIENT NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* IRS INFORMATION REPORTING CROSS-REFERENCE RECORDS \*\*\*\*\*

N&A REC # NAME	TAXPAYER ID	N&A # ON R&D TRAN	TYPE	OTHER INFORMATION	ADDRESSEE TYPE
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00001 TRUST MANAGEMENT NETWORK	- -	NO	INC/PAYER	REG CODE=0	OTHER TYPE
61155 ROWENA MATIAS	- -	NO	INC/PAYEE	INC %=100.0000	OWNER/OWNER CONTROLLED

\*\*\*\*\* REPORT PACKAGE RECIPIENTS \*\*\*\*\*

N&A REC	REPORT RECIPIENT(S)	LAST STMT DATE	INTERIM REPTS RPT PKG BNK FREQ NUM COP	ANNUAL REPTS YEAR PKG BNK END NUM COP	NUM OF COP	DELIVERY METHOD INTERIM	DELIVERY METHOD ANNUAL
61155	ROWENA MATIAS ADDRESSEE TYPE OWNER/OWNER CONTROLLED	06/30/2021	Q 904 N	12 904 N	001	PORT INTERNET	PRINTED/INTERNET

SEP 30, 2021  
14 00 0224 2 04 EMMA SKYLLINGSTAD

ACCOUNT SYNOPTIC AND FEE REPORTS

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: EMMA SKYLLINGSTAD  
ACCOUNT NUMBER: 14000224204

FEE SCHEDULE NO: FF  
FEE SCHEDULE NAME: FLAT FEE

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

BASIC FEE CHARGES (SUB SCHEDULE 00)

MONTHLY (	100.00 / 12)	** BASE FEE =	8.33
		TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	8.33
MONTHLY (	0.00 / 12)	** MINIMUM FEE =	0.00
MONTHLY (	0.00 / 12)	** DISCOUNT =	0.00
		TOTAL CALCULATED CHARGES FOR PERIOD =	8.33
		TOTAL CHARGES FOR PERIOD =	8.33

\*\*NOTES A BASE, MINIMUM OR DISCOUNT FEE AT THE ACCOUNT LEVEL

ACCOUNT SYNOPTIC - AS OF 10/01/21

FOR ACCOUNT OF: EMMA SKYLLINGSTAD IRA

ACCOUNT NUMBER: 14 00 0224 2 04

\*\*\*\*\* GENERAL INFORMATION \*\*\*\*\*

ACCT SHORT NAME	EMMA SKYLLINGSTAD	ADMINISTRATIVE OFFICER	SJULIN
ACCT SORT KEY		INVESTMENT OFFICER	ABC INVEST
DATE ACCOUNT OPENED		BRANCH	00 NORTH CAROLINA
DATE ACCOUNT BLOCKED		ACCT TYPE	IRA TRUST
DATE ACCOUNT SAVED		ACCT STATUS	ACTIVE
CRITICAL DATE		CHARITABLE TRUST TYPE	
CRITICAL PURPOSE		NUMBER OF BENEFICIARIES	99
PROXY OWNER N&A		OVERDRAFTS	NO SPECIAL HANDLING
CO-TRUSTEE		INVEST INCOME	
BUSINESS DEV OFFICER		PRINCIPAL INVASION	
CAPACITY		DAILY ACCRUED INC RPT	NO
SCHEDULE RCT OVERRIDE	( )	QUALIFIED PLAN REPORTS	NO
POST CAP GAIN DIV AS	PRINCIPAL		

\*\*\*\*\* INVESTMENT INFORMATION \*\*\*\*\*

INVESTMENT DISCRETION	W/AP	INVESTMENT POWERS	RETENTION POWERS	GENERAL
INVESTMENT OBJECTIVE		OWN INST TIME DEPOSITS	OWN INST TIME DEPOSITS	NO
VOTING AUTHORITY	SOLE	COMMON TRUST FUNDS	COMMON TRUST FUNDS	NO
TRADE RESTRICTION CODE	NONE	NON INC PROD PROPERTY	NON INC PROD PROPERTY	NO
# OF RESTRICTED HOLDINGS	0	REAL ESTATE	REAL ESTATE	NO
INVESTMENT MIX OBJECTIVE	%	OWN INST STOCK	OWN INST STOCK	NO
	%	BUSINESS INTERESTS	BUSINESS INTERESTS	NO
	%			
	%			
MM INVESTOR TYPE	01-RETAIL INVESTOR			
SOCIAL CODE				

\*\*\*\*\* FEE INFORMATION \*\*\*\*\*

FEE MONTH	DECEMBER	FEE START DATE	
FREQUENCY	MONTHLY	LAST FEE COMPUTATION	08/31/2021
HOW CHARGED	NOT SPECIFIED	LAST FEE PAYMENT DATE	12/31/2020
SCHEDULE NUMBER/NAME	FF/FLAT FEE	PREV FEE COMPUTATION	07/31/2021
INCOME PERCENT	100	LAST FEE COMPUTATION AMT	8.33
PRINCIPAL PERCENT	0	PREV FEE COMPUTATION AMT	8.33
FEE STMT REMITTER		FEE STATEMENT CONSOLIDATION DETAIL	NO
FEE STMT RECIPIENT			

