



## Where are the Proxytrust offices located?

- We are headquartered in Islandia, NY (Long Island) and maintain a sales office in Franklin, TN.

## Who does Proxytrust serve?

- We serve community, regional, and national wealth management institutions including bank trust, private trust, and RIA firms.
- We have approximately 190 clients across 43 states.

## What are Proxytrust's core services?

- We provide comprehensive proxy services for beneficial shareholders including distribution, voting, record-keeping, and custom reporting tools.

## What other services does Proxytrust provide?

- Special processing for client's own bank holding company meetings.
- Prospectus and voluntary corporate action mailings.
- GoalPost – Operations support for securities processing including Spin-off Direct, corporate actions research, worthless securities research, historical cost basis reporting, and date-of-death valuations.

## How does Proxytrust handle client service and training?

- Each client is assigned a dedicated Relationship Manager to provide initial and ongoing training via webinars and onsite meetings.
- Daily support is provided by a team of experienced client services personnel.

## How does Proxytrust charge for its services?

- We collect regulated proxy fees from issuers of publicly traded companies in accordance with NYSE fee schedules.
- There are no fees to the wealth management firm.

## Does Proxytrust have audited procedures, controls, and business insurance in place?

- Yes, an independent audit is conducted annually and reported via industry standard SOC 2 (AT101) Type 2 report.
- We maintain all appropriate business insurance coverage.