ACCOUNT LEVEL OVERRIDES:

BASE AMOUNT	100.00	DISCOUNT AMOUNT	0.00
MINIMUM AMOUNT	0.00	DISCOUNT %	
DISPLAY "PERIOD ENDED" ON FEE STMT	NO	ACCT LEVEL FEE CODE	0-OVERRIDES APPLY TO THE ENTIRE FEE
CO-TRUSTEE FEE %		CO-TRUSTEE FEE TRAN CODE	
CO-TRUSTEE FEE AMOUNT		CO-TRUSTEE FEE NET IND	0-CO-TRUSTEE FEE IS IN ADDITION TO REG FEE

ACCOUNT TO CHARGE FEE

FEE SUSPENSE ACCOUNT (INCOME)  
 FEE SUSPENSE ACCOUNT (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 1 (INCOME)  
 FEE POSTING DESCRIPTION LINE 2 (INCOME)  
 FEE POSTING DESCRIPTION LINE 1 (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 2 (PRINCIPAL)

FEEES EXCLUDED CUSIPS:

\*\*\*\*\* REVIEW INFORMATION \*\*\*\*\*
REVIEW MONTH REVIEW FREQUENCY LAST REVIEW DATE

\*\*\*\*\* CUSTOMER STATEMENT INFORMATION \*\*\*\*\*
YEAR END DECEMBER 31 MONTHLY SUMMARY STMT NO COMBINED CASH NO
FREQUENCY MONTHLY CUSTOMER PIR NO PERFORMANCE CALC NOT USED
LAST STATEMENT DATE 08/31/2021

\*\*\*\*\* TAX INFORMATION \*\*\*\*\*
CREATE CHARLOTTE LEDGER YES TAX PREP SERVICE YES
CREATE WSC LEDGER NO TAX SERVICE ACCT TYPE IRA
WSC STATEMENT TYPE 1-SIMPLE TAX YEAR END DECEMBER 31
TAX LOT RETENTION SEPARATE LOTS-BONDS,EQUITIES,CTFS & FUNDS SITUS OF TRUST
INFO RETURN REPORTING APPLICABLE 1098, 1099MISC(NONEMP COMP) & 5498 FED TAX ID#
TAX LOT SALE METHOD FIFO MKT DISC BND ELECTION NONE
STATE TAX ID# INHERITED IRA CODE 0-NOT APPLICABLE
INHERITED IRA ORIG OWNER INHERITED IRA RMD FCTR 000.00
AMORTIZE BOND PREMUIIM-CURR YR YES AMORTIZE BOND PREMUIIM-NEXT YR YES
MKT DISC COMP METHOD-CURR YR CONSTANT YIELD MKT DISC COMP METHOD-NEXT YR CONSTANT YIELD
RECOGNITION OF MKT DISC-CURR YR AT SALE/REDMPTN/MATURTY RECOGNITION OF MKT DISC-NEXT YR AT SALE/REDMPTN/MATURTY
ALL INTEREST AS OID-CURR YR NO ALL INTEREST AS OID-NEXT YR NO
INCOME CONV TO US DOLLARS-CURR YR PERIOD AVERAGE INCOME CONV TO US DOLLARS-NEXT YR PERIOD AVERAGE
AMORTIZATION/ACCRETION CASH TRANSFER YES AUTO POST FXD INCME COST BASIS ADJSTMNTS NO
OID POSTING TRANSACTION CODE 751 MARKET DISCOUNT POSTING TRANSACTION CODE 030

\*\*\*\*\* ACCOUNT TOTALS \*\*\*\*\*
SHORT TERM G/L YTD 0.00 PRIOR YEAR SHORT TERM G/L 0.00
LONG TERM G/L YTD 0.00 PRIOR YEAR LONG TERM G/L 0.00
FEES PAID YTD 0.00 PRIOR YEAR FEES PAID 158.27
FEES CALCULATED YTD 66.64 PRIOR YEAR FEES CALCULATED 99.96
CONTRIBUTIONS TO DATE 0.00 PRIOR YEAR END MKT VALUE -283.22
CURRENT YEAR RMD AMT 0.00 CURRENT YEAR RMD TAKEN 0.00

\*\*\*\*\* AUTOMATIC CASH MANAGEMENT SYSTEM - NOT USED \*\*\*\*\*

\*\*\*\*\* COMMENTS \*\*\*\*\*

NONE

\*\*\*\*\* INFORMATIONAL ONLY \*\*\*\*\*

N&A REC# PAYEE NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* TRADE ADVICE RECIPIENTS \*\*\*\*\*

N&A REC# RECIPIENT NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* IRS INFORMATION REPORTING CROSS-REFERENCE RECORDS \*\*\*\*\*

N&A REC # NAME	TAXPAYER ID	N&A # ON R&D TRAN	TYPE	OTHER INFORMATION	ADDRESSEE TYPE
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NONE

\*\*\*\*\* REPORT PACKAGE RECIPIENTS \*\*\*\*\*

N&A REC	REPORT RECIPIENT(S)	LAST STMT DATE	INTERIM REPTS RPT FREQ	PKG BNK NUM COP	ANNUAL REPTS YEAR END	PKG BNK NUM COP	OF COP	DELIVERY METHOD STYLE	DELIVERY METHOD INTERIM		
00000	(UNASSIGNED RPT PACKAGES)	08/31/2021	M	001	Y	12	001	Y	001	LAND PRINTED	PRINTED

SEP 30, 2021

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14 00 1235 0 04 DEBBIE SIMPSON IRA

ACCOUNT SYNOPTIC AND FEE REPORTS

PAGE 1

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: DEBBIE SIMPSON IRA

FEE SCHEDULE NO: 01

FEE Y/E: 12/31/2021

ACCOUNT NUMBER: 14001235004

FEE SCHEDULE NAME: STANDARD FEE

FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 01)  
ON ALL ASSETS EXCEPT TYPES 15 SUB-TYPE 00

MARKET VALUE USED FOR COMPUTATION

ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
55,399,080.07 +	-927,074.11 +	0.00 =	54,472,005.96

END OF PERIOD MARKET VALUE CHARGES

1.2500% OF	500,000.00	=	6,250.00
1.0000% OF	1,500,000.00	=	15,000.00
0.7500% OF	3,000,000.00	=	22,500.00
0.2500% OF	49,472,005.96	=	123,680.01

TOTAL = 167,430.01

MONTHLY ( 167,430.01 / 12) ASSET HOLDING CHARGES = 13,952.50

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 13,952.50

MONTHLY ( 5,000.00 / 12) MINIMUM FEE = 416.67

TOTAL = 13,952.50

ASSET HOLDING CHARGES (SUB SCHEDULE 03)  
ON SECURITY TYPES

15 SUB-TYPE 00

NUMBER OF SECURITY HOLDINGS CHARGES  
500.0000 PER SECURITY ON

1 SECURITIES = 500.00

MONTHLY ( 500.00 / 12) ASSET HOLDING CHARGES = 41.67

TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 41.67

TOTAL = 41.67

TOTAL ASSET HOLDING CHARGES = 13,994.17

SEP 30, 2021  
14 00 1235 0 04 DEBBIE SIMPSON IRA

ACCOUNT SYNOPTIC AND FEE REPORTS

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: DEBBIE SIMPSON IRA  
ACCOUNT NUMBER: 14001235004

FEE SCHEDULE NO: 01  
FEE SCHEDULE NAME: STANDARD FEE

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

BASIC FEE CHARGES (SUB SCHEDULE 00)

	TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT =	13,994.17
MONTHLY (	2,500.00 / 12)	MINIMUM FEE = 208.33
	TOTAL CALCULATED CHARGES FOR PERIOD =	13,994.17
	TOTAL CHARGES FOR PERIOD =	13,994.17

SEP 30, 2021

14 00 1235 0 04 DEBBIE SIMPSON IRA

ACCOUNT SYNOPTIC AND FEE REPORTS

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3

TRANSACTIONS USED FOR FEE CALCULATIONS

ACCOUNT NUMBER: 14001235004  
ACCOUNT NAME: DEBBIE SIMPSON IRA

FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02

TOTAL TRANSACTIONS USED FEE SCHEDULE NO. 01 SUBSCHEDULE NO. 02 NO. OF TRANS. 0



ACCOUNT SYNOPTIC - AS OF 10/01/21

FOR ACCOUNT OF: DEBBIE SIMPPSON TRADITIONAL IRA

ACCOUNT NUMBER: 14 00 1235 0 04

\*\*\*\*\* GENERAL INFORMATION \*\*\*\*\*

ACCT SHORT NAME	DEBBIE SIMPSON IRA	ADMINISTRATIVE OFFICER	SJULIN
ACCT SORT KEY	SIMPSOND	INVESTMENT OFFICER	ABC INVEST
DATE ACCOUNT OPENED	11/30/2015	BRANCH	00 NORTH CAROLINA
DATE ACCOUNT BLOCKED		ACCT TYPE	IRA TRUST
DATE ACCOUNT SAVED		ACCT STATUS	ACTIVE
CRITICAL DATE		CHARITABLE TRUST TYPE	
CRITICAL PURPOSE		NUMBER OF BENEFICIARIES	99
PROXY OWNER N&A		OVERDRAFTS	NO SPECIAL HANDLING
CO-TRUSTEE		INVEST INCOME	YES
BUSINESS DEV OFFICER		PRINCIPAL INVASION	
CAPACITY		DAILY ACCRUED INC RPT	NO
SCHEDULE RCT OVERRIDE	( )	QUALIFIED PLAN REPORTS	NO
POST CAP GAIN DIV AS	PRINCIPAL		

\*\*\*\*\* INVESTMENT INFORMATION \*\*\*\*\*

INVESTMENT DISCRETION	DIR	INVESTMENT POWERS FULL POWERS	RETENTION POWERS	GENERAL	
INVESTMENT OBJECTIVE	GROWTH EQ	OWN INST TIME DEPOSITS	NO	OWN INST TIME DEPOSITS	NO
VOTING AUTHORITY	SOLE	COMMON TRUST FUNDS	NO	COMMON TRUST FUNDS	NO
TRADE RESTRICTION CODE	NONE	NON INC PROD PROPERTY	NO	NON INC PROD PROPERTY	NO
# OF RESTRICTED HOLDINGS	2	REAL ESTATE	NO	REAL ESTATE	NO
INVESTMENT MIX OBJECTIVE	PRS MODEL NO. 01	OWN INST STOCK	NO	OWN INST STOCK	NO
		BUSINESS INTERESTS	NO	BUSINESS INTERESTS	NO

MM INVESTOR TYPE 01-RETAIL INVESTOR  
 SOCIAL CODE 15-PERSONAL TRUSTS

\*\*\*\*\* FEE INFORMATION \*\*\*\*\*

FEE MONTH	DECEMBER	FEE START DATE	
FREQUENCY	MONTHLY	LAST FEE COMPUTATION	08/31/2021
HOW CHARGED	FEE STATEMENT/NON-REIMBURSABLE	LAST FEE PAYMENT DATE	12/31/2020
SCHEDULE NUMBER/NAME	01/STANDARD FEE	PREV FEE COMPUTATION	07/31/2021
INCOME PERCENT	100	LAST FEE COMPUTATION AMT	13993.96
PRINCIPAL PERCENT	0	PREV FEE COMPUTATION AMT	13993.75
FEE STMT REMITTER	(00001) TRUST MANAGEMENT NETWORK	FEE STATEMENT CONSOLIDATION DETAIL	NO
FEE STMT RECIPIENT	(01235) DEBBIE SIMPSON		

ACCOUNT LEVEL OVERRIDES:

BASE AMOUNT		DISCOUNT AMOUNT	
MINIMUM AMOUNT		DISCOUNT %	
DISPLAY "PERIOD ENDED" ON FEE STMT	NO	ACCT LEVEL FEE CODE	0-OVERRIDES APPLY TO THE ENTIRE FEE
CO-TRUSTEE FEE %		CO-TRUSTEE FEE TRAN CODE	
CO-TRUSTEE FEE AMOUNT		CO-TRUSTEE FEE NET IND	0-CO-TRUSTEE FEE IS IN ADDITION TO REG FEE

ACCOUNT TO CHARGE FEE

FEE SUSPENSE ACCOUNT (INCOME)  
 FEE SUSPENSE ACCOUNT (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 1 (INCOME)  
 FEE POSTING DESCRIPTION LINE 2 (INCOME)  
 FEE POSTING DESCRIPTION LINE 1 (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 2 (PRINCIPAL)

FEEES EXCLUDED CUSIPS:

\*\*\*\*\* REVIEW INFORMATION \*\*\*\*\*

REVIEW MONTH	JANUARY 31	REVIEW FREQUENCY	ANNUAL	LAST REVIEW DATE	
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\*\*\*\*\* CUSTOMER STATEMENT INFORMATION \*\*\*\*\*

YEAR END	DECEMBER 31	MONTHLY SUMMARY STMT	NO	COMBINED CASH	NO
FREQUENCY	MONTHLY	CUSTOMER PIR	NO	PERFORMANCE CALC	NOT USED
LAST STATEMENT DATE	08/31/2021				

\*\*\*\*\* TAX INFORMATION \*\*\*\*\*

CREATE CHARLOTTE LEDGER	YES	TAX PREP SERVICE	NO
CREATE WSC LEDGER	NO	TAX SERVICE ACCT TYPE	IRA
WSC STATEMENT TYPE	1-SIMPLE	TAX YEAR END	DECEMBER 31
TAX LOT RETENTION	SEPARATE LOTS-BONDS,EQUITIES,CTFS & FUNDS	SITUS OF TRUST	SC
INFO RETURN REPORTING	APPLICABLE 1098, 1099MISC(NONEMP COMP) & 5498	FED TAX ID#	
TAX LOT SALE METHOD	FIFO	MKT DISC BND ELECTION	NONE
STATE TAX ID#		INHERITED IRA CODE	1-INHERITED IRA SPOUSE
INHERITED IRA ORIG OWNER	00017GREGG SIMPSON	INHERITED IRA RMD FCTR	000.00
AMORTIZE BOND PREMUIM-CURR YR	YES	AMORTIZE BOND PREMUIM-NEXT YR	YES
MKT DISC COMP METHOD-CURR YR	STRAIGHT LINE	MKT DISC COMP METHOD-NEXT YR	STRAIGHT LINE
RECOGNITION OF MKT DISC-CURR YR	AT SALE/REDMPTN/MATURTY	RECOGNITION OF MKT DISC-NEXT YR	AT SALE/REDMPTN/MATURTY
ALL INTEREST AS OID-CURR YR	NO	ALL INTEREST AS OID-NEXT YR	NO
INCOME CONV TO US DOLLARS-CURR YR	PERIOD AVERAGE	INCOME CONV TO US DOLLARS-NEXT YR	PERIOD AVERAGE
AMORTIZATION/ACCRETION CASH TRANSFER	YES	AUTO POST FXD INCME COST BASIS ADJSTMNTS	YES
OID POSTING TRANSACTION CODE	751	MARKET DISCOUNT POSTING TRANSACTION CODE	756

\*\*\*\*\* ACCOUNT TOTALS \*\*\*\*\*

SHORT TERM G/L YTD	0.00	PRIOR YEAR SHORT TERM G/L	0.00
LONG TERM G/L YTD	0.00	PRIOR YEAR LONG TERM G/L	0.00
FEEES PAID YTD	0.00	PRIOR YEAR FEEES PAID	260,628.97
FEEES CALCULATED YTD	111,945.86	PRIOR YEAR FEEES CALCULATED	168,233.16
CONTRIBUTIONS TO DATE	0.00	PRIOR YEAR END MKT VALUE	25,054,641,561.09
CURRENT YEAR RMD AMT	0.00	CURRENT YEAR RMD TAKEN	0.00

\*\*\*\*\* AUTOMATIC CASH MANAGEMENT SYSTEM - ACCOUNT LEVEL OPTIONS \*\*\*\*\*

FUND DESIGNATION	MA#	CUSIP	NAME	CASH TYPE	TARGET	VARIANCE	MIN PUR	MAXIMUM LEVEL
FUND 1	1	60934N708	FED PO PRIN	C	0	0	0	N/A

\*\*\*\*\* COMMENTS \*\*\*\*\*

NONE

\*\*\*\*\* INFORMATIONAL ONLY \*\*\*\*\*

N&A REC# PAYEE NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* TRADE ADVICE RECIPIENTS \*\*\*\*\*

N&A REC# RECIPIENT NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* CHECK RECIPIENTS \*\*\*\*\*

N&A REC#	PAY DISB ACT NUM	PAYEE NAME	FREQ	DISB TYPE	NEXT DATE	FINAL DATE	DOLLAR AMT OR LIMIT	INCOME PERCENT	TRAN CODE	PRIN PERCENT	TRN CDE	W/HOLD
01235	1 01	DEBBIE SIMPSON	M	A	04/11/2017		600.00				031	
		ADDRESSEE TYPE INCOME/PRIN BENEFICIARY										
01235	5 04	DEBBIE SIMPSON	ML	A	09/30/2017		100.00				031	
		ADDRESSEE TYPE INCOME/PRIN BENEFICIARY										
01235	1 05	DEBBIE SIMPSON	A	A	09/14/2019	09/14/2019	1500.00				031	
		ADDRESSEE TYPE INCOME/PRIN BENEFICIARY										
01235	1 06	DEBBIE SIMPSON	M	A	07/20/2021		100.00				031	
		ADDRESSEE TYPE INCOME/PRIN BENEFICIARY										
01235	1 07	DEBBIE SIMPSON	M	A	10/25/2016		0.00				606	
		ADDRESSEE TYPE INCOME/PRIN BENEFICIARY										
01235	1 08	DEBBIE SIMPSON	M	A	07/21/2021		100.00				031	
		ADDRESSEE TYPE INCOME/PRIN BENEFICIARY										

\*\*\*\*\* IRS INFORMATION REPORTING CROSS-REFERENCE RECORDS \*\*\*\*\*

N&A REC #	NAME	TAXPAYER ID	N&A # ON R&D TRAN	TYPE	OTHER INFORMATION	ADDRESSEE TYPE
00001	TRUST MANAGEMENT NETWORK	- -	NO	5498/PAYER		OTHER TYPE
01235	DEBBIE SIMPSON	XXX-XX-9105	NO	5498/PAYEE		INCOME/PRIN BENEFICIARY

\*\*\*\*\* TAX SERVICE INTERFACE CROSS-REFERENCE RECORDS \*\*\*\*\*

N&A REC #	NAME	TAXPAYER ID	TYPE	REQ DIST % OR AMT	DISC DIST % OR AMT	ST MUN INT AMOUNT	N&A RECORD STATE CODE
01235	DEBBIE SIMPSON	XXX-XX-9105	IP				SC
	ADDRESSEE TYPE INCOME/PRIN BENEFICIARY						

\*\*\*\*\* PENDING ITEM FILE RECORDS \*\*\*\*\*

REC NUM	DUE DATE	FRQ/ NBDI	REL CDE	AUT PAY CDE	TRN CDE	TRANSACTION DESCRIPTION (1ST 40 CHAR)	AMOUNT	PERCENT	CUSIP	N&A #1	REF #2	CNT TYP	LOT #
0001	11/13/18	M /P	M	N	041	TRANSFER PER CLIENT REQUEST	100.00						
0002	11/01/18	M /P	M	N	023	DEPOSIT FROM LOTTERY WINNINGS	0.01						
0003	10/20/21	M /P	A	N	023	MONTHLY SS DEPOSIT	1000.00						

\*\*\*\*\* REPORT PACKAGE RECIPIENTS \*\*\*\*\*

N&A	LAST	INTERIM REPTS	ANNUAL REPTS	NUM	DELIVERY	DELIVERY
REC	STMT	RPT PKG BNK	YEAR PKG BNK	OF	METHOD	METHOD
REPORT RECIPIENT(S)	DATE	FREQ NUM COP	END NUM COP	COP	STYLE INTERIM	ANNUAL
01235 DEBBIE SIMPSON	08/31/2021	M 004 N	12 004 N	001	LAND INTERNET	INTERNET
ADDRESSEE TYPE INCOME/PRIN BENEFICIARY						

\*\*\*\*\* ACCOUNT USER FIELDS \*\*\*\*\*

USER FIELD	TEXT LABEL
CODE	RISK RATING
00	LOW

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: MERRY OWNER  
 ACCOUNT NUMBER: 20009678105

FEE SCHEDULE NO: 02  
 FEE SCHEDULE NAME: MONEY MANAGER (IND SECURITIES)

FEE Y/E: 12/31/2021  
 FEE FREQ: MONTHLY

ASSET HOLDING CHARGES (SUB SCHEDULE 01)  
 ON ALL ASSETS EXCEPT TYPES 1 SUB-TYPE 00 - 09  
 15 SUB-TYPE 00  
 15 SUB-TYPE 01 - 01  
 EXCEPT CUSIPS LP0070997

MARKET VALUE USED FOR COMPUTATION FOR CONSOLIDATED ACCOUNTS

ACCOUNT NUMBER	ACCOUNT NAME	ASSETS	CASH	ACCRUED INCOME	MARKET VALUE
20 00 9678 1 05	MERRY OWNER	564,699.67 +	-14,091.08 +	0.00 =	550,608.59
	TOTAL	564,699.67 +	-14,091.08 +	0.00 =	550,608.59

END OF PERIOD MARKET VALUE CHARGES  
 1.2000% OF 550,608.59

= 6,607.30  
 MONTHLY ( 6,607.30 / 12) ASSET HOLDING CHARGES = 550.61  
 TOTAL SUBJECT TO MINIMUM FEE, DISCOUNT = 550.61  
 MONTHLY ( 4,000.00 / 12) MINIMUM FEE = 333.33

ALLOCATION OF CONSOLIDATED FEE USING ALLOCATION METHOD 4 (PRORATE COMBINED FEE, POST TO ASSOCIATED ACCOUNTS)

ACCOUNT NUMBER	ACCOUNT NAME	ACCOUNT MARKET VALUE	TOTAL MARKET VALUE	TOTAL FEE	FEE AMOUNT
20 00 9678 1 05	MERRY OWNER	550,608.59 /	550,608.59 *	550.61 =	550.61
	TOTAL			=	550.61
	TOTAL ASSET HOLDING CHARGES			=	550.61

SEP 30, 2021  
20 00 9678 1 05 MERRY OWNER

ACCOUNT SYNOPTIC AND FEE REPORTS

COMPUTATION OF COMMISSIONS FOR SEPTEMBER

ACCOUNT NAME: MERRY OWNER  
ACCOUNT NUMBER: 20009678105

FEE SCHEDULE NO: 02  
FEE SCHEDULE NAME: MONEY MANAGER (IND SECURITIES)

FEE Y/E: 12/31/2021  
FEE FREQ: MONTHLY

BASIC FEE CHARGES (SUB SCHEDULE 00)

CHARGES BY ACCOUNT

ACCOUNT NUMBER	ACCOUNT NAME	CALC FEE	BASE FEE	MINIMUM FEE	DISCOUNT AMT	DISCOUNT %	TOTAL FEE
20 00 9678 1 05	MERRY OWNER	550.61	0.00	0.00	0.00	0.000	550.61

TOTAL CALCULATED CHARGES FOR PERIOD = 550.61

TOTAL CHARGES FOR PERIOD = 550.61

FOR ACCOUNT OF: MEERY B. OWNER REVOCABLE TRUST

ACCOUNT NUMBER: 20 00 9678 1 05

\*\*\*\*\* GENERAL INFORMATION \*\*\*\*\*

ACCT SHORT NAME	MERRY OWNER	ADMINISTRATIVE OFFICER	LIRA
ACCT SORT KEY	OWNER	INVESTMENT OFFICER	ABC INVEST
DATE ACCOUNT OPENED	06/07/2005	BRANCH	00 NORTH CAROLINA
DATE ACCOUNT BLOCKED		ACCT TYPE	REV PER TR
DATE ACCOUNT SAVED		ACCT STATUS	ACTIVE
CRITICAL DATE		CHARITABLE TRUST TYPE	
CRITICAL PURPOSE		NUMBER OF BENEFICIARIES	1
PROXY OWNER N&A		OVERDRAFTS	AUTOMATIC OFFSET
CO-TRUSTEE		INVEST INCOME	YES
BUSINESS DEV OFFICER		PRINCIPAL INVASION	YES
CAPACITY		DAILY ACCRUED INC RPT	NO
SCHEDULE RCT OVERRIDE	( )	QUALIFIED PLAN REPORTS	NO
POST CAP GAIN DIV AS	PRINCIPAL		

\*\*\*\*\* INVESTMENT INFORMATION \*\*\*\*\*

INVESTMENT DISCRETION	CSLT	INVESTMENT POWERS FULL POWERS	RETENTION POWERS	GENERAL	
INVESTMENT OBJECTIVE	GROWTH EQ	OWN INST TIME DEPOSITS	YES	OWN INST TIME DEPOSITS	NO
VOTING AUTHORITY	SOLE	COMMON TRUST FUNDS	YES	COMMON TRUST FUNDS	NO
TRADE RESTRICTION CODE	NONE	NON INC PROD PROPERTY	YES	NON INC PROD PROPERTY	YES
# OF RESTRICTED HOLDINGS	0	REAL ESTATE	YES	REAL ESTATE	NO
INVESTMENT MIX OBJECTIVE	%	OWN INST STOCK	NO	OWN INST STOCK	YES
	%	BUSINESS INTERESTS	NO	BUSINESS INTERESTS	YES
	%				
	%				

MM INVESTOR TYPE 01-RETAIL INVESTOR  
SOCIAL CODE

\*\*\*\*\* FEE INFORMATION \*\*\*\*\*

FEE MONTH	DECEMBER	FEE START DATE	
FREQUENCY	MONTHLY	LAST FEE COMPUTATION	08/31/2021
HOW CHARGED	DEDUCT FROM ACCOUNT	LAST FEE PAYMENT DATE	12/31/2020
SCHEDULE NUMBER/NAME	02/MONEY MANAGER (IND SECURITIES)	PREV FEE COMPUTATION	07/31/2021
INCOME PERCENT	100	LAST FEE COMPUTATION AMT	550.61
PRINCIPAL PERCENT	0	PREV FEE COMPUTATION AMT	550.61
FEE STMT REMITTER		FEE STATEMENT CONSOLIDATION DETAIL	NO
FEE STMT RECIPIENT			

ACCOUNT LEVEL OVERRIDES:

BASE AMOUNT		DISCOUNT AMOUNT	
MINIMUM AMOUNT		DISCOUNT %	
DISPLAY "PERIOD ENDED" ON FEE STMT	YES	ACCT LEVEL FEE CODE	0-OVERRIDES APPLY TO THE ENTIRE FEE
CO-TRUSTEE FEE %		CO-TRUSTEE FEE TRAN CODE	
CO-TRUSTEE FEE AMOUNT		CO-TRUSTEE FEE NET IND	0-CO-TRUSTEE FEE IS IN ADDITION TO REG FEE

ACCOUNT TO CHARGE FEE

FEE SUSPENSE ACCOUNT (INCOME)  
 FEE SUSPENSE ACCOUNT (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 1 (INCOME)  
 FEE POSTING DESCRIPTION LINE 2 (INCOME)  
 FEE POSTING DESCRIPTION LINE 1 (PRINCIPAL)  
 FEE POSTING DESCRIPTION LINE 2 (PRINCIPAL)

CONSOLIDATED FEE INFORMATION:

MAIN ACCOUNT SCHEDULE NUMBER/NAME:  
02/MONEY MANAGER (IND SECURITIES)

MAIN ACCOUNT:  
00 9678 MERRY OWNER

SUB ACCOUNTS/RELATED ACCOUNTS:

FEEES EXCLUDED CUSIPS:

\*\*\*\*\* REVIEW INFORMATION \*\*\*\*\*  
REVIEW MONTH APRIL 30 REVIEW FREQUENCY ANNUAL LAST REVIEW DATE 04/30/2006

\*\*\*\*\* CUSTOMER STATEMENT INFORMATION \*\*\*\*\*  
YEAR END DECEMBER 31 MONTHLY SUMMARY STMT NO COMBINED CASH NO  
FREQUENCY ANNUAL CUSTOMER PIR NO PERFORMANCE CALC NOT USED  
LAST STATEMENT DATE 12/31/2020

\*\*\*\*\* TAX INFORMATION \*\*\*\*\*  
CREATE CHARLOTTE LEDGER YES TAX PREP SERVICE NO  
CREATE WSC LEDGER YES TAX SERVICE ACCT TYPE  
WSC STATEMENT TYPE 1-SIMPLE TAX YEAR END DECEMBER 31  
TAX LOT RETENTION SEPARATE LOTS-BONDS,EQUITIES,CTFS & FUNDS SITUS OF TRUST CA  
INFO RETURN REPORTING APPLICABLE 1098 & 1099 ONLY. NO 5498 FED TAX ID#  
TAX LOT SALE METHOD FIFO MKT DISC BND ELECTION NONE  
STATE TAX ID# INHERITED IRA CODE 0-NOT APPLICABLE  
INHERITED IRA ORIG OWNER INHERITED IRA RMD FCTR 000.00  
AMORTIZE BOND PREMUIM-CURR YR YES AMORTIZE BOND PREMUIM-NEXT YR YES  
MKT DISC COMP METHOD-CURR YR STRAIGHT LINE MKT DISC COMP METHOD-NEXT YR STRAIGHT LINE  
RECOGNITION OF MKT DISC-CURR YR AT SALE/REDMPTN/MATURTY RECOGNITION OF MKT DISC-NEXT YR AT SALE/REDMPTN/MATURTY  
ALL INTEREST AS OID-CURR YR NO ALL INTEREST AS OID-NEXT YR NO  
INCOME CONV TO US DOLLARS-CURR YR PERIOD AVERAGE INCOME CONV TO US DOLLARS-NEXT YR PERIOD AVERAGE  
AMORTIZATION/ACCRETION CASH TRANSFER YES AUTO POST FXD INCME COST BASIS ADJSTMNTS YES  
OID POSTING TRANSACTION CODE 751 MARKET DISCOUNT POSTING TRANSACTION CODE 756

\*\*\*\*\* ACCOUNT TOTALS \*\*\*\*\*  
SHORT TERM G/L YTD 0.00 PRIOR YEAR SHORT TERM G/L 0.00  
LONG TERM G/L YTD 0.00 PRIOR YEAR LONG TERM G/L 0.00  
FEES PAID YTD 0.00 PRIOR YEAR FEES PAID 10,657.29  
FEES CALCULATED YTD 4,404.88 PRIOR YEAR FEES CALCULATED 6,720.62  
CONTRIBUTIONS TO DATE 0.00 PRIOR YEAR END MKT VALUE 557,271.36  
CURRENT YEAR RMD AMT 0.00 CURRENT YEAR RMD TAKEN 0.00

\*\*\*\*\* AUTOMATIC CASH MANAGEMENT SYSTEM - NOT USED \*\*\*\*\*

\*\*\*\*\* COMMENTS \*\*\*\*\*

NONE

\*\*\*\*\* INFORMATIONAL ONLY \*\*\*\*\*

N&A REC# PAYEE NAME ADDRESSEE TYPE

NONE



\*\*\*\*\* TRADE ADVICE RECIPIENTS \*\*\*\*\*

N&A REC# RECIPIENT NAME ADDRESSEE TYPE

NONE

\*\*\*\*\* CHECK RECIPIENTS \*\*\*\*\*

N&A REC#	PAY ACT	DISB NUM	PAYEE NAME	FREQ TYPE	DISB	NEXT DATE	FINAL DATE	DOLLAR AMT OR LIMIT	INCOME PERCENT	TRAN CODE	PRIN PERCENT	TRN CDE	W/HOLD
56142	1	01	JOHN SMITH	Q	F	10/11/2021		0.00			010.0000	620	
			ADDRESSEE TYPE INVESTMENT ADVISOR										

\*\*\*\*\* IRS INFORMATION REPORTING CROSS-REFERENCE RECORDS \*\*\*\*\*

N&A REC #	NAME	TAXPAYER ID	N&A # R&D	ON TRAN	TYPE	OTHER INFORMATION	ADDRESSEE TYPE
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NONE

\*\*\*\*\* REPORT PACKAGE RECIPIENTS \*\*\*\*\*

N&A REC	REPORT RECIPIENT(S)	LAST STMT DATE	INTERIM RPT	REPTS PKG	ANNUAL REPTS YEAR	REPTS PKG	NUM OF COP	DELIVERY METHOD	DELIVERY METHOD
00001	TRUST MANAGEMENT NETWORK ' ADDRESSSEE TYPE OTHER TYPE	12/31/2020	A	000	N	12	001 N	001 LAND INTERNET	INTERNET